

**FORMATION AND ELECTRICAL PROPERTIES OF POLYACRYLONITRILE
THIN FILMS PREPARED BY PLASMA - POLYMERISATION**

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CERTIFICATE

Certified that the work presented in this thesis is based on the original work done by Mr.K.Mohanachandran, D.S.T. Senior Research Fellow, under my guidance in the Department of Physics, University of Cochin, and has not been included in any other thesis submitted previously for the award of any degree.

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CONTENTS

	Page
ACKNOWLEDGEMENTS	i
INTRODUCTION	viii
CHAPTER I: PREPARATION AND PROPERTIES OF POLYMER THIN FILMS ..	1
1.10 BASIC PICTURE OF POLYMERS ..	2
1.11 Polymerisation ..	2
1.12 Mechanism of polymerisation ..	3
1.13 Electronic states of polymers ..	6
1.20 PREPARATION OF POLYMER THIN FILM ..	11
1.21 Preparation of thin films from polymer bulk material ..	12
1.22 Preparation of polymer thin film from the monomer ..	14
1.30 PROPERTIES OF POLYMER FILMS ..	21
1.31 Electrical behaviour of polymer thin films ..	22
1.32 Dielectric behaviour of polymer thin films ..	30
1.33 Thermally stimulated current in unpoled and poled polymer thin films ..	33
1.34 Piezoelectric and pyroelectric polymer thin films ..	38
1.35 Applications of polymer thin films..	40
1.40 AIM AND SCOPE OF PRESENT WORK ..	42
REFERENCES ..	44
CHAPTER II: METHOD OF PREPARATION OF PLASMA-POLYMERISED POLYACRYLONITRILE AND EXPERIMENTAL ARRANGEMENTS FOR ELECTRICAL MEASUREMENTS AND THICKNESS DETERMINATION ..	59
2.10 INTRODUCTION ..	60
2.20 PREPARATION OF ALUMINIUM-POLYACRYLONITRILE-ALUMINIUM (Al-PAN-Al) SANDWICH STRUCTURES ..	61
2.21 The plasma-polymerisation chamber ..	61

	Page
2.22 Growth rate of the polymer ..	64
2.23 Preparation of metal electrodes ..	66
2.24 Preparation of aluminium- polyacrylonitrile-aluminium sandwich structures ..	68
2.30 THICKNESS MEASUREMENT ..	71
2.31 Thickness measurement of aluminium electrodes ..	71
2.32 Thickness measurement of PAN ..	71
2.40 VACUUM CHAMBER FOR ELECTRICAL MEASUREMENTS ..	73
REFERENCES ..	76
CHAPTER III: STRUCTURE AND POLYMERISATION MECHANISM OF PLASMA-POLYMERISED POLYACRYLONITRILE ..	77
3.10 INTRODUCTION ..	78
3.20 MOLECULAR STRUCTURE OF PLASMA-POLYMERISED POLYACRYLONITRILE ..	79
3.21 Absorption spectra of the monomer and the polymer ..	79
3.22 Structure of PAN ..	82
3.30 THE POLYMERISATION MECHANISM OF ACRYLO- NITRILE IN GLOW DISCHARGE ..	84
3.31 Emission spectrum of the glow- discharge plasma ..	84
3.32 Polymerisation mechanism ..	87
3.40 ULTRAVIOLET AND VISIBLE SPECTRA OF POLYACRYLONITRILE ..	90
3.41 Experimental method ..	90
3.42 Visible and ultraviolet spectrum of PAN ..	91
3.43 Absorption band in PAN ..	91
REFERENCES ..	93
CHAPTER IV: THERMALLY STIMULATED SHORT-CIRCUITED CURRENT IN PLASMA-POLYMERISED POLYACRYLONITRILE ..	95
4.10 INTRODUCTION ..	96

	Page
4.20 EXPERIMENTAL ..	97
4.21 Thermally stimulated short-circuited current measurement ..	98
4.22 Parameters controlling the short- circuited current ..	99
4.23 Thermally stimulated current in low applied fields ..	100
4.30 RESULTS OF THE EXPERIMENTS ..	100
4.31 Effect of application of field across the specimen ..	103
4.40 DISCUSSION ..	104
4.41 Thermally released space-charge induced current ..	105
4.42 The short-circuited current induced by electrodes of dissimilar thick- nesses ..	108
4.43 Vector addition of short-circuited currents due to trapped charges and due to electrodes of nonidentical thicknesses ..	110
4.44 Energy levels of trapped charges ..	113
4.50 ORIGIN OF SHORT-CIRCUITED CURRENT ..	114
REFERENCES ..	116
CHAPTER V: THERMALLY STIMULATED DEPolarISATION CURRENT IN POLED PLASMA-POLYMERISED POLYACRYLONITRILE ..	118
5.10 INTRODUCTION ..	119
5.20 EXPERIMENTAL PROCEDURE ..	120
5.21 Thermally stimulated depolarisation current measurements ..	121
5.22 Experimental method for poling the specimen ..	122
5.23 Parameters controlling the depola- risation current ..	123
5.30 THEORY OF THERMALLY STIMULATED DEPolarISA- TION CURRENT ..	124
5.40 RESULTS ..	128
5.41 Depolarisation currents in poled and unpoled Al-PAN-Al ..	128

	Page
5.42 Variation of depolarisation current peaks with polarisation voltages ..	129
5.43 Shift in the current peak with poling temperature ..	130
5.44 Variation in current maximum with the direction of poling ..	130
5.50 MECHANISM OF DEPOLARISATION CURRENT ..	131
5.51 Depolarisation current analysis ..	133
5.52 The variation of J_{\max} and P_o with E_p ..	134
5.53 Effect of T_p on the discharge current ..	136
5.54 Effect of poling direction on polarisation ..	138
5.55 Origin of polarisation ..	140
5.60 DEPOLARISATION CURRENT IN PAN ..	142
REFERENCES ..	144
CHAPTER VI: DIELECTRIC PROPERTIES AND ELECTRICAL CONDUCTION OF PLASMA-POLYMERISED POLY-ACRYLONITRILE ..	145
6.10 INTRODUCTION ..	146
6.20 EXPERIMENTAL PROCEDURE ..	147
6.21 Capacitance measurement of Al-PAN-Al ..	147
6.22 Measurements of current-voltage characteristics ..	148
6.30 RESULTS ..	149
6.31 Variation of capacitance with temperature ..	149
6.32 Variation of dielectric constant with frequency ..	151
6.33 Variation of loss factor with frequency ..	152
6.40 DISCUSSION ..	152
6.41 Temperature coefficient of dielectric constant ..	153
6.42 Variation of dielectric constant with thickness ..	154
6.43 The analysis of Al-PAN-Al capacitor ..	155

	Page
6.50 DIELECTRIC BEHAVIOUR OF Al-PAN-Al	.. 161
6.60 CONDUCTION IN Al-PAN-Al	.. 162
REFERENCES	.. 165
CHAPTER VII: SUMMARY AND CONCLUSIONS	.. 166
7.10 SUMMARY AND CONCLUSIONS	.. 167

INTRODUCTION

For the past few decades, the skills of thin film technologists have contributed a variety of techniques to prepare a large number of thin film materials with remarkable diversity of properties. The fast development of thin film technology has initiated the microminiaturisation of electronic hardware which has helped actively the progress of space physics and communication network. The development of thin film technology has created new diversions in areas of research in solid state physics and chemistry which are based on the phenomena uniquely characteristic of the thickness, geometry and structure of films.

Historically, metallic films are prepared from metal wires exploded by high electrical current density [1]. The deposition by thermal evaporation is relatively simple [2,3] and is widely used in academic and industrial fields. Clean ultra-high vacuum techniques and accurate measurement of thin film growth have initiated the preparation of single-crystal films of all types of material [4]. Though one may expect a metallic behaviour for Bi, Sb and As films, due to quantum size effect, a semiconductor-like behaviour is observed [5,6]. This type of peculiar phenomenon

explicitly shows the scope of investigation in thin films even if the data of bulk materials are known.

The electrical properties of all types of materials such as metals [7-9], semiconductors [10,11] and insulators [12,13] have been studied by a number of investigators. These information have led to the discovery of suitable materials for the preparation of solar cells [14,15], piezoelectric transducers [12,16], switching and memory circuits [17], magneto-optic records [18-20], microwave directional couplers [21] and photo-detectors [22,23] which are now in common use in satellites, computer hardware and communication purposes. Infra-red imaging tubes [24] are frequently used in defence for night observations. The modern trend in hetero-structure devices for optoelectronics such as injection lasers, light emitting diodes, solar cells and photo detectors are reviewed extensively [25]. The fast progress in vacuum technology and preparative methods of thin films have uplifted the electronic circuitry resulting in the development of integrated circuits.

Along with the development of systems with inorganic materials, different types of polymers (organic, inorganic and organometallic) are synthesised with a wide range of physical and electrical properties. Knowing the

electronic behaviour of polymers, their suitability in industrial applications can be established. These applications in turn would promote the development of new methods of preparation of polymers. The main advantage of a polymer thin film is that it can be obtained as a very thin film ($\sim 100\overset{\circ}{\text{Å}}$) without pin-holes [26]. Most of them are very good dielectrics with temperature stability and high dielectric breakdown voltage. Hence plasma-polymerised dielectric polymers are used in the fabrication of microcapacitors [27].

Due to the great versatility of the properties of polymer thin films, special interest has been taken in recent years on their preparation and electrical properties. The present thesis is entirely devoted to the study of the formation, structure and electrical properties of plasma-polymerised polyacrylonitrile (PAN) thin films. Even though the studies are confined to a single polymer film, the results in general are applicable to similar polar polymer films.

The properties of polymer films prepared by different techniques are reviewed in the first chapter. This will highlight the present position of this subject in relation to the fast technological development. In the above review special emphasis is given to those outstanding

properties of polymers like semiconducting, piezoelectric, pyroelectric and electret which are significant in the development of devices in thin film technology. From the literature survey, it can be noticed that this field is relatively unexplored and wide scope exists for experimental and theoretical study.

In the second chapter, a detailed description of the preparation of plasma-polymerised PAN thin films sandwiched by aluminium electrodes is presented. The rate of growth of polymer film under different pressures and discharge currents are studied in detail. The design and fabrication of a vacuum coating unit and an evacuated chamber for the study of electrical properties of insulator thin films are discussed.

In the next chapter, the mechanism of plasma-polymerisation is studied by analysing the emission spectra of the plasma formed from acrylonitrile vapour and discussed in relation to the mechanism of chain formation in the polymer. From the infrared spectra of the monomer and the polymer, the probable structure of the polymer is suggested.

As -CN side group exists in the polymer chain, it is expected to show high dipole moment. Hence it is important to study the thermally stimulated depolarisation

current in PAN to obtain information regarding the dipolar orientation and charge storage mechanism in the polymer. As a part of such studies, the thermally stimulated current of unpoled PAN film is studied and presented in chapter IV. The results of thermally stimulated depolarisation current in poled PAN is discussed in detail in the subsequent chapter.

In chapter VI, the dielectric and electrical properties of plasma-polymerised PAN are described. From the current - voltage characteristics, the conduction mechanism is analysed. The variation of dielectric constant with temperature and frequency are studied to obtain information on dielectric relaxation process and frequency response of the capacitor.

All the observations are summarised in chapter VII. On the whole, special interest is given to those properties which point out the usefulness of PAN as a polar electret. Part of the investigation presented in this thesis has been communicated in the form of the following research papers:

1. Thermally stimulated short-circuited current from plasma-polymerised polyacrylonitrile thin films sandwiched between aluminium electrodes, J.Appl.Phys., 53(2), 1135 (1982).

2. Thermally stimulated depolarisation current in poled plasma-polymerised polyacrylonitrile thin films sandwiched by aluminium electrodes.
(Communicated to Thin Solid Films)

3. Temperature change of dielectric constant in plasma-polymerised polyacrylonitrile thin films.
(Presented at NP & SSP Symposium (DAE) 1982)

4. Thermally stimulated depolarisation current in poled plasma-polymerised polyacrylonitrile thin films.
(Presented at NP & SSP Symposium (DAE) 1982)

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CHAPTER I

PREPARATION AND PROPERTIES OF POLYMER THIN FILMS

ABSTRACT

A brief review of the present understanding of electronic properties of polymer thin films is presented here. Different experimental techniques to prepare polymer films are also discussed. Special emphasis is given to those outstanding properties of polymers which are significant in the development of devices in thin film technology. Important applications of polymer thin films are also reviewed.

1.10 BASIC PICTURE OF POLYMERS

1.11 Polymerisation

The word polymer is derived from the Greek word 'polymeros' which means many parts. It is clear from the word itself that a single polymer consists of a number of units linked together by covalent bonds to form a large molecule or a macromolecule. The individual unit that repeats to constitute a polymer is called the monomer [1-3]. The monomers can link together linearly to form a linear polymer. Some polymers have branched chains, often as a result of side reactions during polymerisation. Cross-linked and network structures are formed in the case of monomers containing more than two reactive groups by step-wise polymerisation [2]. By co-polymerisation of a mixture, polymers with properties completely different from the individual polymers of mother monomers can be produced [3]. The degree of polymerisation is the number of monomers linked together to form a polymer and is a basic parameter used to characterise the material.

Organic [1-4], organo-metallic [3,5,6] and inorganic [5,7] materials may undergo polymerisation under suitable conditions. These materials have wide range of electronic behaviour [4] and their electronic transport

properties also vary widely. There are polymers whose electrical conductivity can be varied from insulator to metallic either by proper doping [8] or by changing the structure [9]. A summary of the mechanism of polymerisation, the electronic behaviour and related properties are given below as an introduction to the investigations presented on polyacrylonitrile in the subsequent chapters.

1.12 Mechanism of polymerisation

The process of polymerisation may be broadly divided into two: condensation polymerisation (step-reaction) and addition polymerisation (chain-reaction) [2]. The step-reaction polymerisation is the condensation of two polyfunctional molecules to produce one larger molecule with the possible elimination of a small molecule such as water. The chain-reaction polymerisation involves reactions in which the chain carrier may be an ion or a reactive substance with an unpaired electron called a free radical. The free radical is formed by the decomposition of a relatively unstable material called an initiator.

The fundamental kinetics of radical polymerisation is described elsewhere [3]. In high temperature polymerisation as well as plasma polymerisation the radical initiated process is more predominant [10]. In the case of the commonly

occurring monomers initiated by radicals, the forming temperature is that temperature at which the initiator splits itself readily into radicals, that is k_d the rate constant has reached a measurable value. At this stage, the initiator I is converted into an initiator radical Z^* , which again reacts to produce the monomer radical.



Here M is the monomer, k_i is the initiating rate and M_1^* is the monomer radical.



k_p being the rate of polymerisation and M_2^* being dimer radical formed in the first process of polymerisation.

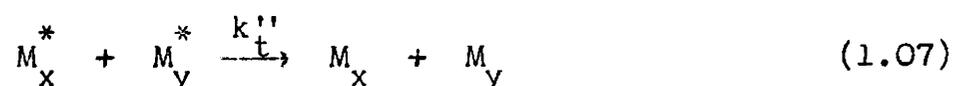
This propagation process proceeds as



There are mainly two termination reactions (a) combination and (b) disproportionation. The combination can be represented as:



The disproportionation can be represented as



Here k_t' and k_t'' are the termination rates of polymerisation process and these depend on the external conditions of the process. In radical polymerisation, the termination is a second order reaction in M^* and the radical can be terminated by collision with the initiator radical. But this possibility may be ignored due to the low concentration of the initiator.

The radical polymerisation is more predominant in plasma-polymerisation process. Here the accelerated positive ions and the electrons, formed by high electric field act as initiators, which collide with monomer molecules producing radicals. The initiator concentration in such a process is very high resulting in fast polymerisation. Also due to the high concentration of the initiator in the plasma-polymerisation process, the termination reaction can occur due to collision.

1.13 Electronic states of polymers

The electronic properties of polymers have been characterised by utilising the skills of the chemists, material scientists and physicists and they have made sophisticated experimental and theoretical approaches to understand the basic phenomena and structure-property relationship [11]. In relation to the electronic states of polymers, they are classified into two: one class comprising of polymers with saturated or unsaturated backbones, but without pendant groups (eg., polyethylene and polyacetylene) and the other being made up of polymers with saturated backbones and attached aromatic chromophores (eg., polystyrene and polyvinyl carbazole). In the former class the electronic properties are heavily controlled by the states associated with the strong intra-chain (back-bone covalent bond) so that one expects wide conduction band similar to the inorganic covalent solids. The latter has the electronic state similar to that of isolated aromatic molecules. Usually the experimental study of electronic states of polymers is confined to optical absorption. Since many of the polymers have their optical transition in the near and far ultra-violet regions, such studies are relatively routine and are carried out by commercial automatic instruments.

It has been recognised that the interaction between the pendant groups leads to small exchange energies and narrow bandwidths. Thus, these pendant groups, which have generally a much lower ionisation potential than saturated polymer back-bone, are expected to dominate the low energy transitions and play an integral role in the electrical properties.

Ionic excitation states such as ion-pair or charge transfer states have been discussed by many workers [12-14]. Ionisation energies of the highest occupied levels and binding energy of the lowest unoccupied levels for different polymers in gas phase and in crystalline state [15] are shown in Fig.1.01. From the figure it is seen that the energy required to separate a negative charge from a positive charge for an isolated molecule is $I_g - A_g$, where I_g is the gas phase ionisation energy and A_g is the energy gained by the binding of the ionised electron with a neighbouring homologue molecule. In a solid, the energy to produce these ionic states is reduced, as the molecules exist within a polarisable medium. Hence

$$E = I_g - A_g - 2P \quad (1.08)$$

where P is the crystal polarisation energy of the free electrons. Thus in the case of solids one can think of the

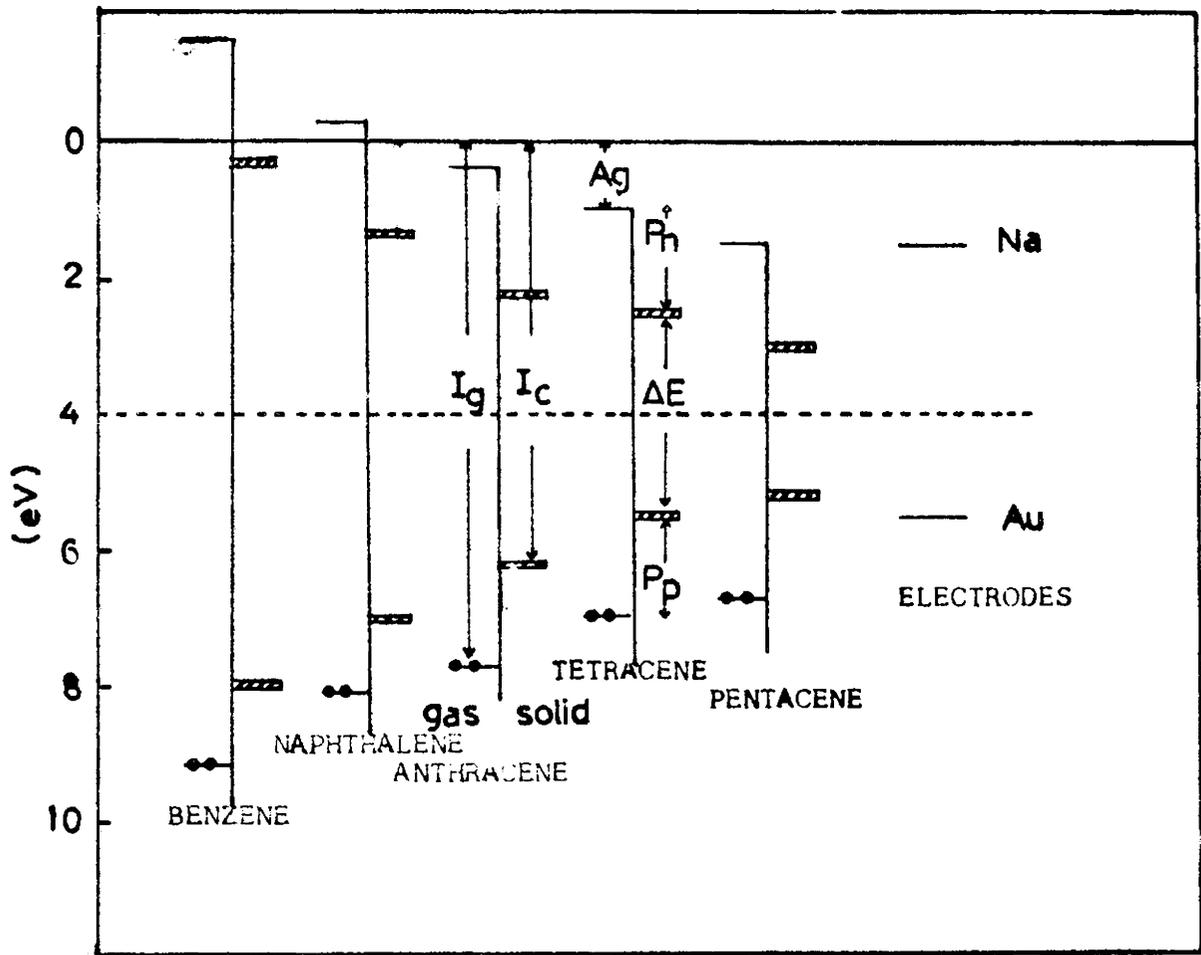


Fig.1.01. The ionisation energy of the highest occupied and binding energy of the lowest unoccupied levels for different polyacenes in gas phase in the crystalline state. The Fermi levels of Na and Au are also noted. ΔE and A_g are the band gap in solids and the energy gained by binding of ionised electron with a molecule in vapour phase [15].

excited energy levels as the conduction states for electron and hole separated by a band-gap ΔE . For energies within this gap non-conducting ion-pair states may exist [13].

The energy levels are first directly observed by the carrier photo-emission from the metal electrodes in the polymer poly N-Vinylcarbazole. The measured spectral threshold for photo-emission of holes [16] together with known values of I_g and P , form a self consistent picture of probable energy levels of copper-polyvinylcarbazole as shown in Fig.1.02. In the figure, the polarisation energy of polyvinylcarbazole, P is taken as 1.5 eV and T and S_n represent the triplet and singlet energy states.

The energy levels of different polymers are discussed on the basis of the mechanism of conductivity by a number of workers [17,18]. Analysis of thermally stimulated depolarisation is a powerful tool for obtaining information regarding the storage and transport of the charge carriers in insulators and semiconductors [19-21]. It also gives information about trap-levels as well as impurity levels. Hence the energy levels of the polymers are discussed on the basis of depolarisation current [22,23] which in turn gives an insight into the nature of electronic states of the polymer material. A detailed picture of the energy levels in molecular solids is given elsewhere [24,25].

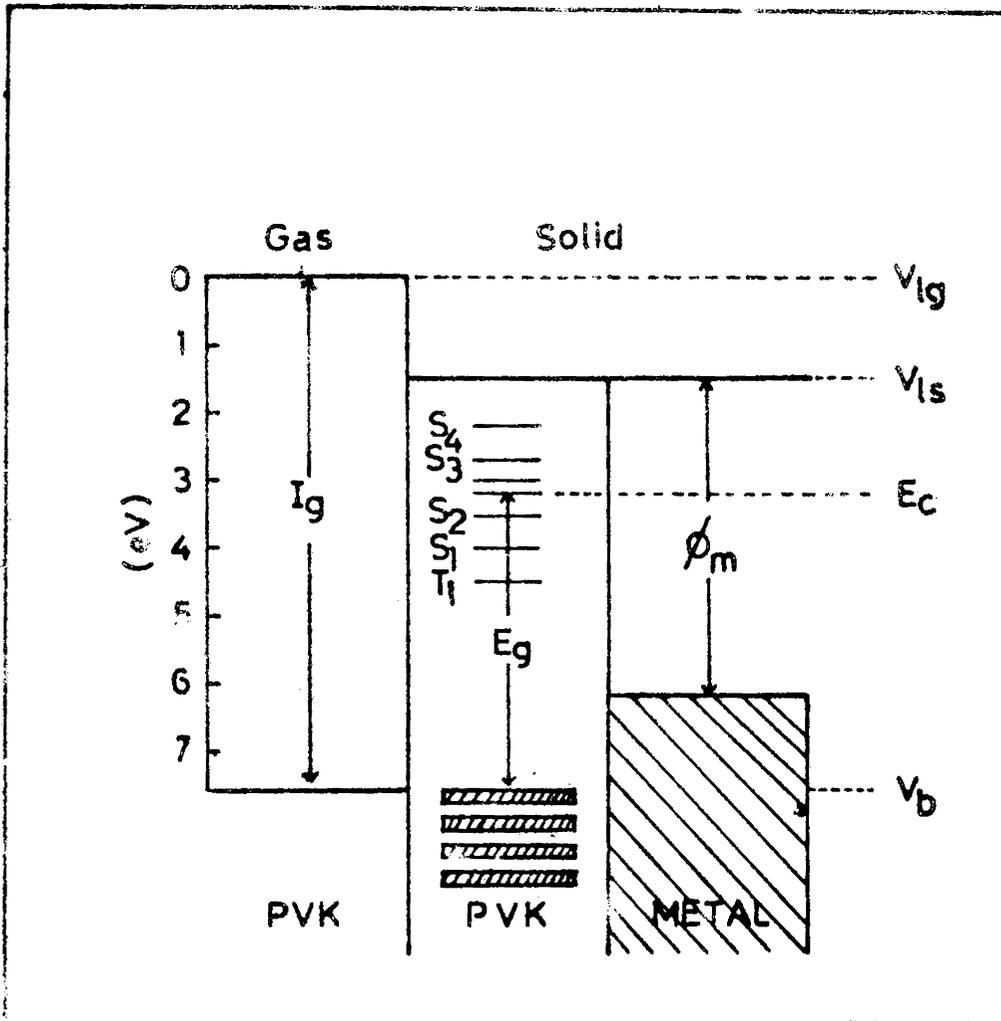


Fig.1.02. Energy band diagram for Cu - PVK interface.
 I_g - ionisation potential, E_g - band gap,
 ϕ_m - metal work function, V_{lg} - vacuum level
 (gas), V_{ls} - vacuum level (solid),
 E_c - conduction band, V_b - valence band,
 S_n - singlet energy state and T - triplet
 energy state [16].

In the class of polymers without pendant groups, the simplest polymer is the polyethylene, on which both theoretical and experimental studies have been reported [26,27] and many more studies are still being pursued. Energy band calculations for a single polyethylene chain give a wide energy-gap between filled and vacant states. The strong covalent intra-chain binding broadens the electronic levels of polyethylene. Detailed calculations have conducted the existence of highly localised excitons with broad energy bands dictated by the periodic structure of polyethylene chain [28].

The introduction of semiconducting polymers with wide range of electrical conductivity when doped with controlled amounts of halogens is a breakthrough in the field of semiconductor physics as well as polymer technology. Usually conjugated linear polymers are considered to be semiconducting. The term conjugated is used to indicate the alternation in a series of single and double bonds and rarely tripple bonds. Polyacetylene is one of the simplest linear conjugated polymer with single chain structure which can exist in two different configurations: cis-and trans-, as shown in Fig.1.03. Each carbon is σ bonded to one of the hydrogens and two neighbouring carbon atoms consistent with sp^2 hybridisation [8]. The π electrons are therefore

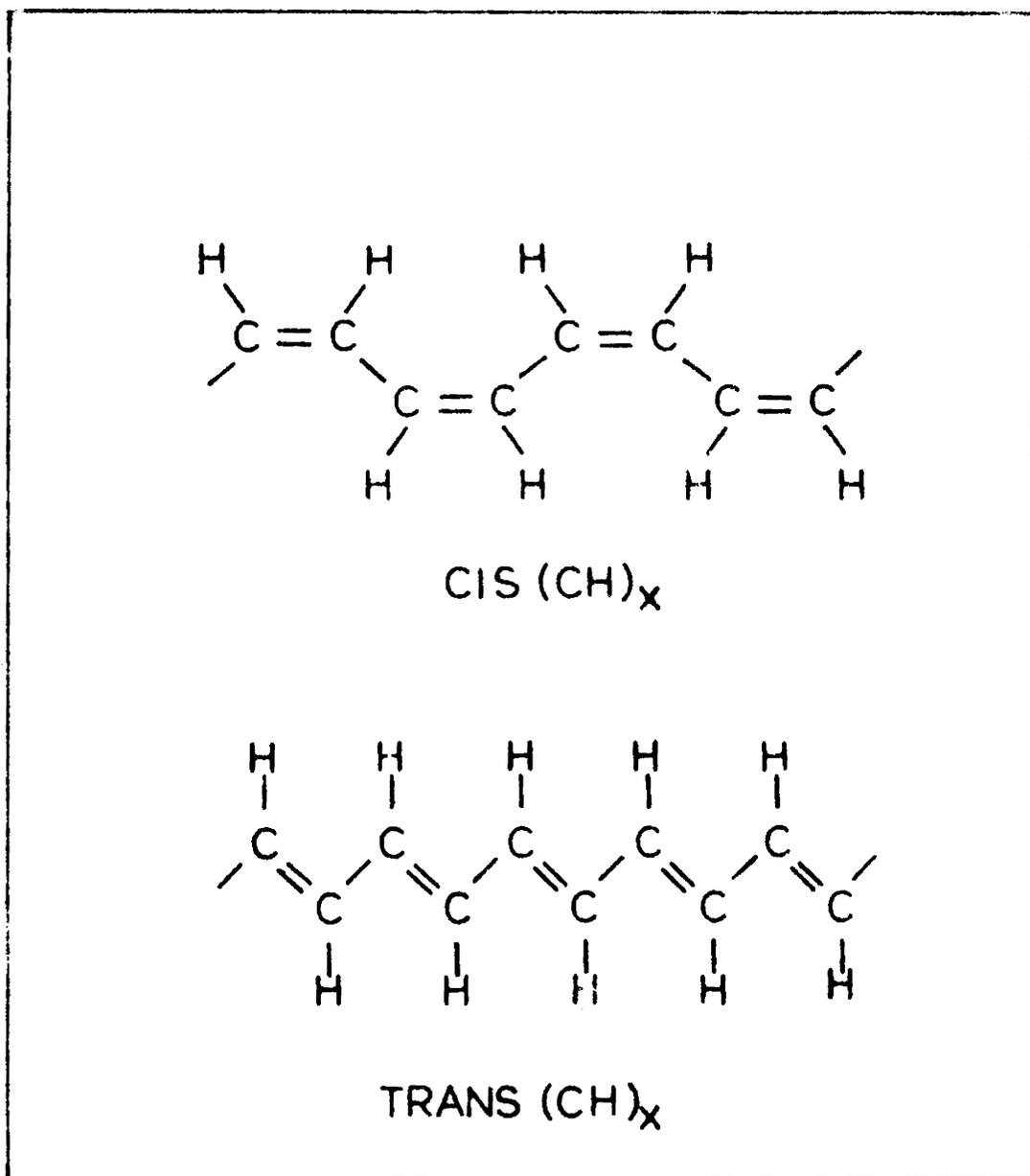


Fig.1.03. Structure of CIS- and TRANS-polyacetylene.

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 available to delocalise into a band. In the idealised situation of uniform chain, the resulting conduction band would give rise to metallic behaviour. However, such a system is unstable with respect to bond alternation and a characteristic gap opens up in the electronic energy spectrum. The evolution of the molecular levels as a function of the number of atom in a finite chain [29] and the band structure and density of states for idealised case and alternant polyacetylene are shown in Fig.1.04. Studies of π - π^* transitions in short-chain polyenes show that frequencies do not fall as n^{-2} (where n is the number of atoms in the molecule) as expected for free-electron picture, but appear to saturate at $\Delta E_{(n \rightarrow \text{large}) \pi-\pi^*} = 2.4\text{eV}$ [30]. Bond alternation is present in the polymer and would be expected to lead to semiconducting behaviour.

In a long polyene chain limited or broken sequences of electronic delocalisation are affected by attached side groups. Depending upon the chain limitation (even or odd) two types of conjugations are introduced, (i) eka conjugation and (ii) rubi conjugation [31,32]. Theoretical as well as experimental evidences support the existence of these two conjugated groups [24]. Still the information regarding the electronic behaviour of conjugated polymers is not complete.

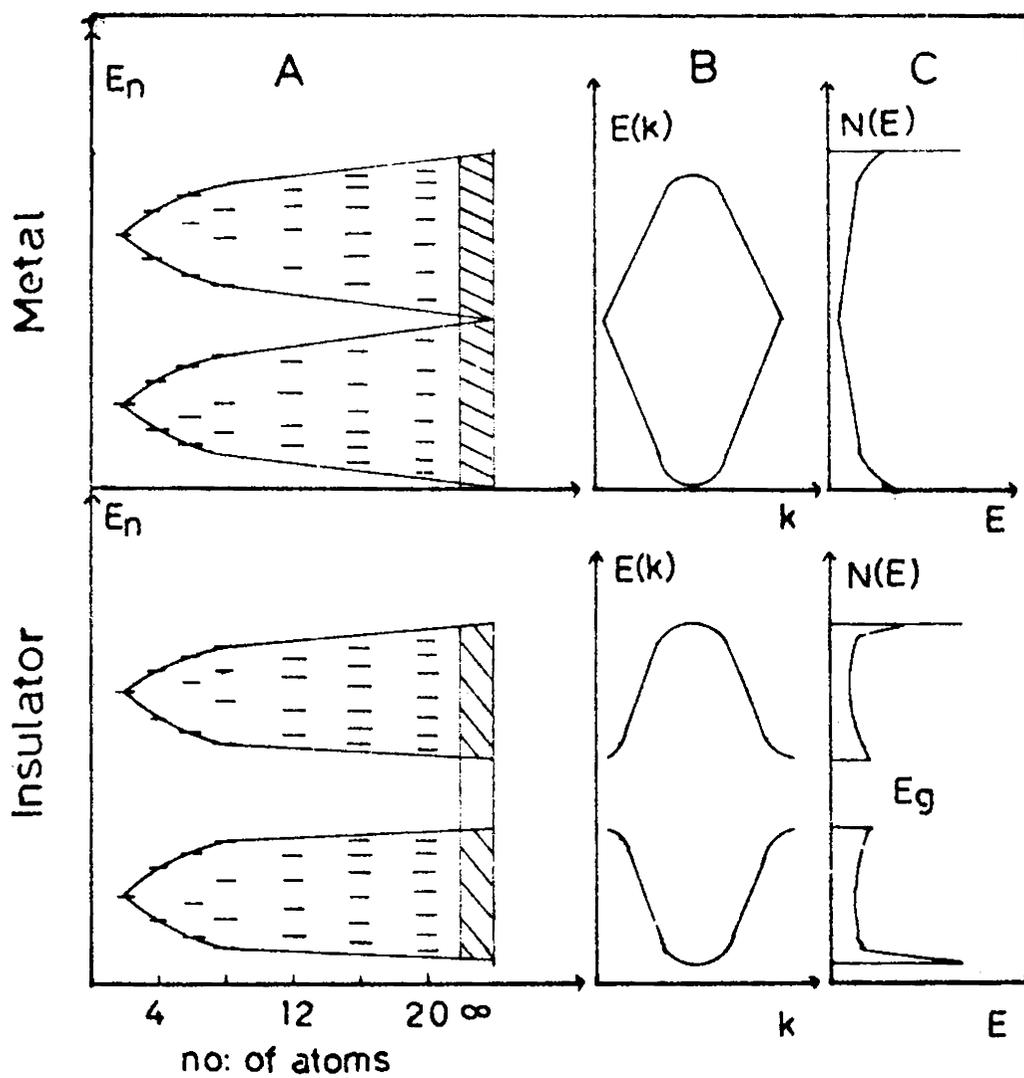


Fig.1.04. Molecular level (A), first brillouin zone (B) and density of states (C) for regular and alternate polyenes [29].

The useful electronic properties characteristic of amorphous and organic materials could both be manifested in polymers and the past few years have seen the beginning of coordinated programmes of study of electronic properties of polymers. A brief report of the electronic behaviour of polymers given above may lead to consider polymers as electronic materials and possible technological applications have to be thought of. As a part of that venture the properties of different polymeric materials have been studied by a number of scientists [5,24,33-38]. It was observed that the properties of polymers depend on the methods of synthesis and the structure [39,40]. It was also noted that electrical, structural, mechanical, chemical and optical properties of polymer thin films are different from the properties of bulk material. Though the information on the properties of bulk polymers are not complete, it is high time to carry over the studies to thin polymer materials such that this will promote the development of thin film technology further.

1.20 PREPARATION OF POLYMER THIN FILM

The preparation of polymer thin films can be broadly classified into two groups: (a) preparation of thin films from polymer bulk material [41,42] (b) preparation of

thin films from the monomer itself [43-45]. In the first method, the polymers are prepared chemically which are commercially popular [46-48]. Many of the workers [49,50] have chosen commercial polymer materials for the preparation of thin films.

1.21 Preparation of thin films from polymer bulk material

(i) Solution casting method

The films of soluble polymers can be prepared by the simple technique of direct isothermal immersion of a substrate into a suitable solution of the polymer [51-53]. The growth kinetics and structural details of polyvinyl chloride (PVC) was studied in detail by Rastogi and Chopra [54]. In this method a homogeneous solution of the polymer is prepared. The solution is then maintained under constant temperature and the substrates were held inside the constant temperature bath vertically above the solution. After bringing the solution and the substrates down to the required temperature, the substrates were immersed isothermally in the solution for a period varying from 1 min. to 60 min. Polymer films are formed on the surface of the substrate with sufficient thickness ($<1000\overset{\circ}{\text{A}}$). Epitaxial films of homopolymers have been prepared by this method [55].

(ii) Evaporation

Polymer materials can be prepared by conventional evaporation techniques [56,57]. Hogarth and Iqbal [58] have reported that the evaporated polypropylene has the same properties as the chemically processed polymer. In the process of heating, decomposition may occur and hence the degree of polymerisation may be different from the mother polymer. To reduce this, the temperature of the source should be less than decomposition temperature. Localised heating by electron beam source is successfully used for the evaporation of polymer materials [59]. In thermal evaporation process, the decomposed impurity concentration is much higher.

(iii) Sputtering method

The process used in sputtering of polymers are very similar to those used for inorganic materials [60,61]. The detailed process of sputtering and the various limitations are discussed by Holland and Prestland [61]. In this method also degradation of polymer occurs and contaminated polymers are formed. In the sputtering process, due to the high energy bombardment of ions, high degree of cross-linking occurs in the formed thin films. However, the formed films are often observed to be superior to the specimen prepared by evaporation method.

1.22 Preparation of polymer thin film from the monomer

Due to the optimum use of monomers, this is regarded as an economical method for the preparation of polymer thin films. Among different experimental methods, plasma-polymerisation is much more superior because of its simplicity and industrial importance.

(i) Radiation induced polymerisation

Here the polymerisation is achieved by the bombardment of electrons on the surface of the monomer [43] or by the irradiation of UV [62] or γ -rays [63,64]. Several workers have used electron beam bombardment to produce and study the properties of polymer films obtained from DC - 704 pump fluid [43], siloxanes [65], styrene [66] and butadiene [67]. It is well known that polystyrene is polymerised when exposed to UV light. The polymerisation takes place by conventional free radical polymerisation process [68]. By this method, the polymers can be made from solid, liquid and gaseous phases. The irradiation process is also known as photolitic process. Polymers like butadiene, styrene, acrylonitrile etc. can be produced by photolitic process [64]. Photolithography is one of the most important application of this process which is unavoidable in the preparation of micro-miniature patterns for I.C. fabrication [47]. The polymers having photolitic properties are called photoresists [63,69].

(ii) Pyrolytic method

In this process the polymerisation and deposition of thin film takes place simultaneously [45]. Here monomer vapour is leaked into a vacuum and passed through a catalyst. When the vapour is quenched on the cold substrate, polymerisation occurs and a thin film gets deposited on the substrate [70]. This method has been used extensively for preparing poly-p-xylene from p-xylene at 600°C [45,71,72].

(iii) Plasma-polymerisation

Methods of preparing polymer films, previously described, cannot be applied to all monomers and also the conditions for preparation of good films are very critical. But plasma-polymerisation method [72-74] is superior to all the other methods because most of the organic, organometallic and certain inorganic materials can be polymerised by this method. The efficiency of this method is very high and the formed films are pin-hole-free. These good qualities attracted the researchers to pay more attention to study the properties of plasma-polymerised polymers. Also the properties of plasma-polymerised films are different and to a certain extent superior to those of polymers prepared by other methods [75]. For example glow-discharged polystyrene thin film has many different electrical and physical

properties like dielectric loss, flexibility and solubility as compared to ordinary polystyrene [76]. Bradley and Hammes [74] have studied the electrical properties and deposition efficiency of about 40 polymers prepared by plasma-polymerisation. For the preparation of polymers, the plasma of the monomer vapour can be generated by different ways [77]. They are silent discharge, direct current discharge, low frequency glow-discharge (usually 50 Hz AC), high frequency discharge and microwave discharge.

Silent discharge:- The silent discharge tube is usually made of glass or quartz. Normally it consists of a co-axial section and the inner and outer surfaces of the co-axial section are connected to high alternating voltage of the order of 10KV by means of conducting liquids or steel electrodes. Hirai and Nakada [9] have reported on the formation of polyacrylonitrile (PAN) thin film by silent discharge. They have modified the discharge cell as shown in Fig.1.05. To avoid the concentration of discharge, the metal electrodes are not exposed directly to the gas phase by covering them with glass and epoxy resin. A vacuum vessel attached to the top of the discharge tube is used for preventing the inward leakage of air through the interface between the tube and the cover. First the discharge tube and top protecting vessel are pumped to 10^{-5} torr

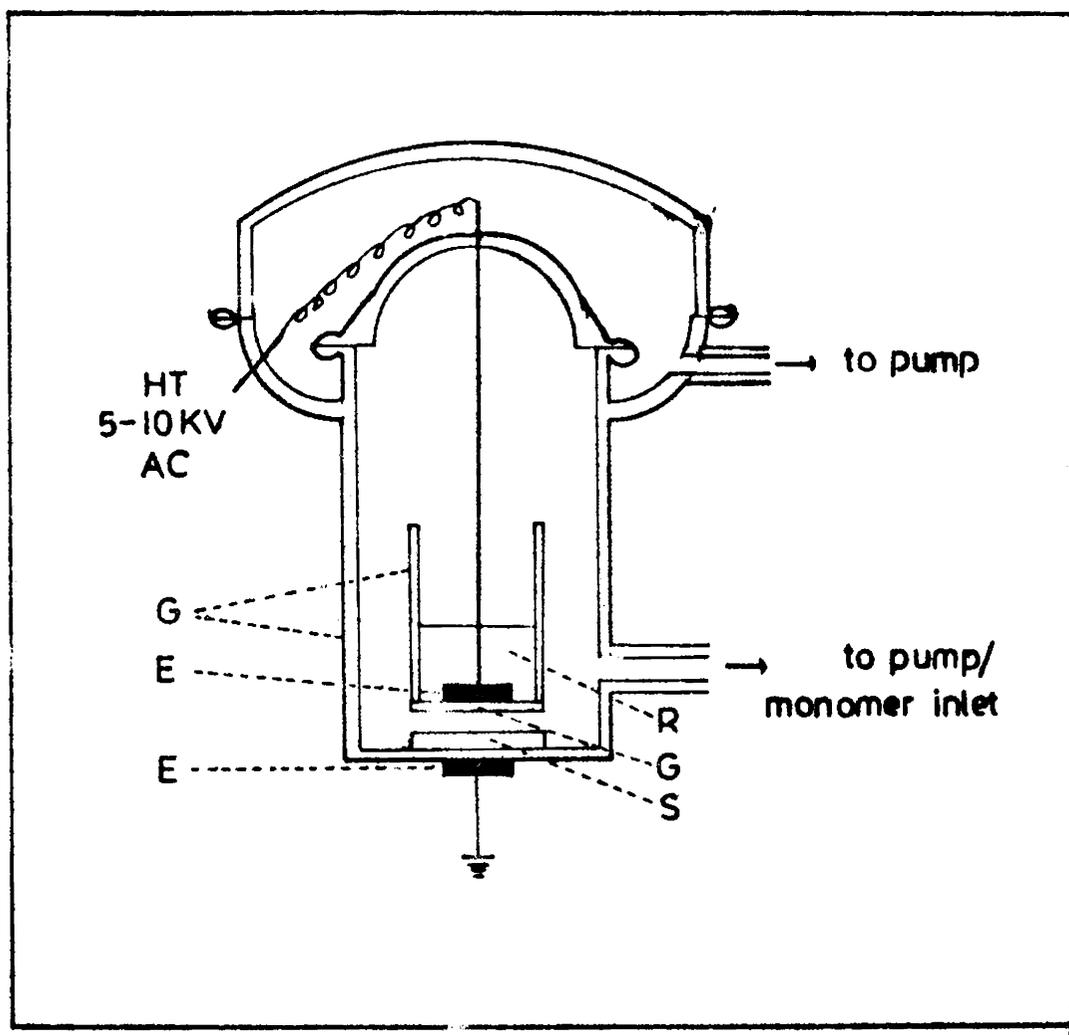


Fig.1.05. Silent discharge reactor for polymerisation
 E - electrode, G - glass, R - epoxy resin
 and S - substrate.

and the discharge tube is filled with monomer vapour at sufficient pressure. An A.C. voltage of 5 to 10KV (50Hz) is applied to the electrodes through a Neon transformer for causing a uniform and stable silent discharge which produces uniform PAN films. As electrodes are fully covered, there will be no interaction between the monomer vapour and the electrodes minimising the impurity effect.

Microwave discharge:- For plasma generation, microwave generators of a few KW can be used. The microwave power is led by a co-axial cable or a wave-guide from magnetron or klystron to the resonant cavity enclosing the reactor. Microwave discharge have been found to be successful with inorganic compounds [78]. One of the advantages of this reactor is that it can be used at higher pressures but at low pressures (≤ 1 torr) it is difficult to initiate and sustain discharge.

Direct current discharge:- In the direct current discharge, two metal electrodes are placed inside the plasma reactor and a high voltage is applied to produce plasma [79,80]. A reactor for DC discharge plasma-polymerisation is shown in Fig.1.06. First the reactor cell is evacuated to 10^{-5} torr and pure monomer vapour is fed into the cell raising the pressure ranging from 10^{-1} torr to 1 torr. When DC

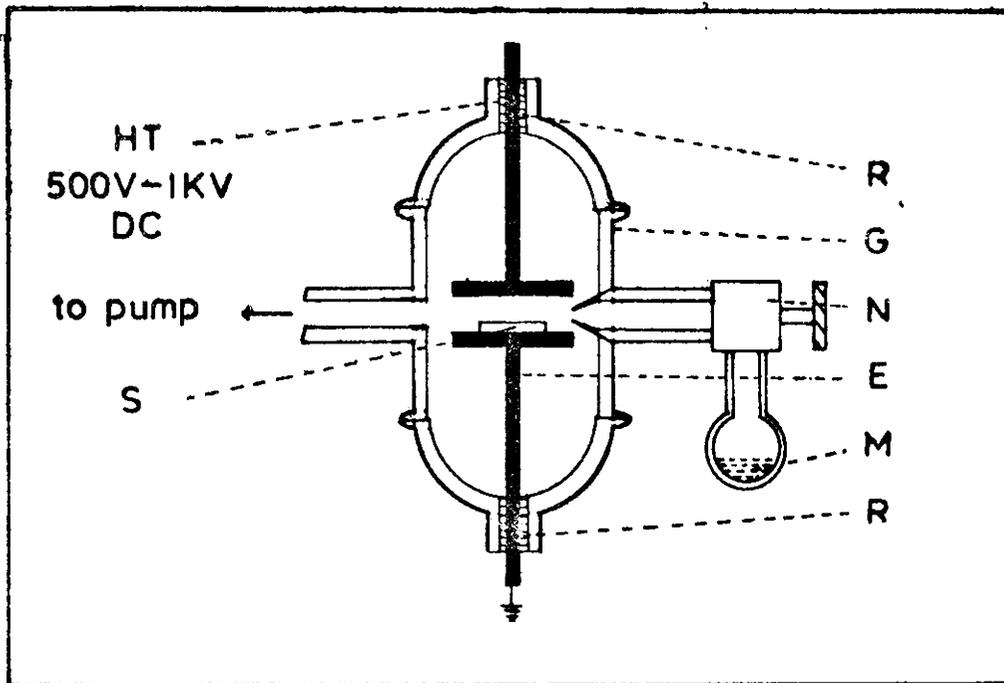


Fig.1.06 DC discharge plasma-polymerisation reactor
 E - electrode, N - needle valve, M - monomer,
 G - glass, R - epoxy resin and S - substrate.

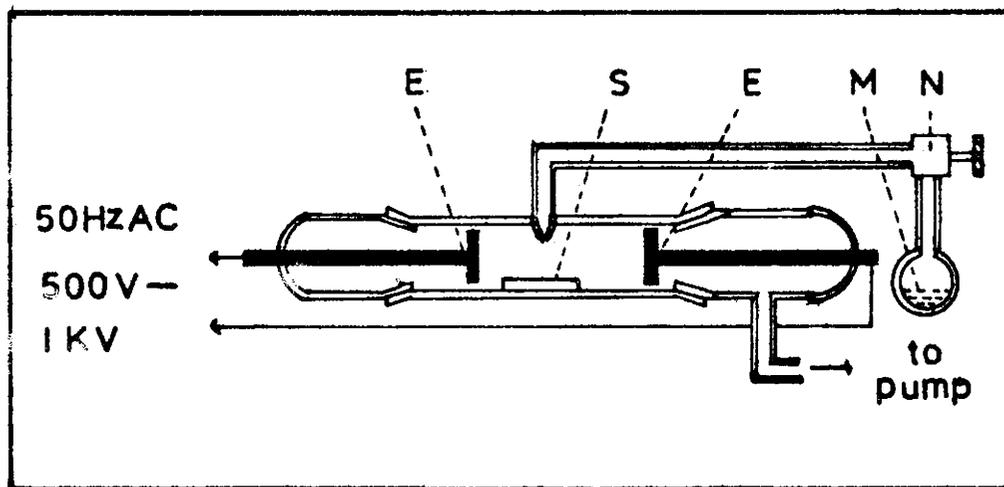


Fig.1.07. 50 Hz AC plasma-polymerisation reactor
 E - electrode, S - substrate, M - monomer
 and N - needle valve.

field is applied to the electrodes, the monomer molecules are polymerised and deposited mostly on the cathode. A smaller amount of polymer is deposited on the anode also [81]. It is observed that if the formed polymer is an insulator, after forming a few layers of the film, the glow discharge ceases. It is found that when the applied voltage is increased, arcing is developed which disturbs the film. Hence this method cannot be effectively used for the preparation of insulator polymer films of sufficient thickness.

Low frequency discharge:- Using this method, much work on polymer films from monomers has been reported [18,74,82]. Here 50Hz AC is sufficient for successful preparation of polymers. A detailed description of the reactor chamber is given elsewhere [18,74]. One such reactor is shown in Fig.1.07. The discharge chamber, with the substrate set at the central part, is evacuated to 10^{-4} - 10^{-5} torr. Then the monomer gas is introduced into the chamber from the monomer reservoir. When the pressure of the monomer gas rises to 10^{-1} to 1.5×10^{-1} torr, AC voltage of about 500-1000V (50Hz) is applied between the two electrodes for the generation of the glow discharge. Both the electrodes and the sides of the reactor tube are found to be coated with polymer.

This type of polymerisation is of interest in the present work and the detailed parametric studies are discussed in the next chapter. In this method the efficiency of polymerisation is good and there is no need of any special power supply. The output of a step-up transformer can directly be used as the electrical power source.

High frequency discharges:- An AC power supply working at a frequency of the order of a few MHz can be utilised for high frequency plasma-polymerisation. For AC discharge with electrodes, the same reactor shown in Fig.1.07 can be used. One of the important advantages of high frequency discharge is that it can work without electrodes (electrodeless discharge). The electrical power can be transferred to the plasma tube either by inductive method or capacitive method. In the inductive method, the discharge tube is located at the axis of a coupling coil and radio frequency power is fed to the discharge tube through this coil. In some cases, polymerisation as well as deposition take place in the tail of the glow-discharge [83]. An arrangement for inductive coupling to the plasma tube is shown in Fig.1.08(a). In the capacitive coupling, the power is fed to the discharge tube by means of two copper rings situated at about 5 cms apart as shown in Fig.1.08(b). The deposition will be mainly limited to the region of glow-discharge. Here the substrate is placed in

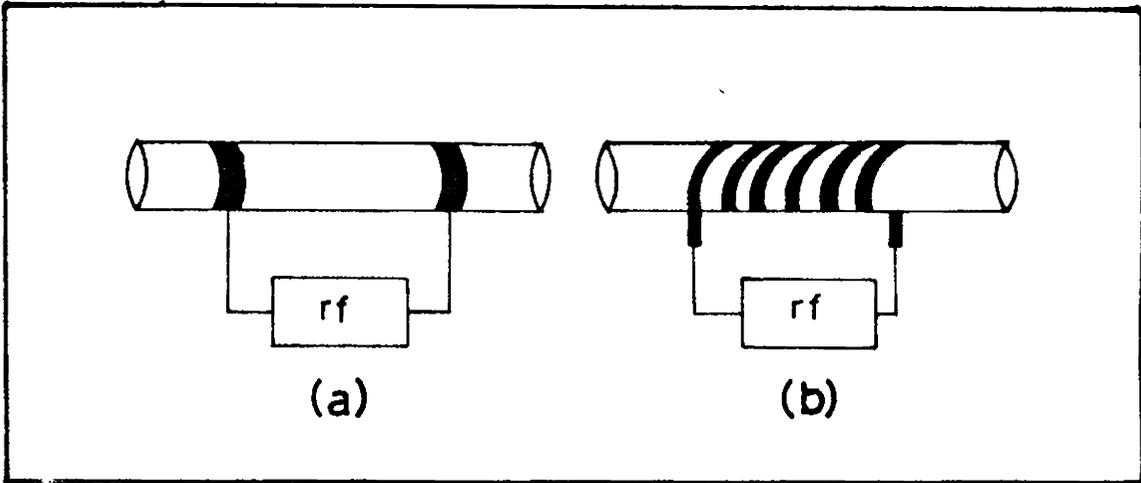


Fig.1.08 (a) Capacitive coupled and (b) inductive coupled rf plasma tube.

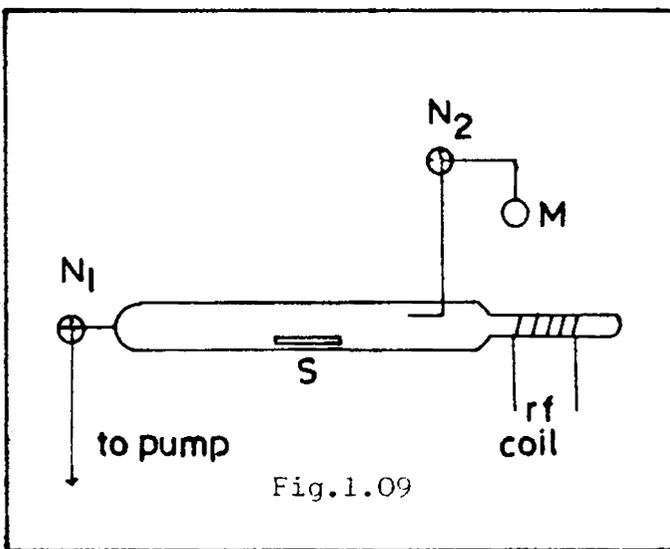


Fig.1.09

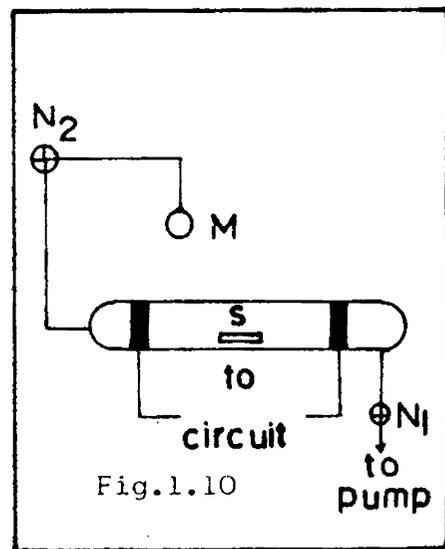


Fig.1.10

Fig.1.09 Schematic representation of inductive coupled plasma-polymerisation reactor [83] N_1 - stop-cock, N_2 - needle valve, M - monomer and S - substrate.

Fig.1.10 Schematic representation of capacitive coupled plasma-polymerisation reactor [25] N_1 - stop-cock, N_2 - needle valve, M - monomer, S - substrate.

between the copper rings so that the thickness of the film is uniform [84].

For RF discharge plasma-polymerisation, different types of reactors are in use. Fig.1.09 is one such type wherein inductive coupling method is used [83]. A capacitive coupled system is also commonly used in polymerisation process [25,85] and is shown in Fig.1.10.

The basic principle, involved in all the plasma-polymerisation processes, is that the free radicals are formed in the system and that they recombine among themselves to form polymeric chain. Under glow-discharge conditions, ionised radicals are formed and these radicals, when passed through the monomer, transfer their energy to the monomer molecules. The energy dissipation produces chemical changes which give rise to polymerisation [86]. Many investigators [9,74,87,88] have carried out extensive work on plasma-polymerisation and have suggested a mechanism in which free radicals are formed by the breaking up of covalent bonds. It is argued that the free radicals are formed by the processes such as opening of the double or tripple bonds, hydrogen abstraction, opening of ring and cleavage of C-C bonds. To identify the possible mechanism of plasma-polymerisation, the emission spectra of the plasma have been recorded by a number of scientists [89-94]. Also

discharges are known to be excellent sources of producing simple free radicals from a number of aliphatic hydrocarbons [95-97]. Hence the reaction mechanism of plasma-polymerisation can be studied by a proper analysis of the glow-discharge spectrum. A review of the techniques and mechanism of plasma-polymerisation is given by Millard [75].

Usually the polymerisation method is more economic and easy to prepare polymers. The general conclusions to be derived from the plasma-polymerisation techniques are that independent of the monomers being aromatic, olefinic, conjugated, unconjugated or fully saturated, the solid product is a dense, highly branched and cross-linked polymer containing considerable unsaturation in the form of both olefinic bonds and free valence [75].

1.30 PROPERTIES OF POLYMER FILMS

The demand for polymers are increasing fast due to its outstanding properties. The importance of the polymers and their technological interest are well described [98,99]. In this context it is apt to review the present status of our understanding of polymers as an electronic material and the actual and possible technological applications.

1.31 Electrical behaviour of polymer thin films

The synthetic organic polymers that we ordinarily associate with fibers, films or moldings are generally insulators. Their electrical resistivity lie in the range of 10^9 to 10^{29} ohm-cm [100]. The common polymers may be differentiated from the semiconducting polymers on the basis of the chain structure, the semiconducting polymers being usually conjugated. The electrical conductivities of a number of amorphous polymers have been studied thoroughly for their dependence of temperature and pressure [101]. Similar experimentation and reasoning suggest ionic conductivity in polypropylene [102], polyalkylmethacrylate [103] and polyethylene terephthalate [104]. A hyperbolic sine relation between current and voltage in polyethylene terephthalate [105] and polyethylene [106] thin films has been taken as an evidence for electronic conduction. Protonic conduction has also been suggested for the mechanism of charge transport for polymeric films [107].

Different workers have taken special interest to study the process of conduction in polymers and suggested different mechanisms to satisfy their experimental results. Bradley and Hames [74] have studied a large number of insulating polymers formed by plasma-polymerisation and suggested that there is a characteristic magnitude and temperature

dependence of conductivity for organic polymer films basically due to the preponderance of carbon-carbon bonds in the structure. Ando and Kusabiraki [108] have suggested that electrical conduction of polystyrene film formed by glow-discharge is mainly due to Poole-Frenkel effect at higher applied fields. At low fields ohms law is satisfied. The conduction characteristics due to the Poole-Frenkel effect is given by the following equation [109,110].

$$\sigma = \sigma_0 \exp[-(\phi - \beta_{PF} V^{1/2})/kT] \quad (1.09)$$

$$\beta_{PF} = (e^3/\pi \epsilon_r \epsilon_0 d)^{1/2} \quad (1.10)$$

Here ϕ is the impurity level, β_{PF} the Poole-Frenkel coefficient, e the electron charge, ϵ_0 the permittivity of vacuum, ϵ_r the relative dielectric constant of the insulator at high frequency, d the thickness of the insulator, k the Boltzmann constant and T the absolute temperature. From eqn.(1.09) it can be deduced that

$$\log \sigma = \log \sigma_0 - \frac{\phi - \beta_{PF} V^{1/2}}{kT} \quad (1.11)$$

Thus a logarithmic plot of conductivity against the root of applied field will be a straight line supporting the Poole-Frenkel effect. The above mentioned effect is also observed

in polyacrylonitrile films prepared by silent electric discharge [9].

Chutia and Barua [111] have studied in detail the current conduction mechanism of M-I-M structures of polyacrylonitrile film prepared by the solution growth technique. They have reported that Schottky emission [112] is the main mechanism of the electrical conduction. For Schottky mechanism the equation for current density is given by

$$J = AT^2 \exp[-\phi_0 - \beta_s V^{1/2})/kT] \quad (1.12)$$

where A is the Richardsons constant, ϕ_0 is the metal-insulator potential barrier height, β_s is the Schottky coefficient and is given by

$$\beta_s = \left[\frac{e^3}{4\pi\epsilon_r\epsilon_0 d} \right]^{1/2} \quad (1.13)$$

The symbols have their usual meaning. In this case also same type of current characteristic as seen in Poole-Frenkel effect is observed. The only difference is that Schottky emission will depend on the material of the electrode whereas Poole-Frenkel effect is not.

Out of a number of different mechanisms of conduction in polymers, space charge limited current (SCLC) mechanism [113,114] is very often observed. Lampert [115,116] and Rose [117] have reported that the analysis of SCLC - voltage characteristics may provide a convenient tool to study the parameters that characterise the traps present in the insulator. A new method of interpreting the SCLC - voltage curves which allows one to extract precise results from experimental data is given by Sworakowski and Nespurek [118]. The process of the SCLC is well described by a number of authors [6,119,120]. In this process, when injection into the conduction band or tunnelling, is not a rate-limiting process for conduction in insulator, a space-charge build up of the electrons in the conduction band or at the centres may occur which will oppose the applied voltage and impede the electron flow. At low applied biases, if the injected carrier density is lower than the thermally generated free carrier density, Ohms law is obeyed. When the injected carrier density is greater than the free carrier density, the current becomes space-charge-limited. Two requirements must be satisfied if SCLC flow is to be observed: (1) at least one electrode must make ohmic contact to the insulator (2) the insulator must be relatively free from trapping effects. Phadke [6,17,121] studied the SCLC in plasma-polymerised malononitrile films and ferrocene

films. In this case there are four regions in the voltage - current characteristic as shown in Fig.1.11. In the first region A-B, the current density varies with voltage as

$$J_{\text{ohm}} = n_0 e \mu \frac{V}{d} \quad (1.14)$$

where n_0 - the initial concentration of charge carriers, μ - the mobility, V - the applied voltage and d - the thickness. When voltage increases, the number of injected carriers become greater than the thermally generated charge carriers and SCLC starts. The presence of traps will reduce the SCLC, since empty traps will remove most of the injected carriers. Hence only a fraction of the carriers injected from the contacts will be free. In Fig.1.11, B-C shows SCLC region with shallow traps which follows

$$J_{\text{SCL}} = \frac{9}{8} \epsilon \mu \frac{V^2}{d^3} \theta \quad (1.15)$$

where ϵ is the dielectric constant.

$$\theta = \frac{N_c}{N_t} \exp \frac{-E_t}{kT} \quad (1.16)$$

where N_c is the effective density of state in conduction band, N_t the trap density, E_t the energy level of traps

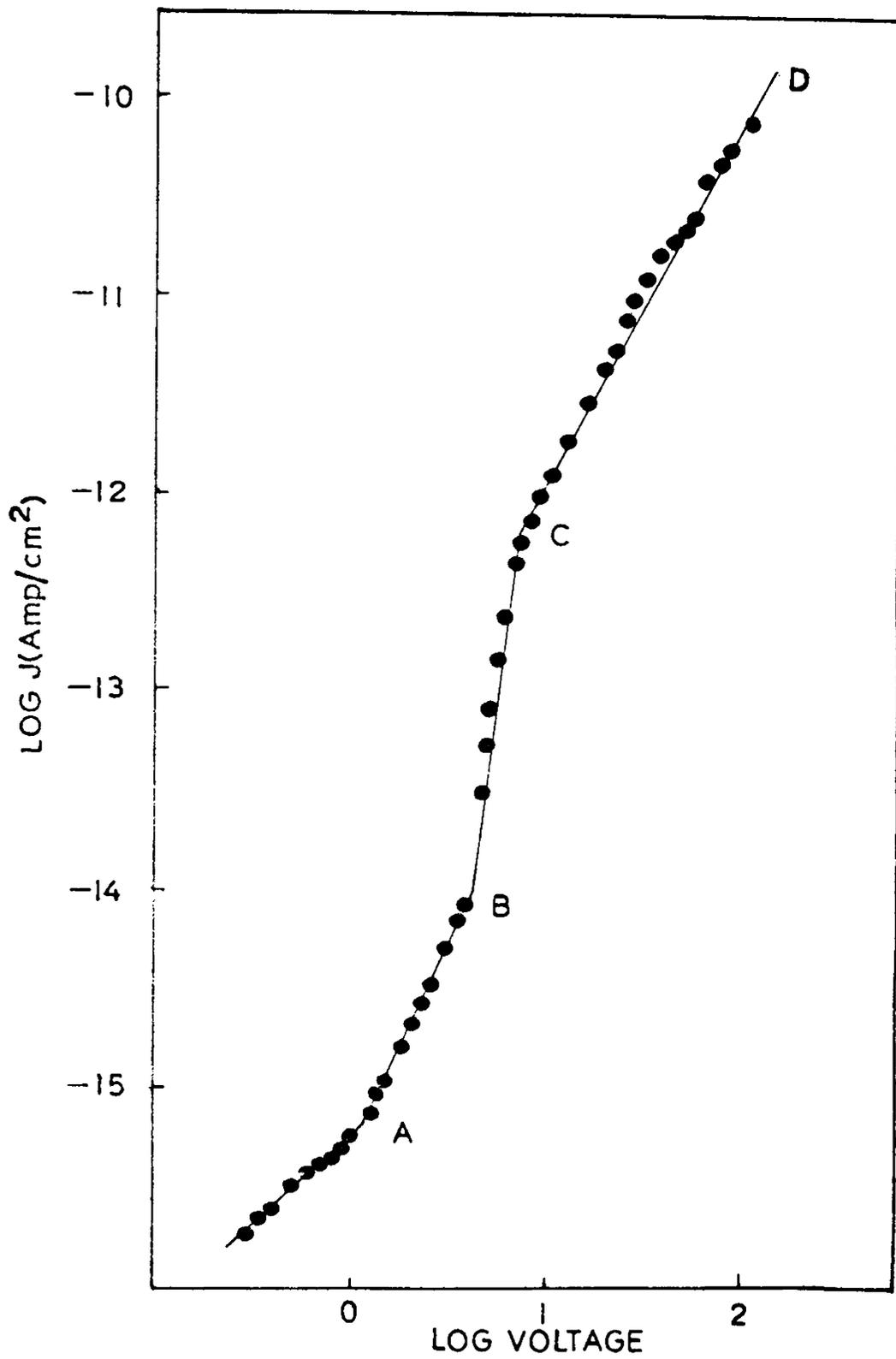


Fig.1.11. Current-voltage characteristic for polythiourea [6].

below the bottom of conduction band. For shallow trap SCLC and trap-free SCLC [122] θ is equal to unity. The transition voltage of ohmic region and SCLC (shallow trap) region is given by

$$V_{tr} = \frac{8}{9} \frac{n_o e d^2}{\epsilon} \quad (1.17)$$

On further increasing the voltage, the Electron Steady State Fermi Level (ESSFL) moves up the energy gap towards the conduction band. When it moves through a trap level, the trap which is initially 'shallow' and effective in reducing the current, becomes 'deep' and loses ~~its~~ effectiveness. When the injection level is so high that the ESSFL lies above the trap levels, all traps are essentially full. Thus, all the injected carriers appear in the conduction band and the current increases rapidly back to the trap-free curve. The voltage at which this occurs is called trap-filled limit voltage (V_{TFL}) [123] and is given by

$$V_{TFL} = \frac{e N_t d^2}{2 \epsilon} \quad (1.18)$$

Above this, any slight change in voltage will raise the current sharply as shown by C-D. On reaching the trap-free space charge limit given by D-E, the current - voltage relation becomes

$$J \propto V^n \quad (1.19)$$

where n is much greater than two. Phadke [6,17] has also studied the temperature variations of current density in polymalononitrile and polyferrocene thin films and the results are interpreted on the basis of appropriate energy level diagrams.

It was a break-through in polymer technology, when control on conductive properties of polymers is achieved analogous to that of covalent semiconductors. Most of the semiconducting polymers are conjugated polymers with alternate single and double bond configuration. The preparative methods and properties of a number of semiconducting polymers are described elsewhere [123,124]. Lupinski et al [125] gave one of the early reports on the controlled variable conductivity in a relatively stable system, viz., Tetracyanoquinodimethane (TCNQ) polymer salt. Recently various kinds of polymers are synthesised with wide ranges of conductivity from semiconductor to metallic. Tetrathiafulvalene - Tetracyanoquinodimethane (TTF-TCNQ) complexes have a conductivity of the order of $10^2 \text{ s}^{-1} \text{ cm}^{-1}$ [126] which varies with the concentration of TTF. Recently, polymeric sulphur nitride $(\text{SN})_x$ has attracted much attention because of its metallic [127-129] and even superconductive properties around 0.3°K [130]. In room temperature the conductivity of $(\text{SN})_x$ single crystal is $3.7 \times 10^3 \text{ s}^{-1} \text{ cm}^{-1}$ and that of $(\text{SN Br}_{0.4})_x$ is $3.8 \times 10^4 \text{ s}^{-1} \text{ cm}^{-1}$. Yoshino et al [7] have

studied the electrical properties of doped and undoped $(SN)_x$ thin film and explained the mechanism of charge transport.

In semiconductor technology, polyacetylene has an important place because of its unique semiconducting properties. By the successful synthesis of high quality flexible copper-coloured films of the CIS-isomer and silvery films of the trans-isomer [131-133] of conjugated polyene from acetylene in presence of a Ziegler catalyst and also by the successful control of the isomerism in the product [134,135], the attention of most of the polymer technologists has been turned to this material. The structures of CIS- $(CH)_x$ and trans- $(CH)_x$ are shown as Fig.1.03.

The electrical conductivity of CIS- and trans- $(CH)_x$ at room temperature and $1.7 \times 10^{-9} \Omega^{-1} \text{cm}^{-1}$ and $4.4 \times 10^{-5} \Omega^{-1} \text{cm}^{-1}$ respectively. The conductivity increases sharply when controlled amount of impurities like Cl, Br, I, AsF_5 and Na are doped. The method of doping and the change in the conductivities are already reported by Chiang et al [126]. The electronic properties are similar to those of a simple classical semiconductor with thermally activated conductivity at low dopant levels and transition to metallic properties at high dopant levels. The dopant can be either an acceptor (Br, I or AsF_5) or a donor (Li, Na or K) [136]. A typical behaviour for the electrical conductivity as a function of

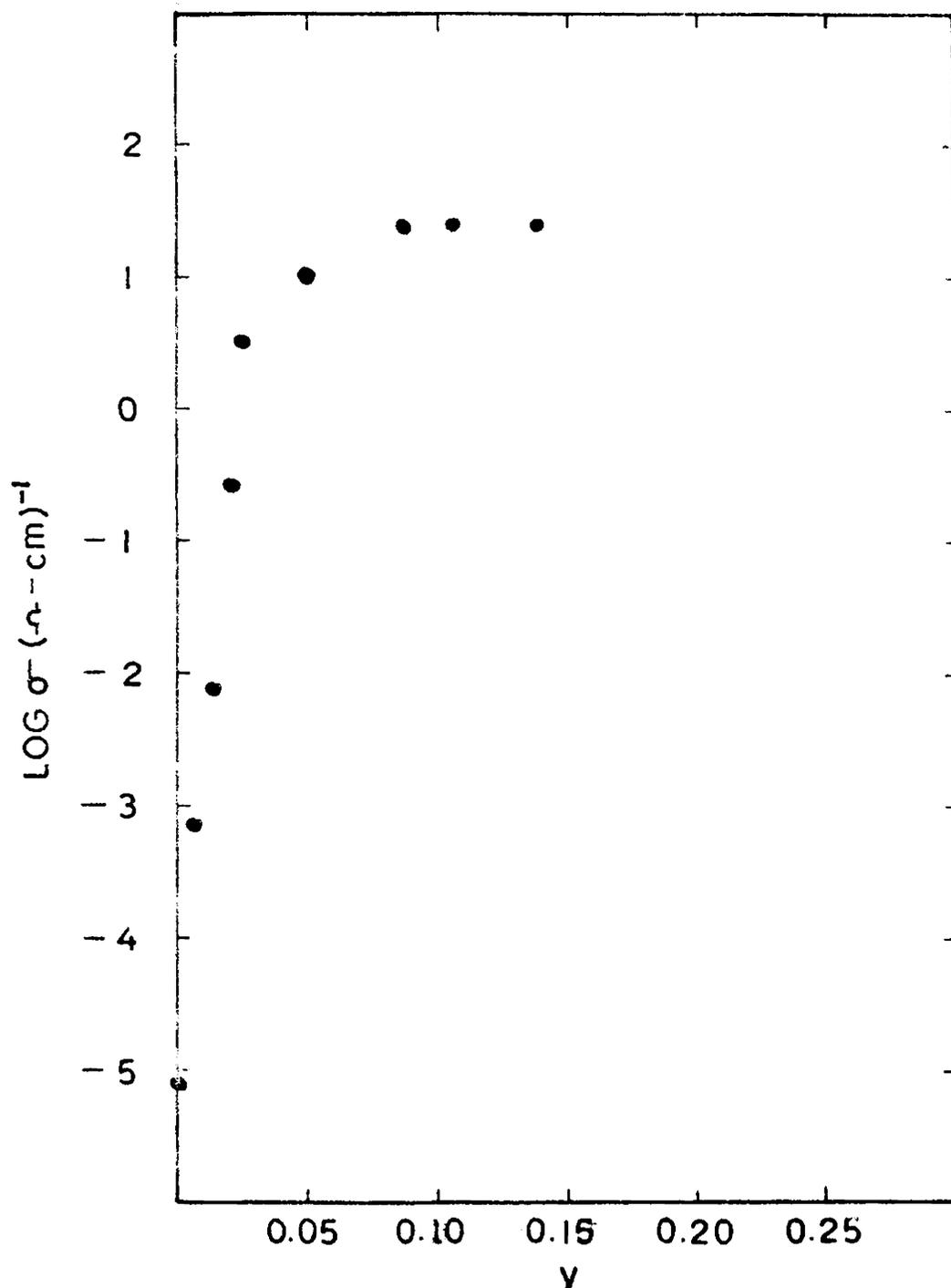


Fig.1.12. Electrical conductivity of trans- $[\text{CH}(\text{AsF}_5)_y]_x$ as a function of dopant concentration y [8].

the dopant concentration is shown in Fig.1.12. From the above studies, polyacetylene exhibit many attractive features for potential device applications. As an initial step, Chiang et al [136] have fabricated p-n junction diodes and their experiments are encouraging. The undoped trans-(CH)_x is confirmed to be p-type. The p-(CH_x): n-ZnS heterojunction has been demonstrated by Ozaki et al [137] to give an open circuit voltage of 0.8V. These results point to the potential of $(\text{CH})_x$ as a photosensitive material for use in solar-cell applications. If the polymer semiconductor technology progresses at the present rate, then most of the inorganic materials used in electronic circuitary will be replaced by polymer materials thereby making these components cheaper.

1.32 Dielectric behaviour of polymer thin films

Although most of the development efforts on thin films have been concentrated around inorganic materials, organic polymers offer highly desirable electrical and mechanical properties which make them suitable as capacitor dielectrics. The polymers show high dielectric strength and low dissipation factor. The electrical properties and mechanical properties of a number of polymers which are commercially used as capacitor dielectrics are available [138,139]. Exhaustive studies of the dielectric properties

of the polymers and appropriate theories to explain these properties are well discussed in the literature [6,140,141]. Depending on the dielectric behaviour, the polymers are broadly divided into two groups: (a) Non-polar polymers and (b) Polar polymers. The first group of polymers are characterised by the absence of a permanent dipole moment and they show very low dielectric constant, practically no dielectric loss and very little frequency dependence of either of these quantities. In this case, the electrical polarisation is principally due to the distortion of the electronic and atomic structures. However, majority of polymers belong to the polar group. They have permanent dipole moment and hence exhibit dielectric dispersion. The polar polymers usually show very high dielectric constant. Good examples of such polymers are polyacrylonitrile (PAN) [142] and polyvinylidene fluoride [143]. The side group in PAN is a nitrile moiety, which by itself has a permanent dipole moment of 3.4D. But there is evidence [144,145] that the nitrile group in PAN may have dipole moment lesser than the normal value. Bradley and Hammes [74] have given the capacitance of a number of plasma-polymerised polymer films sandwiched by two aluminium electrodes. Phadke [82] has given information regarding the variation of loss factor ($\tan \delta$) with temperature and frequency upto 6.5MHz and variation of dielectric constant with frequency for a few

polymer films. Dielectric properties of a number of polymer films are thus available in the literature [146-148].

In recent years, the dielectric studies are extended to solid solutions like polyethylene oxide and polyvinyl alcohol at high frequencies (upto 40GHz) to obtain information on the adsorbed water vapour and oxygen [149-151]. To get the information on the origin of the dielectric properties, the spectra of loss peaks with temperature are analysed by different scientists [152,153].

Glow discharged polystyrene thin films have many different electrical and physical properties [eg. relative dielectric constant (ϵ_r) dielectric loss ($\tan \delta$), solubility etc.] in comparison with ordinary styrene [154]. Takeda [155,156] has reported that the temperature coefficient of the dielectric constant of glow-discharged polystyrene is different from the normal polystyrene. The temperature coefficient of the dielectric constant of a normal polystyrene film is negative, while it is positive for the polystyrene thin film formed by electrodeless excitation. The negative coefficient seems to be due to thermal expansion of volume while the other is due to the permanent dipoles with widely distributed relaxation times [157].

From most of the dielectric studies in the past few decades, it is well established that polymers are very good dielectric materials with comparatively high breakdown voltage. Hence polymers have been identified, for their application as capacitors for high voltage supplies. Currently, the polymer scientists have switched over their investigations to other allied properties such as thermally stimulated depolarisation current, piezoelectric and pyroelectric behaviour.

1.33 Thermally stimulated current in unpoled and poled polymer thin films

Extensive studies have been reported on the short-circuited current of poled [158-160] and unpoled [161-163] polymers to obtain information about the behaviour of trapped charges and dipole relaxation process. Thermo-electric and electro-chemical effects have also been studied in detail on a variety of polymers [162,163]. Pillai et al [164] have observed a spontaneous short-circuited current in unpoled polyvinylacetate due to the dissociation of adsorbed water into H^+ and OH^- . Pillai and Mollah [165] have also studied the effect of electrodes in the polymer sandwich system on heating. Carr et al [166,167] have worked on the spontaneous current release from polyacrylonitrile. They have suggested that this current is due to the chemical

degradation. There are investigations on thermally stimulated currents due to compositional inhomogeneity in plasma-polymerised Al-PAN-Al.

Thermally stimulated depolarisation (TSD) current analyses give detailed information on the mechanism of charge storage in electrets. In poled polymer electrets, usually two peaks are observed, one below (β -transition) and other above (α -transition) the glass transition temperature [168,169]. The α -transition is due to the relaxation of trapped charges while the β -transition is associated with movement of sidegroups around the equilibrium position where large scale conformational rearrangement on the main chain are frozen. More detailed theoretical and experimental results on the mechanism of charge storage in electret polymers are given by Sessler and Van Turnhout [170].

Consider an ideal dielectric containing only one type of non-interacting dipoles of moment p and relaxation frequency α . In the absence of an electric field the dipoles are oriented randomly. If one applies a poling field E_p at a poling temperature T_p for an interval of time $t_p \gg \tau(T_p)$ (where $\tau(T_p)$ is the relaxation time at T_p) the dipoles will be polarised to saturation and in the meantime an exponential current decay will be observed. At this time the dielectric is cooled down to room temperature T_0 , where the

relaxation time is of the order of hours. At $T = T_0$, the electric field E_p is turned off and a poled dielectric is obtained. When the specimen is short-circuited through an electrometer and the temperature is raised, depolarisation peaks are observed and these peaks are properly analysed to get the detailed information about the dipole moment and charge storage in dielectrics.

If a polar material contains N dipoles per unit volume, with a dipole moment p , the final polarisation after poling with a field E_p is

$$P_0 = \frac{N p^2 E_p}{3kT_p} \quad (1.20)$$

During the subsequent TSD, the aligned dipoles will randomly disorient and the depolarisation current is given by the following expression [171].

$$J(T) = -\alpha(T)P_0 \exp\left[-\frac{1}{\beta} \int_{T_0}^T \alpha(T)dT\right] \quad (1.21)$$

where β is the rate of heating $\frac{dT}{dt}$. Different depolarisation kinetic parameters like $\alpha(T_{max})$ and activation energy A can be derived from this expression. The total polarisation P_0 can be

calculated from the area taken by the peak and is given by

$$P_o = \frac{1}{\beta} \int_{T_o}^{\infty} J(T) d(T) \quad (1.22)$$

Hence from eqn.(1.20) the dipole moment can be calculated. It is strange to see that the P_o calculated from the dipole moment of PAN by Stupp and Carr [172] is less than the polarisation observed experimentally from the depolarisation peaks. They have reported that the total polarisation calculated from eqn.(1.20) is only $0.05 \mu\text{C}/\text{cm}^2$. The Onsager equation [173] has also been used to predict polarisation.

$$P_{\text{ons}} = \frac{Np^2 E_p}{kT_p} \frac{\epsilon_o (2\epsilon_o + 1)(n^2 + 2)^2}{9(2\epsilon_o + n^2)^2} \quad (1.23)$$

where ϵ_o is the dielectric constant at zero frequency and n is the index of refraction of the medium. Making no presupposition about the orientational aspects of the nitrile side-groups, one predicts $P_{\text{ons}} = 0.15\mu\text{C}/\text{cm}^2$. On the other hand, if one assumes that dipoles are constrained to orientational motions by rotation about axes perpendicular to the electrical field, this value can be increased to $P_{\text{ons}} = 0.23\mu\text{C}/\text{cm}^2$. The Frolich equation [172]

$$P_{\text{fro}} = \frac{Np^2 E_p}{kT_p} \frac{\epsilon_o (n^2 + 2)^2}{9(2\epsilon_o + n^2)} .g \quad (1.24)$$

is capable of taking into account not only how the local environment of a dipoles modifies the impressed electrical field but also how the local environment imposes a force field upon the dipoles. In the case of numbers reported in the previous paragraph, 'g' would need to have values in the range 3 in order to reconcile with the experiments.

Charge storage properties in solution grown polyvinyl butral thin films have been studied by TSD technique by Jain et al [174]. Of the observed two peaks, one is associated with the deorientation of the aligned dipoles whereas the other is associated with trapped charges. Such types of studies are frequently reported for other polymers also [175,176]. Wintle and Sapicha [177] have reported a method namely optical detrapping (photon stimulated currents) to study the trap depth in polymer films.

The outcome of such TSD studies is that the scientists could contribute very good dielectric materials like polyvinylidene fluoride (PVF_2) which has very high dipole moment. TSD studies of PVF_2 reveal that the dipole orientation in thin polymer is reversible [178-180]. Even-though PAN has very high dipole moment (3.4D), from the results of TSD it is suggested that the nitrile side group has only a slight preferred orientation in the direction

of polarisation. Hence this material is neither piezoelectric nor pyroelectric. Thus it will be of special interest to understand the poling mechanism of PAN and the limitation of dipolar orientation in an electric field. In this direction extensive work has been carried out and reported by Stupp et al [142,160,172].

1.34 Piezoelectric and pyroelectric polymer thin films

During the past few years, there is an increasing interest in the phenomena of piezoelectricity and pyroelectricity in polymers. The pyroelectricity is associated with the temperature dependence of remnant polarisation. When a pyroelectric material is heated, a voltage is developed across the specimen which is proportional to the rate of change in temperature. In the case of piezoelectric material the voltage across the surfaces are associated with the stress. Although a number of polymers have been studied, none has matched in magnitude the effects found in PVF_2 . The fact that substantial piezoelectricity can be permanently induced by suitable treatment in PVF_2 was first reported by Kawai [181]. Shortly thereafter, Bergman et al [182] treated PVF_2 in the same manner and demonstrated pyroelectric behaviour also. Three crystal phases (α , β , γ) have been reported for PVF_2 of which α and β phases are commonly used as piezoelectric polymer.

Kepler [183] has reported that the origin of pyroelectricity in PVF_2 is the orientation of dipoles and pyroelectric coefficients are found to be -1.25×10^{-5} and $-2.74 \times 10^{-5} \text{ Cn/m}^2\text{K}$ for biaxially oriented films and piezofilms respectively. Based on the observations of Glass, Oshiki and Fukada [184,185] it has been concluded that the origin of the spontaneous polarisation in PVF_2 is due to dipole orientation and the orientation can be in part reversed by an electric field. PVF_2 , thus shows ferroelectric behaviour also. It is perhaps wise to highlight the fact that the particular piezoelectric and pyroelectric properties can be quite sample-dependant in terms of both purity, morphology and detailed processing procedure. Thus it has become difficult to identify a specific mechanism to explain the piezoelectric behaviour when several inter-related parameters are involved.

Pyroelectric studies of the copolymer of PAN and PVCl_2 reveal that the property is due to space charge mechanism [186]. The depolarisation of polyethylene terephthalate films under short-circuited conditions was well studied using white light as a probe [187]. A representative value for the pyroelectric coefficient is $4 \text{ nC m}^{-2}\text{K}^{-1}$. The pyroelectric current in PAN was also studied by Likhovidov et al [188] and suggested that the production of electrets films

with high pyroelectric coefficient like PAN enables the direct transformation of solar to electrical energy.

In summary, a detailed understanding of the origin of piezoelectric and pyroelectric properties of polymers are still lacking. Currently, work is in progress to understand the phenomenon, to improve the performance and processing of PVF₂ and if possible to identify or even design new materials. Recent reports indicate that efficient poling of PVF₂ can take place at room temperature by the use of Corona-charging [189].

1.35 Applications of polymer thin films

The polymer films are widely used for various industrial purposes. The main advantages of polymer thin films are that they can be prepared easily and cheaply, that they are stable and flexible and can be moulded into any form. Polymer films are very well in use in electronics as capacitors. Polystyrene capacitors are very popular in electronic industry. After the development of n-type and p-type polyacetylene, diodes and transistors are fabricated with this polymer [136,137]. Due to its very good photosensitivity, it can be used for solar cell applications. The electret properties of polymer are utilised to develop different types of devices. The applications of electrets are based on the piezoelectric and pyroelectric effects in polarised material.

Among them, special importance is given to electro-acoustic transducers utilising piezoelectric films operating in transverse or longitudinal modes [190,191]. The recent discovery of strong piezoelectric effect in PVF_2 has led a number of significant applications of this material in electro-acoustic and electro-chemical transducers. Such transducers are very well used for underwater communications [192]. Composite resonators using PVF_2 piezoelectric films have been applied in acoustic transducers for generating and detecting VHF ultrasonic waves in water. The flexibility of PVF_2 enables one to fabricate concave transducers for focussing radiation [193,194]. The electret microphones are generally used in loud-speakers and its advantage is that they do not require bias voltage. Their frequency response is superior to all other microphones. Among the research applications, such microphones are used in opto-acoustic spectroscopy especially in connection with the detection of air pollution [195,196]. The variety of applications of electret thin films may be listed under photo-conductive image formation [197], Xerographic reproduction [198] and electret motors [199]. Similarly pyroelectric polymers are used as optical detectors [200], and vidicon tubes [201]. A detailed review on the applications of polymer films is given by Sessler and West [202].

1.40 AIM AND SCOPE OF PRESENT WORK

From the above survey it is clear that a lot of interest is paid to PVF_2 because of its appreciably good piezoelectric and pyroelectric properties. The dipole moment of PVF_2 is 2.27D and it is reported that a better dipole moment (3.4D) is observed in PAN. So it is natural to expect very high pyroelectric and piezoelectric coefficients for PAN. From the literature it can be seen that a number of investigations are made on PAN prepared by solution growth technique and it is found that even with such a large dipole moment, the charge storage capability is very small and piezoelectric and pyroelectric coefficients are very low. They have suggested that for such samples, the nitrile side-group has only a slight preferred orientation. From the above review, it can be seen that the dielectric properties may be different for polymer films prepared by different techniques. It is also important to note that the mechanism of conduction in PAN is varied with different preparative techniques. Hence it was thought to carry out a more detailed investigation in PAN prepared by a completely different method. PAN was prepared directly from monomer by plasma-polymerisation. The specimens were subjected to TSC studies for unpoled and poled specimens. The dielectric properties and conduction mechanism were also investigated. The dipole

moment is found to be very close to 3.4D. The structural information was attempted by infrared technique and the reaction mechanism was studied by observing the band spectra. The results were compared with PAN prepared by other methods. An appreciable amount of short-circuited current shown in Al-PAN-Al is an important observation of the present investigations of the plasma-polymerised PAN.

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CHAPTER II

METHOD OF PREPARATION OF PLASMA-POLYMERISED POLYACRYLONITRILE AND EXPERIMENTAL ARRANGEMENTS FOR ELECTRICAL MEASUREMENTS AND THICKNESS DETERMINATION

ABSTRACT

The method of preparation of plasma-polymerised polyacrylonitrile sandwiched by aluminium electrodes is discussed in this chapter. Details regarding the design of the plasma-polymerisation chamber, the vacuum coating unit for the evaporation of aluminium electrodes and the special chamber for electrical measurements are presented. From the parametric studies of film growth, it is found that the electrical energy is directly transferred to the monomer molecules to form radicals. The methods of thickness measurement of the polymer film and the aluminium electrodes are also discussed.

2.10 INTRODUCTION

The properties of polymers play a significant role in the development of thin film devices due to their outstanding semiconductor, piezoelectric, pyroelectric and electret properties. It has been reported [1] that depending on the methods of preparation, the physical and electrical properties will vary in polymer thin films. Different methods have been suggested to prepare polymer films; among them plasma-polymerisation is one of the popular methods on account of the desirable qualities of thin films such as good adhesion to substrates, pin-hole-free nature and excellent electrical and mechanical properties [2]. Also this method is more economical due to the optimum use of monomer, high efficiency of polymerisation and absence of any type of catalyst. Polymer films of many organic and organo-metallic materials have been prepared by the glow discharge method [3]. Different techniques of preparing polymers by glow-discharge have been discussed in the first chapter.

In the present investigation, polyacrylonitrile (PAN) is prepared directly from its monomer vapour by low frequency plasma-polymerisation process. For electrical measurements, the polymer thus formed is sandwiched by aluminium electrodes prepared by thermal evaporation in a vacuum. A detailed description of the polymerisation chamber, the vacuum

evaporation unit and the vacuum cell designed for the study of the electrical properties of insulators is presented in the following sections.

2.20 PREPARATION OF ALUMINIUM-POLYACRYLONITRILE-ALUMINIUM (Al-PAN-Al) SANDWICH STRUCTURES

2.21 The plasma-polymerisation chamber

The plasma-polymerisation chamber is schematically shown in Fig.2.01. It consists of mainly three parts: (1) pumping module, (2) glow-discharge chamber and (3) monomer feeding system. The pumping module consists of a two inch diffusion pump D (IBP torr), liquid nitrogen trap LNT and a baffle valve B. The baffle valve separates the pumping module and the glow-discharge chamber. A 100 litres/min. rotary pump (Hind High Vacuum) is connected to the diffusion pump and the glow-discharge chamber through the diaphragm valves V_2 and V_1 respectively. The upper flange of the baffle valve is coupled to the mild steel base plate P of fourteen inches diameter. A thermocouple gauge TG which is connected at the rotary pump side can sense the pressure of the system upto 10^{-3} torr and below this pressure a Penning gauge PG connected to the plasma-polymerisation chamber can be used. All the vacuum connections are made by conventional O-ring seals [4].

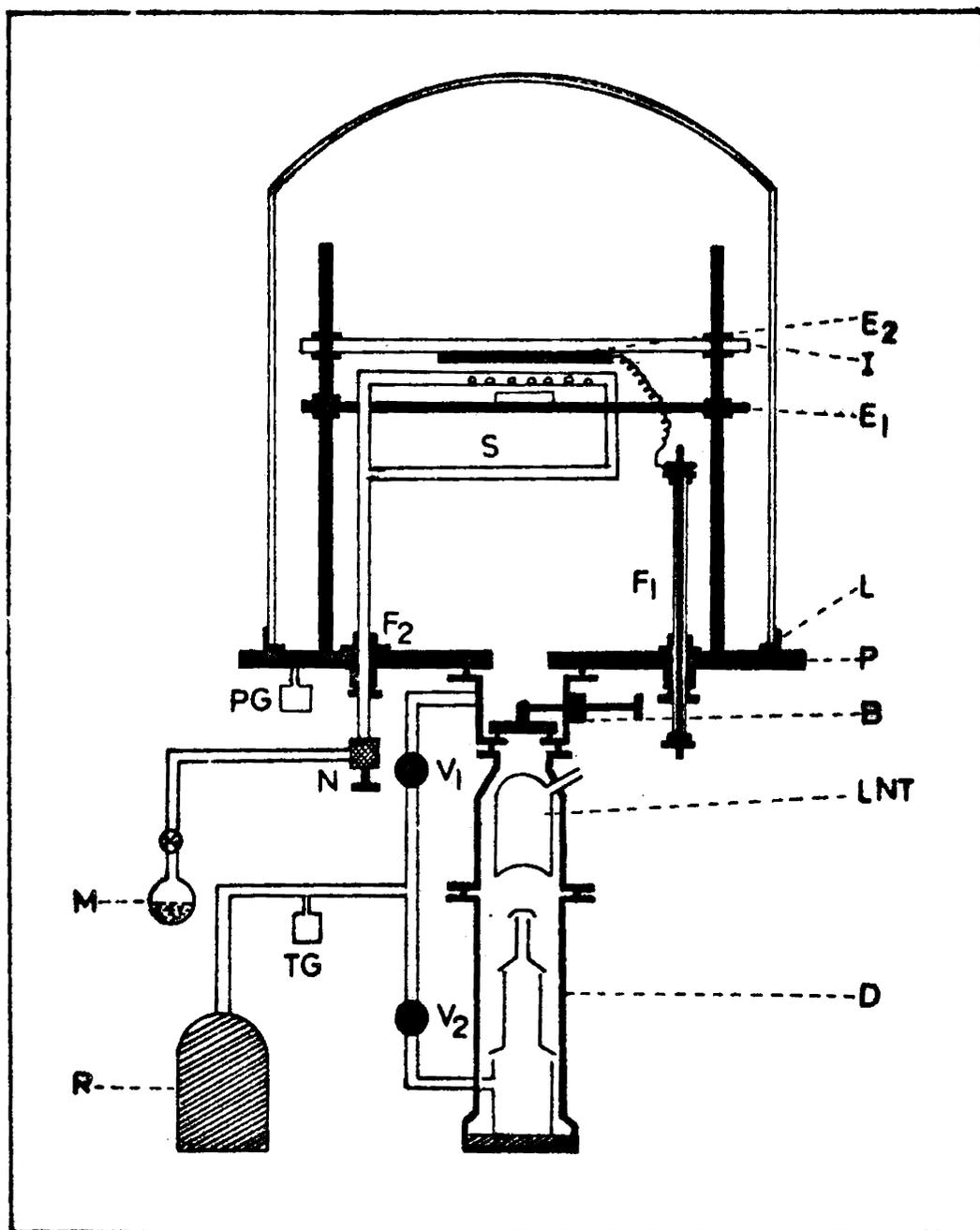


Fig.2.01. Schematic diagram of plasma-polymerisation chamber.

D - diffusion pump, LNT - liquid nitrogen trap, B - baffle valve, R - rotary pump, V_1 - diaphragm valve for roughing, V_2 - diaphragm valve for backing, P - base plate, TG - thermocouple gauge, PG - penning gauge, G - bell jar, L - neoperene L - gasket, E_1 and E_2 - electrodes for polymerisation, I - glass plate, F_1 - high voltage vacuum feed through, M - monomer reservoir, N - needle valve, F_2 - monomer feed through and S - sprayer.

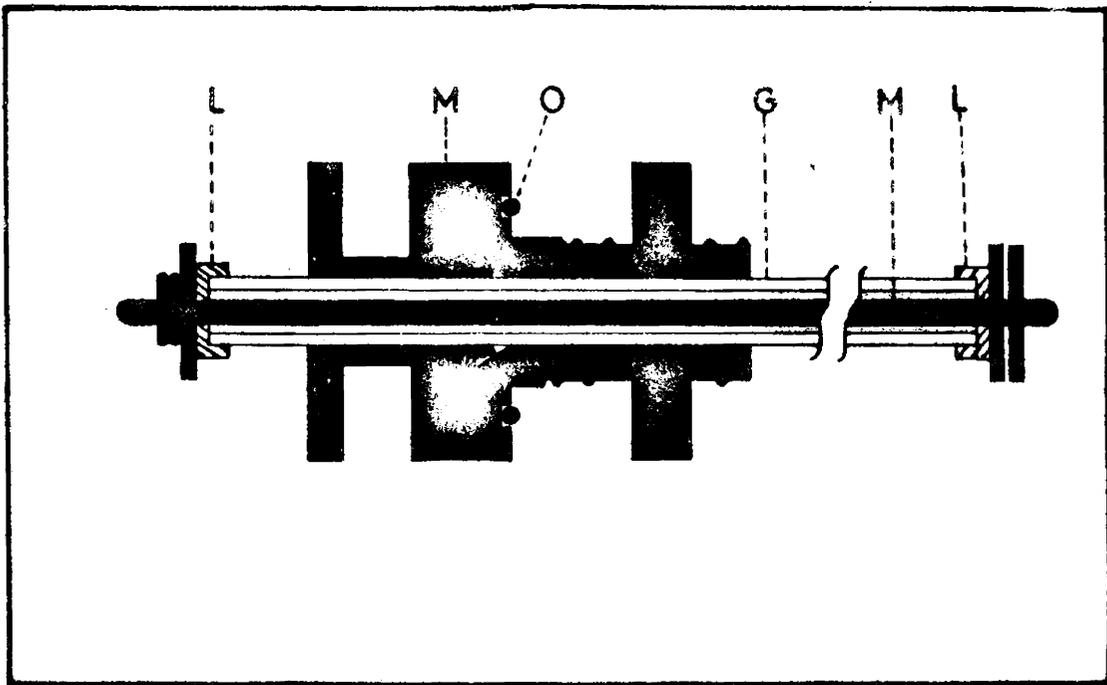


Fig.2.02(a) High voltage feed through F_1 .
 L - neoperene L-gasket, M - metal parts,
 G - glass tube and O - neoperene O-ring.

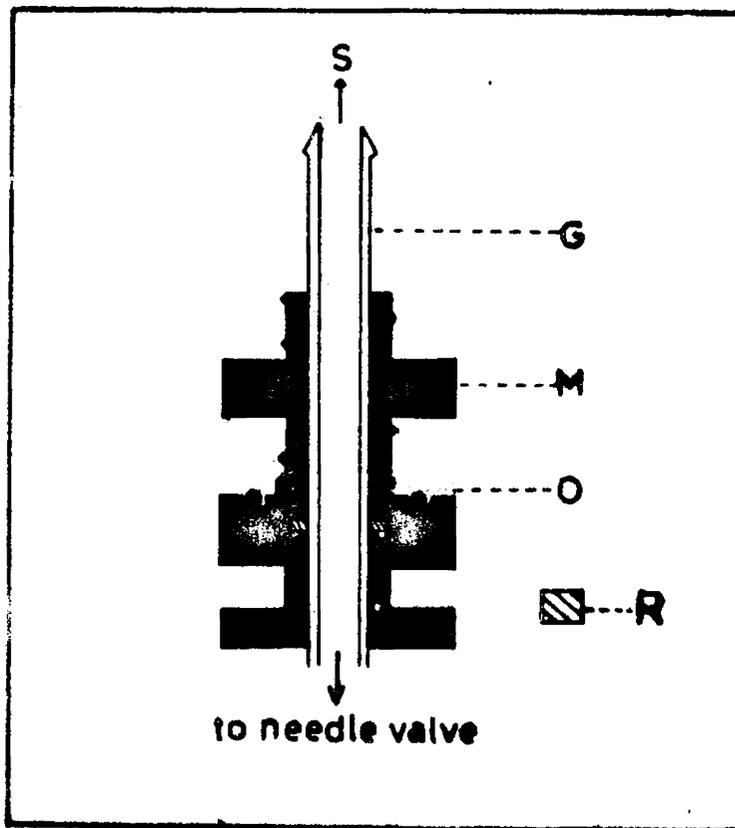


Fig.2.02(b) The monomer feed through F_2 .
 G - glass tube, M - metal parts, O - neoperene
 O-ring and R - neoperene gasket.

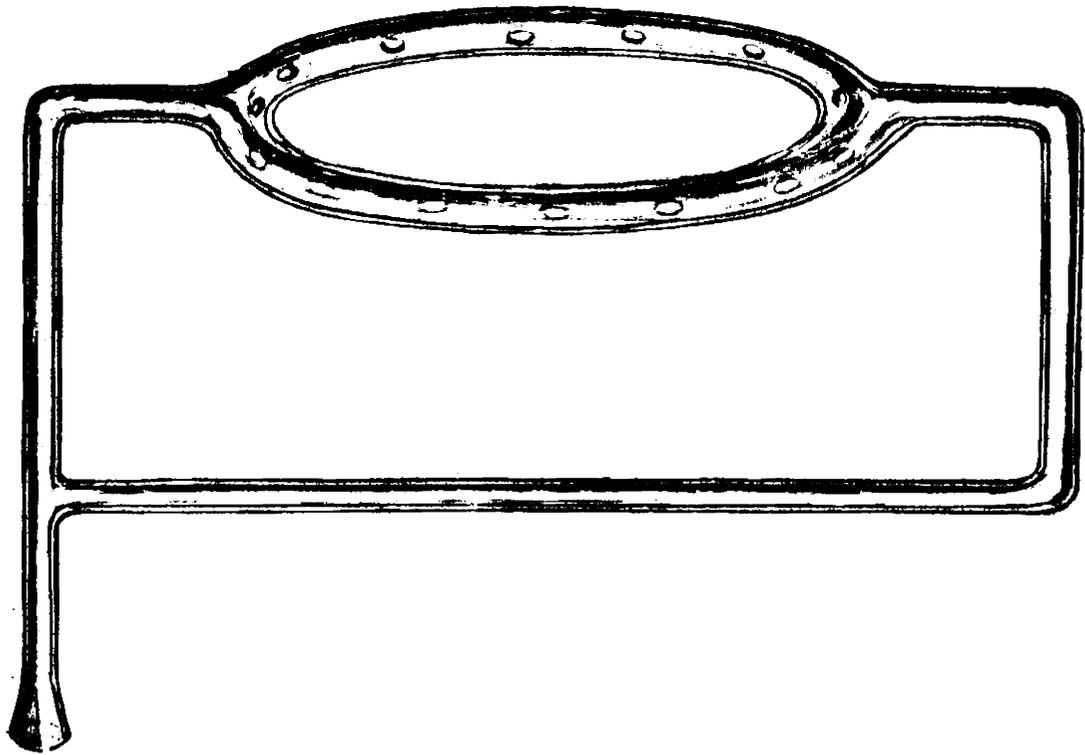


Fig.2.02(b) Circular monomer sprayer S.

The glow-discharge chamber mainly consists of a twelve inch bell jar G which is coupled to the base plate using Neoperene L-gasket. Inside the discharge chamber, two mild steel electrodes E_1 and E_2 are mounted on two vertical metal rods as shown in Fig.2.01. The electrode E_1 is of eighteen centimeters diameter and situated twenty centimeters above the base plate. It is directly connected to the vertical rods such that this electrode is always earthed. The other electrode E_2 is parallel to E_1 and mounted one to four centimeter above the former electrode. This electrode is of sixteen centimeters diameter and mounted on a glass plate I using adhesives. The glass plate is fixed on the vertical rods tightly, taking care to maintain E_2 electrically isolated. To E_2 , a high voltage AC power supply is connected through a high voltage vacuum feed-through F_1 . One such vacuum feed-through designed and fabricated for this purpose is shown in Fig.2.02(a).

As presented in Fig.2.03, the high voltage power supply consists of a 3KV step-up transformer with 150 mA current rating. The primary voltage is controlled by a variac which is connected to mains (50Hz AC). Hence a voltage upto 230V can be given to the primary of the transformer. One of the secondary leads is earthed and other is connected to the isolated electrode E_2 through a resistance (1 K Ω , 10W) and a milliammeter (0-300 mA). By adjusting

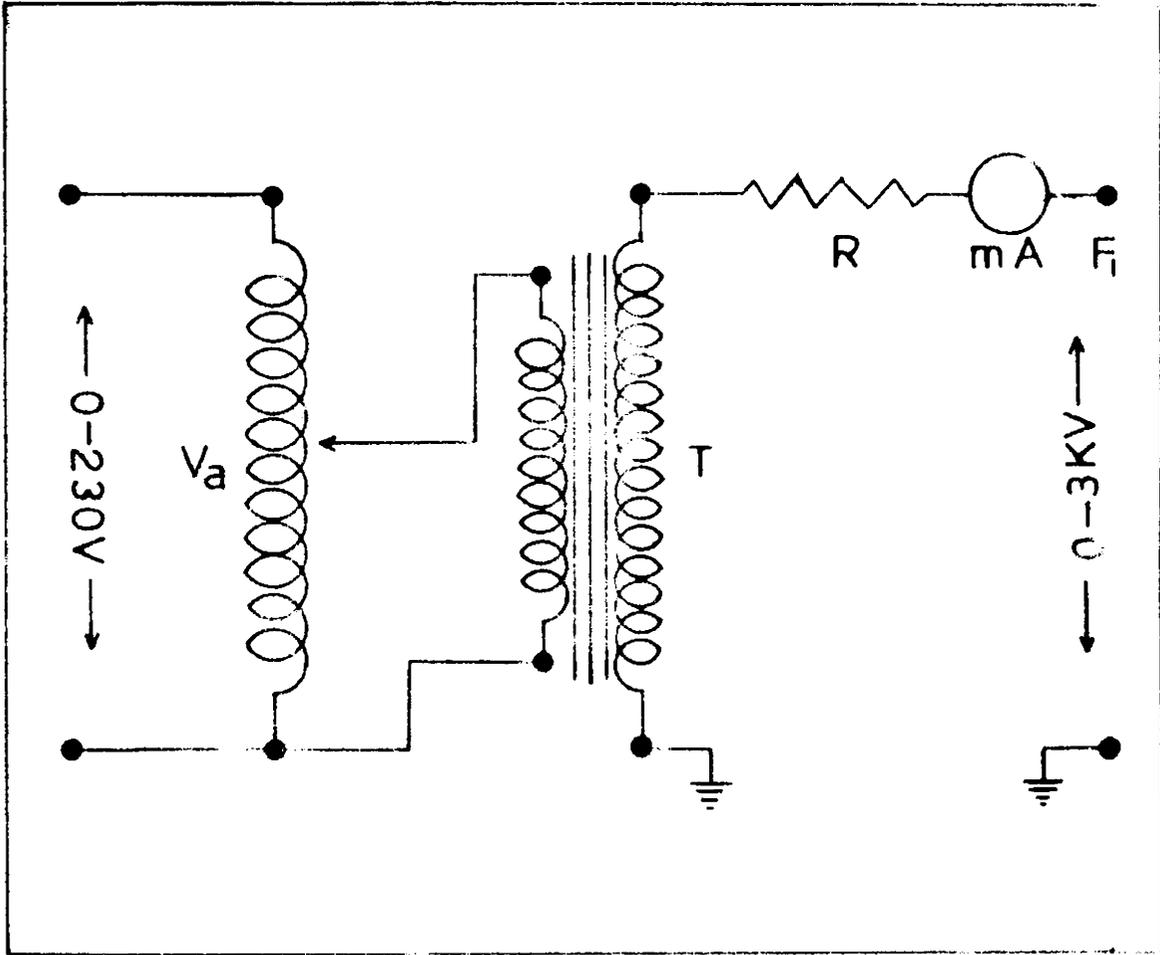


Fig. 2.03. High voltage generator for electron microscope. $V_a = 230$ Vac, $I = 1$ amp, $R = 1000$ ohms, $F = 100$ ohms, $T = 1000$ ohms, $0-3KV$ scale, $0-100$ mA scale, $0-100$ ohms scale.

the primary voltage, the discharge current flowing across the electrodes can be controlled.

The monomer feeding system consists of a reservoir in which liquid monomer is collected. On opening the stop-cock, the monomer vapour passes through the needle valve N (Hind High Vacuum), the vacuum feed-through F_2 and gets sprayed into the inter-electrode region. N is used for regulating the monomer vapour flow which will optimise the polymerisation process. The feed-through F_2 designed for the monomer feeding system is shown in Fig.2.02(b). For spraying the monomer to the inter-electrode region, a jet tube sprayer is used. In this case the monomer vapour density is uniform only at the centre of electrodes, but varies when it moves to the sides of the electrodes. Hence the sprayer S is modified to a circular configuration with equally spaced fourteen side holes as shown in Fig.2.02(b). This sprayer has a special advantage of giving equal amount of monomer throughout the circumference of the electrodes. As a result, polymer film of uniform thickness is formed in the whole area of the electrodes. A vacuum coating unit modified for the plasma-polymerisation process is shown in Fig.2.04.

Usually plasma-polymerisation occurs at medium vacuum. The substrates on which the polymer has to be prepared is placed at the centre of E_1 . For initial



Fig.2.64 The plasma-polymerisation chamber

pumping, baffle is closed tightly and the chamber is pumped down to 10^{-2} torr through V_1 using rotary pump. Then the chamber is pumped down to 10^{-4} torr using the diffusion pump keeping baffle valve open. With the needle valve N open, the monomer feed-through upto the stop-cock is evacuated. Then the voltage is applied across the electrode and a glow discharge is formed in the inter-electrode region (Since the electrodes are of dissimilar diameter, arcing through the edges is minimised). The current is adjusted to 50 mA. Initially the pressure in the system increases due to desorption of gases from the electrodes, substrates and the sides of the chamber. After about ten minutes, the pressure decreases to 10^{-4} torr. At this stage the needle valve N, the baffle B and diaphragm valve V_2 are closed and the chamber is pumped through V_1 using the rotary pump. The monomer stop-cock is opened completely and by controlling the needle valve, the chamber is flushed with the monomer for about 10 minutes. Now N is closed and when a pressure of 10^{-2} is regained the voltage and the pressure are simultaneously adjusted to obtain a discharge condition suitable to the formation of a good polymer film.

2.22 Growth rate of the polymer

The rate of growth of the polymer depends on the amount of the monomer vapour present in the chamber and

on the current flowing across the electrodes. The amount of monomer vapour present in the system is proportional to the effective pressure in the polymerisation chamber. For a constant voltage the current across the electrode depends on the monomer vapour pressure. Hence the main parameter for rate of growth of polymer film is the current across the electrodes. It is also noted that a minimum voltage is required to produce the glow-discharge and the threshold voltage depends on the inter-electrode spacing.

To study the growth rate of the polyacrylonitrile films, the polymer is prepared on ultrasonically cleaned glass substrates. Initially the pressure of the monomer vapour and duration of polymerisation are kept constant. The thickness of polyacrylonitrile obtained by varying the electrode current are measured by Fizeau fringes method. In Fig.2.05, the film thicknesses of polyacrylonitrile grown on the surface of the glass substrate are plotted against the square of the electrode current for two different pressures of 0.5 torr and 0.7 torr. For all the measurements, the time duration of polymerisation is ten minutes and the interelectrode distance is three centimeters. From the figure, it is observed that for a constant pressure the thickness is proportional to the square of the electrode current. As reported earlier [5], it is also found that as the pressure

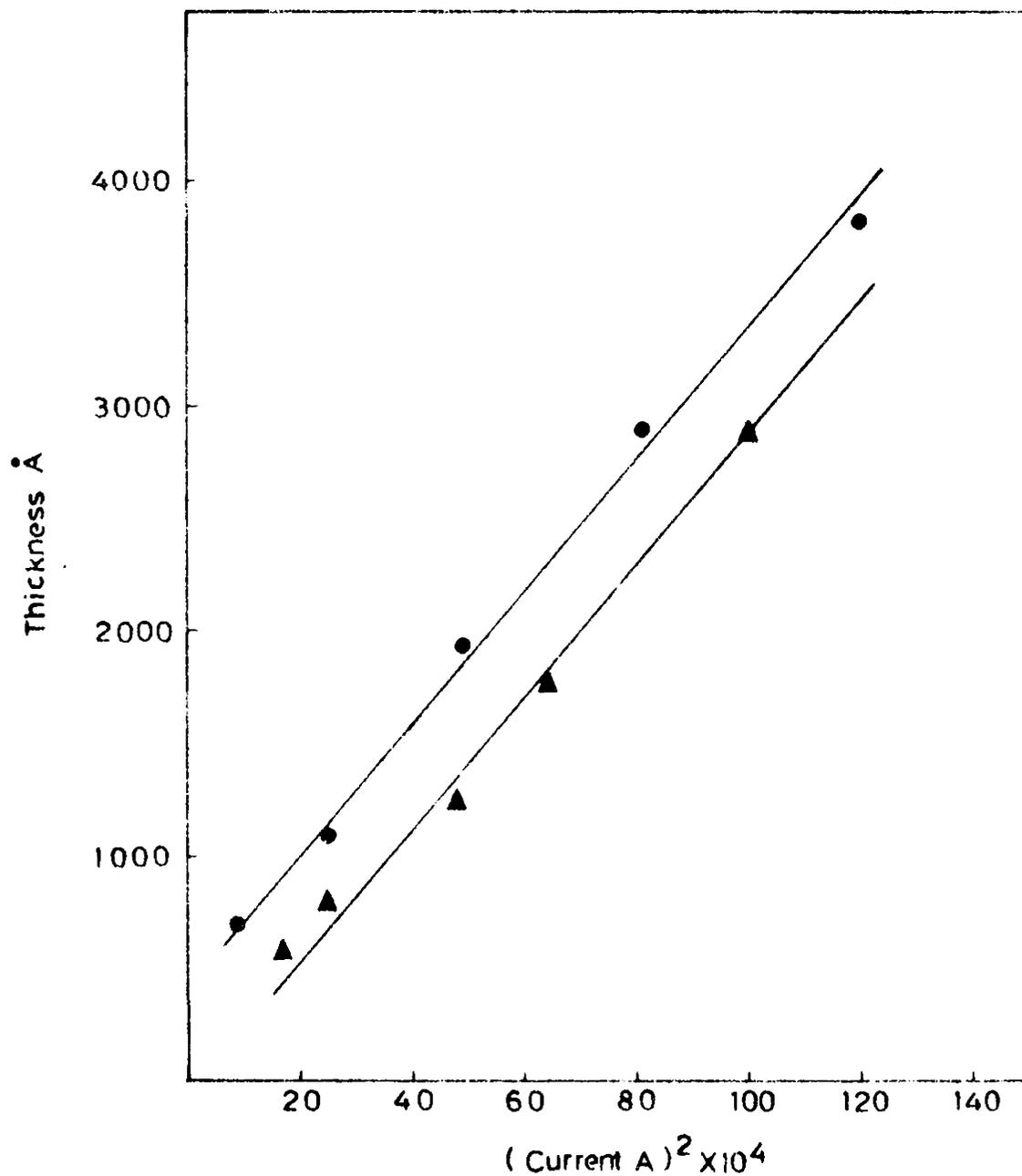


Fig. 2.105. Thickness of the PAH film plotted against (current)², (●) for 27 torr and (▲) for 25 torr.

in the system is increased, the growth rate is also increased. Since the growth rate is proportional to the square of the current it can be concluded that the growth rate is proportional to the electrical power transferred to the system. The electrical power is directly transferred to the monomer molecules to break the bands which have minimum binding energy. When a voltage is applied to the electrodes, the ions and electrons are accelerated towards the electrodes. The accelerated ions collide with monomer molecules and due to the impact the energy is transferred to the monomer molecule generating the radicals of the monomer. These radicals combine together to form a polymer chain. Certain accelerated ions will collide with the electrodes raising the temperature of the electrodes, thereby dissipating a part of the energy.

2.23 Preparation of metal electrodes

For the preparation of the metal electrodes thermal evaporation under high vacuum is used in the present investigation. For this purpose usual designs [6] of high vacuum coating units are adopted. The vacuum coating unit which has been designed and fabricated for this purpose consists of a mild steel base-plate, 6 inch diffusion pump and 550 litre/min. rotary pump. The design of vacuum coating unit is presented in Fig.2.06.

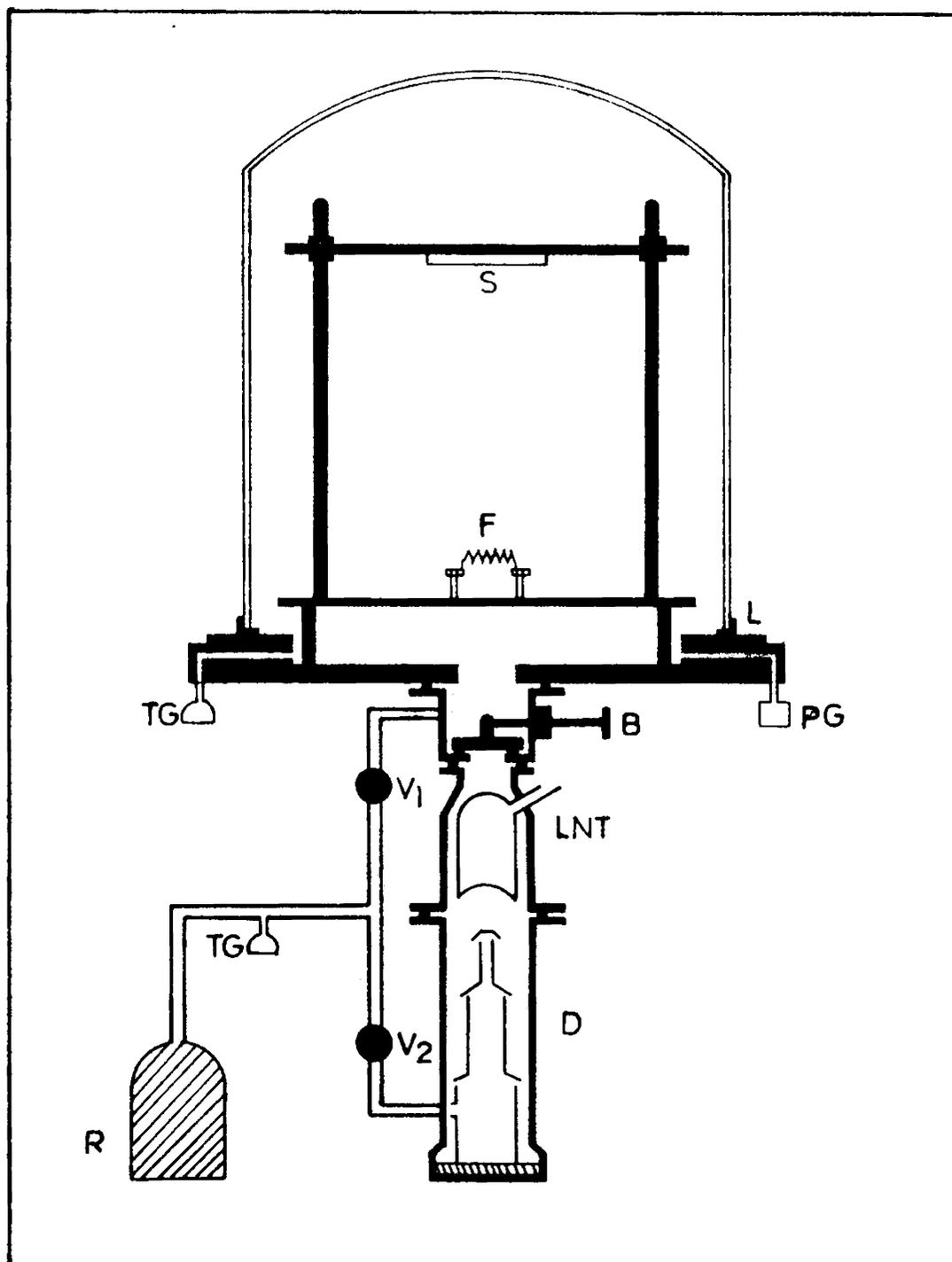


Fig.2.06. Schematic diagram of vacuum coating unit for the evaporation of metal electrodes.
 D - diffusion pump, LNT - liquid nitrogen trap,
 B - baffle valve, PG - penning gauge, L - neoperene L-gasket, S - substrate, F - filament, TG - thermocouple gauge, R - rotary pump, V₁ - diaphragm valve for roughing and V₂ - diaphragm valve for backing.

The base-plate designed for this purpose is polished well and chromium plated. The thickness at the side of the base-plate is 3.5 cm. As shown in Fig.2.06 a step with 27.5 cm diameter and 2.5 cm depth is made on the base-plate. A bore of 19.5 cm diameter is made at the centre of the plate for connecting the diffusion pump. Fourteen feed-throughs, each of 1 cm diameter, are provided on the sides of the base-plate. High current and high voltage feed-throughs [7] are designed for the side ports. Two filament evaporators are also provided so that they could be operated one after the another. Two side-ports are used for the penning and pirani gauges. The remaining ports are closed by dummies. A 30 cm glass dome is pressure-sealed on the base-plate using an L-gasket.

The diffusion pump is connected to the base plate through the baffle valve and liquid nitrogen trap (LNT) as shown in Fig.2.06. 200 cc of silicon oil (DC 704) is used to charge the diffusion pump and the maximum pumping speed is 1000 litre/sec.

For efficient pumping, a 550 litre/min. rotary pump (Toshniwal Bros.) is used in series with the diffusion pump. This pump is also used for roughing the whole system to 10^{-2} torr. As shown in the figure, V_1 and V_2 are two valves used for roughing and backing the coating unit

respectively. Without using liquid nitrogen trap, a pressure of 5×10^{-6} torr is obtained in the vacuum coating unit. The photograph of the vacuum coating unit designed and fabricated in this laboratory is shown in Fig.2.07.

The aluminium electrodes are evaporated thermally using tungsten filaments. The tungsten helix is mounted tightly on the filament holders situated at the centre of the base plate. A high current transformer (10V, 100 amp.) is connected to the filament holders with high current leads (100 amp.). The substrates are mounted horizontally fifteen to twenty centimeters above the filament. Proper masks are used on the substrates to achieve the necessary configuration of aluminium films. The aluminium is evaporated at a pressure $< 5 \times 10^{-6}$ torr and the films are formed on cold substrates.

2.24 Preparation of aluminium-polyacrylonitrile-aluminium sandwich structures

Most of the investigations presented in the thesis are on aluminium-polyacrylonitrile-aluminium (Al-PAN-Al) sandwich structures. For making sandwich samples, proper patterns of individual films are prepared using masks to reduce inter-electrode shorting and surface arcing.

The samples are prepared on clean glass substrates of 75 mm x 25 mm x 1.5 mm size. These glass slides are

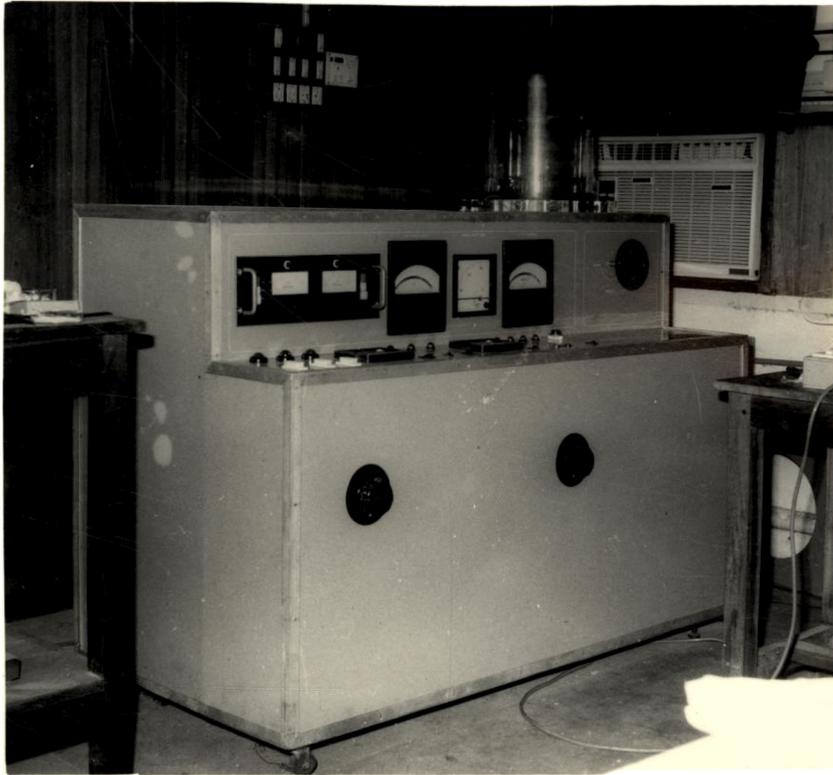


Fig.2.07 The vacuum coating unit fabricated for evaporation of metal electrodes

thoroughly cleaned by different processes. At first the substrates are cleaned under running water and soap solution and then dipped in a freshly prepared chromic acid for five hours to remove alkaline and other oxidising impurities. The oxidation is promoted by warming the acid to 70°C. After this process, the substrates are washed in running water. The surfaces of each slide are rubbed with cotton dipped in soap solution and cleaned thoroughly with ordinary water. These slides are cleaned in distilled water and transferred into an ultrasonic cleaner. The microscopic impurities are stripped off by ultrasonic agitation in water and the process is continued for 20 minutes. After removing from this bath, the substrates are dried slowly in a dust-free box and then transferred to vacuum coating unit.

For the preparation of aluminium electrode on the specified area, proper mica masks are used. In Fig.2.08(a) the masking of the substrates for the first electrode is shown. By masking the substrate, an evaporated aluminium electrode of thickness $1500\overset{\circ}{\text{Å}}$ and dimensions 42.5 mm x 15 mm is prepared. The electrode coated glass slides are now transferred to the polymerisation chamber. The substrates are masked as shown in Fig.2.08(b). As discussed in the section 2.21, the polymer is allowed to grow on aluminium coated glass slides. The specimens are allowed to remain

in vacuum for slow cooling and after three hours, they are transferred to vacuum coating unit for making counter electrodes.

For the preparation of counter aluminium electrode, the masks are arranged as shown in Fig.2.08(c). The aluminium is coated on the PAN film with 10 mm width and 42.5 mm length. The Al-PAN-Al sandwich structure thus prepared are schematically shown in Fig.2.09(a). A cross-sectional view of Al-PAN-Al is also given in Fig.2.09(b). With proper arrangement of the masks, the effective area of the sandwich structure becomes 1 cm^2 . After the preparation of Al-PAN-Al sandwich structures, the samples are stored in a vacuum desiccator. This is essential because PAN polymer is highly water absorbing.

During polymerisation the chamber is also coated with the polymer film. For cleaning the chamber, proper solvents of the polymer has to be identified. PAN is insoluble in organic acids and alkalies. But it is highly soluble in organic solvents like ethyl alcohol, dimethyl formamide (DMF) and acetone. Hence the polymerisation chamber is cleaned by acetone. The electrodes are usually cleaned by zero grade emery paper or steel wool.

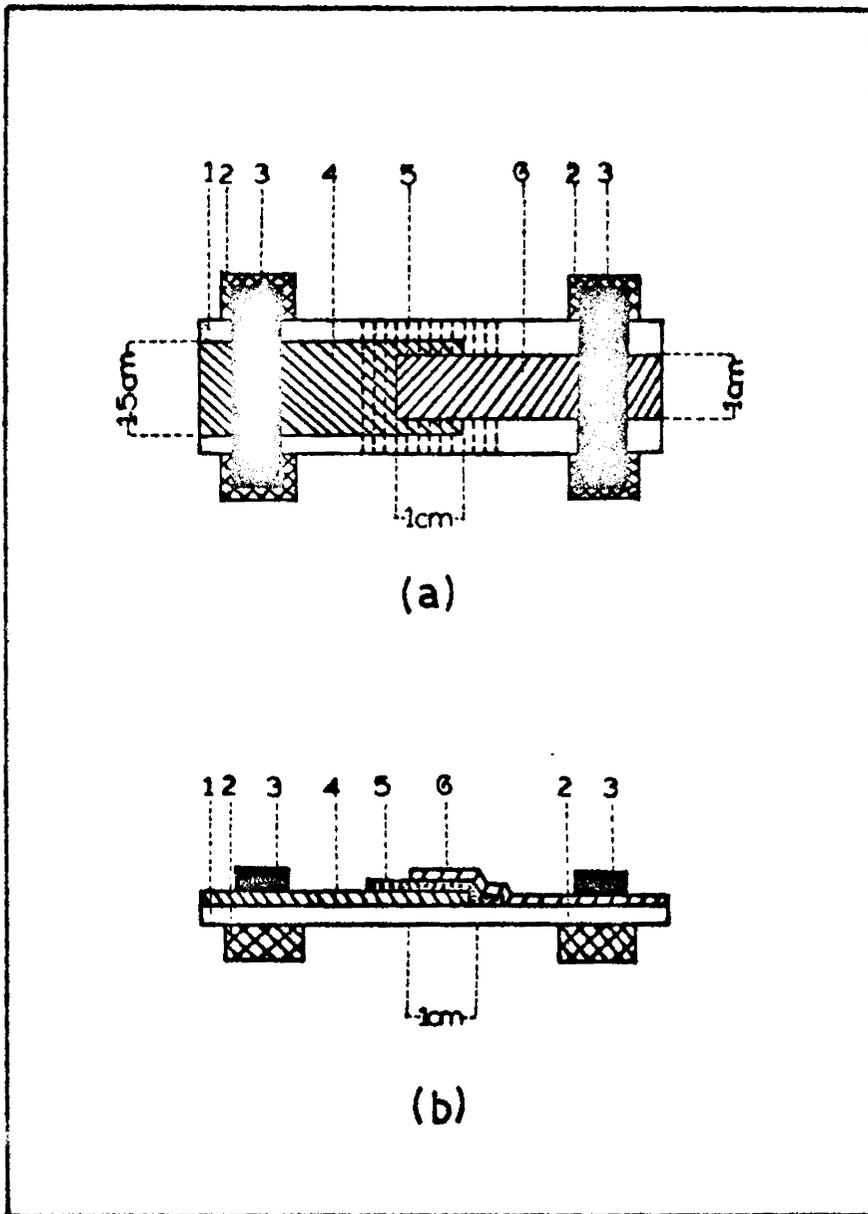


Fig.2.09. Schematic diagram of Al-PAN-Al.

(a) The top view and (b) the side view of the specimen. 1 - glass substrate, 2 - heating copper block, 3 - copper block for electrical connection, 4 - inner bound aluminium electrode, 5 - PAN thin film, and 6 - outer free aluminium electrode.

2.30 THICKNESS MEASUREMENT

2.31 Thickness measurement of aluminium electrodes

The thickness of aluminium electrode is measured from the mass of aluminium evaporated from the tungsten helix. For simplicity it is considered as a point source and hence equal amount of the material is evaporated to all directions. In such a case, for a substrate situated far away from the source, the thickness of the film [8] is given by

$$t = \frac{m}{4\pi \rho R^2} \quad (2.01)$$

where m the mass of the material, ρ the density (in the case of aluminium = 2.7 gm/cc) and R the distance from the source to the substrate. Actually, the filament source is not a point source and hence the thickness measured by this method is only approximate. However in the present case better accuracy is not necessary. The mass of aluminium m is measured accurately by using a chemical balance.

2.32 Thickness measurement of PAN

The thickness of the polymer film is measured by a more accurate method. When a partially reflecting surface is placed on a fully reflecting surface forming an air wedge

and a monochromatic parallel beam falls on it, interference fringes will be produced. The path difference of the beam from one minimum point to other is λ where λ is the wavelength of the monochromatic beam. Hence difference in height of the air gap at adjacent minimum points is given by $\lambda/2$. If a step is formed by the thin film whose thickness is to be measured, the interference fringe pattern will be shifted as shown in Fig.2.10(a). The thickness of the film can be measured from the fringe shift x and fringe separation y as

$$t = \frac{x}{y} \times \frac{\lambda}{2} \quad (2.02)$$

Wiener [9] was the first person to measure the thickness of thin films using this principle. The interference fringes method has been developed to a remarkable degree by Tolansky [10] and now it is accepted as an absolute standard method for thickness measurement of thin films.

The experimental set up for the measurement of thickness is presented in Fig.2.11. G is the glass substrate on which the polymer thin film is prepared with a step as shown in Fig.2.10(b). On this, thick aluminium coating is made for complete reflection. A partially reflecting glass plate is placed on the substrate to form an air wedge. A mercury lamp with a green filter ($5461\overset{\circ}{\text{Å}}$) form a monochromatic source S. Using a circular aperture A and a lens system L,

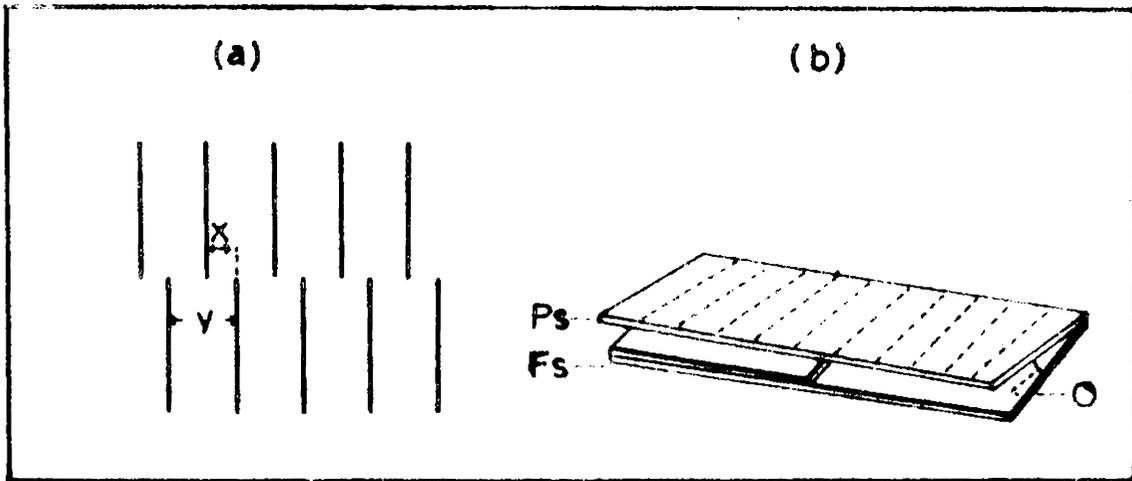


Fig.2.10(a) The illustration of fringe shift: x is the fringe shift and y is the fringe separation. (b) The air wedge for thickness measurement: P_s - partial reflecting surface, F_s - fully reflecting surface and θ - the wedge angle.

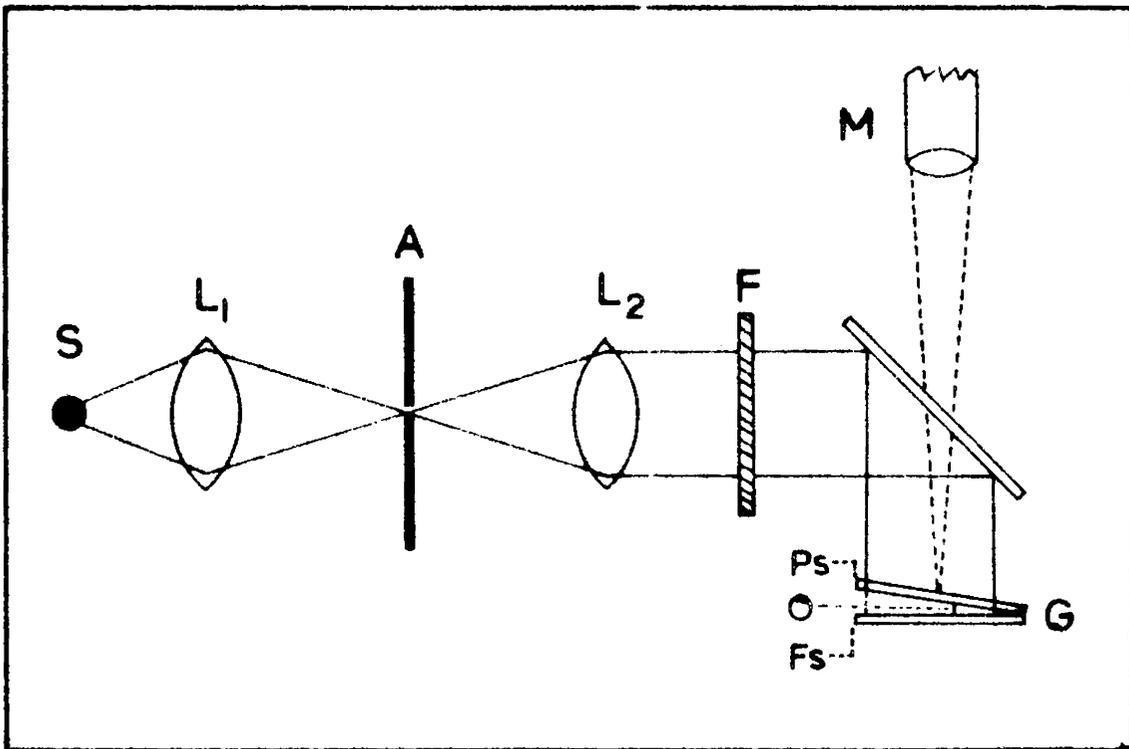


Fig.2.11. Experimental set-up for the thickness measurement: S - mercury source, L - lens system, F - filter (5461\AA), A - aperture, G - glass substrate and M - travelling microscope.

the beam is made parallel. This beam falls on the wedge G producing a fringe system as shown in Fig.2.10(a). The fringes can be clearly seen through a microscope. The fringe separation and fringe shift can be accurately measured with the help of a travelling microscope. On substituting the values in eqn.(2.02) the thickness of the film can be calculated. It is important to note that the polymer film as well as the exposed glass surface should be coated with the same reflecting layer in order that phase change or reflection from the two sides of the step will be the same.

2.40 VACUUM CHAMBER FOR ELECTRICAL MEASUREMENTS

In the case of electrical measurements of insulator films, the current flowing through the sandwich structure is of the order of 10^{-13} - 10^{-15} amps. In such cases, the external electrical noises and surface currents may disturb the measurements. A metallic chamber is designed and fabricated to shield the external noises. Fig.2.12(a) shows the schematic diagram of the chamber used for electrical measurements. This chamber consists of a metallic outer tube of diameter 16 cm and height 15.5 cm which is connected to a diffusion pump backed by a rotary pump. The top flange of the outer tube is vacuum sealed with a metal plate of 19.5 cm diameter and 1.5 cm thick having liquid nitrogen

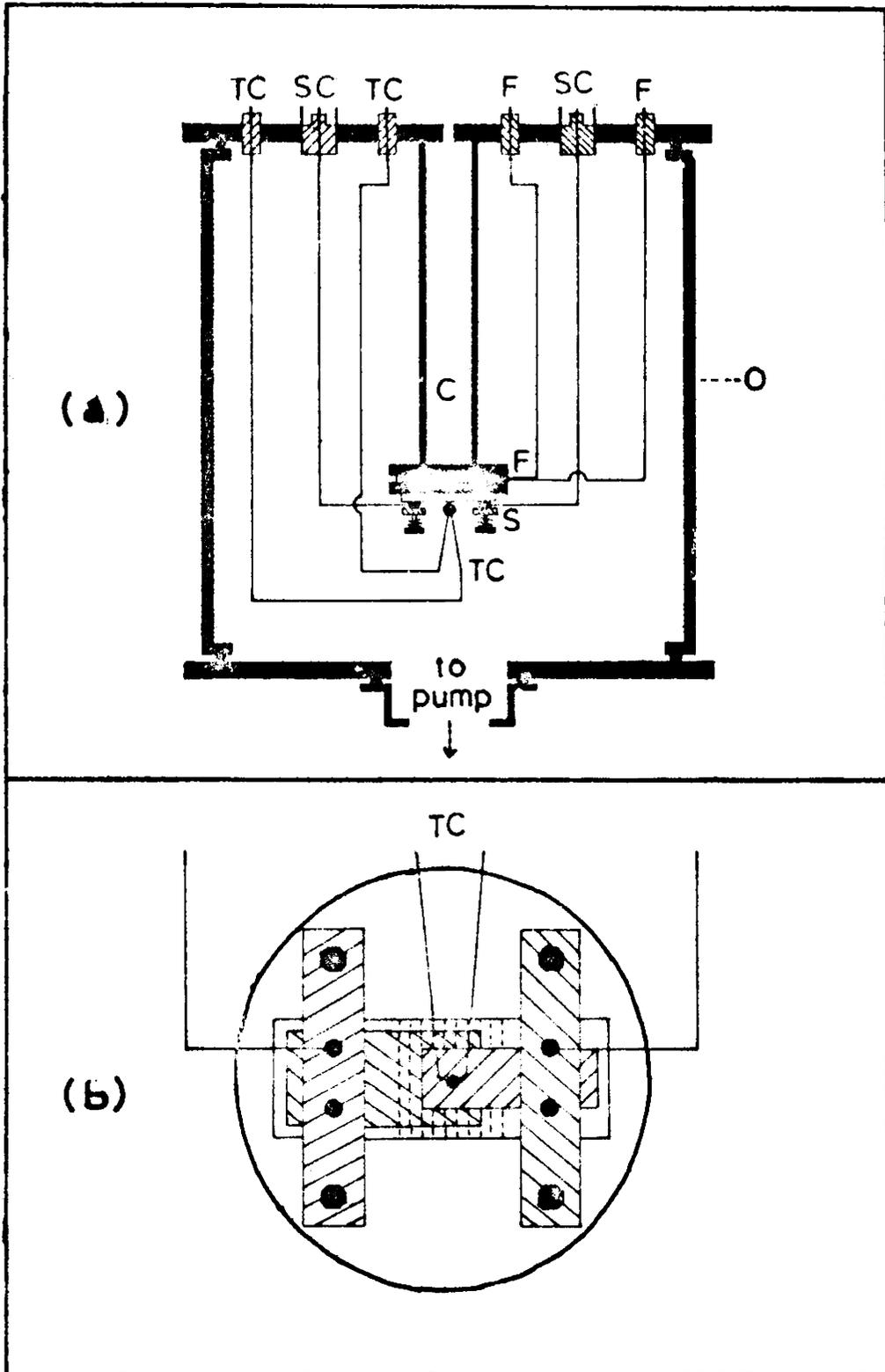


Fig.2.12(a) Schematic diagram of the chamber used for electrical measurements: O - outer tube, C - liquid nitrogen cold finger, S - specimen connector, F - filament, IC - iron-constantan thermocouple, SC - shielded cable.

(b) The top view of the specimen connector.

cold finger as shown in the figure. At the bottom of this cold finger a specimen connector S is fixed. In between the cold finger and specimen connector, a 60W electric heater F is mounted to heat the specimen, if required.

As shown in the Fig.2.12(b), the specimen connector S consists of two copper blocks mounted on two teflon sheets of 3 mm thickness. These teflon sheets are fixed on a spring loaded sliding arrangement so that proper pressure contacts can be made with specimen electrodes and copper connectors. An iron-constantan thermocouple is provided for the measurement of temperature of the specimen.

Four nylon feed-throughs are provided on the top metal plate for electrical connections of the heater and thermocouple. The teflon insulated leads from the copper blocks are connected to teflon insulated shielded-cable connectors which are mounted on the top plate. These connectors provide proper insulation from the metal chamber. The whole chamber is earthed properly.

(Using a diffusion pump backed by a rotary pump, the chamber is evacuated to 10^{-5} torr. The specimen can be kept at any desired temperature (-193°C to 250°C) by cooling it initially by liquid nitrogen and subsequently by controlled heating using a 60W heater. The thermocouple connected to microvolt meter will give the temperature of the substrate.

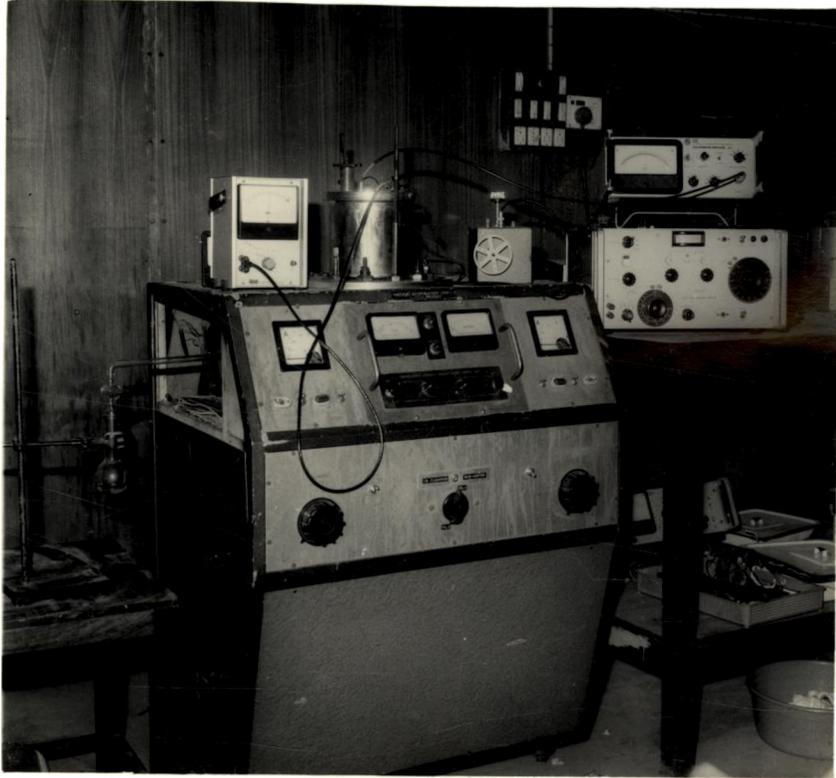


Fig.2.13 A set-up for electrical measurements

Using shielded cables, the specimens are electrically connected to the measuring instruments. Provision is also made to measure the pressure using a Penning gauge. With all the precautions for electrical shielding and proper insulation a current of the order of 10^{-15} amp. was successfully measured with an electrometer amplifier and a stabilised power supply. The set-up is shown in Fig.2.13.)

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CHAPTER III

STRUCTURE AND POLYMERISATION MECHANISM OF PLASMA- POLYMERISED POLYACRYLONITRILE

ABSTRACT

The structure of plasma-polymerised polyacrylonitrile thin film was studied by the infrared absorption spectra. A long chain planar zig-zag structure is proposed. The emission spectra of the monomer plasma is studied to investigate the reaction mechanism of plasma-polymerisation process. From the analysis, it is concluded that the radical polymerisation is the most suitable mechanism for plasma-polymerisation of acrylonitrile. An additional band present in all the cases is attributed to carbonyl impurity. The visible and ultraviolet spectra of the polymer is also presented.

3.10 INTRODUCTION

It is well known that molecular vapours form polymers in electrical discharges [1]. The properties of polymers formed by electrical discharge of organic materials have been studied extensively [2,3], but very little attention has been given even qualitatively to their structures. Some information is available from the analysis of the discharges of benzene [4] and certain other aromatic compounds [5]. It has been found that a majority of the studies involving benzene or its derivatives point to the formation of the products through a free radical mechanism rather than ionic mechanism. For studying the mechanism of polymerisation process, the molecular structure of the monomer and the polymer formed are analysed by conventional methods. The emission spectrum of monomer vapour glow-discharge is analysed and from the characteristic emission spectrum the intermediate state viz., the plasma state is studied. From these data, the mechanism of plasma-polymerisation can be interpreted.

In this chapter an attempt is made to study the reaction mechanism suitable to the formation of polyacrylonitrile (PAN) in a plasma-discharge. The probable structure is also proposed in comparison with the spectral studies reported earlier [6,7]. The visible and ultraviolet absorption

spectra of the polymer thin films are also studied for obtaining additional information on the characteristic chromophors in PAN.

3.20 MOLECULAR STRUCTURE OF PLASMA-POLYMERISED POLYACRYLONITRILE

3.21 Absorption spectra of the monomer and the polymer

The infrared spectra of monomer and polymer are recorded using Beckman infrared spectrometer (I.R-20). Acrylonitrile (BDH, Laboratory reagent) is sandwiched by two well polished KBr crystals and introduced into the sample compartment. The absorption spectrum is recorded for the range 4000 cm^{-1} to 600 cm^{-1} .

The polyacrylonitrile thin films are prepared on well polished KBr crystals by plasma-polymerisation method. By repeated experiments, it is found that the optimum thickness of the specimen to get a good infrared absorption spectrum is $\approx 4000\text{ \AA}$. In Fig.3.01 (a and c) the infrared spectra of polymer and monomer are presented. Initially the different absorption peaks of the monomer are assigned in comparison with a standard spectrum [6] and the scheme of the assignment is given in Table 3.01. The standard spectrum used for comparing the assignment is also shown in Fig.3.01(b).

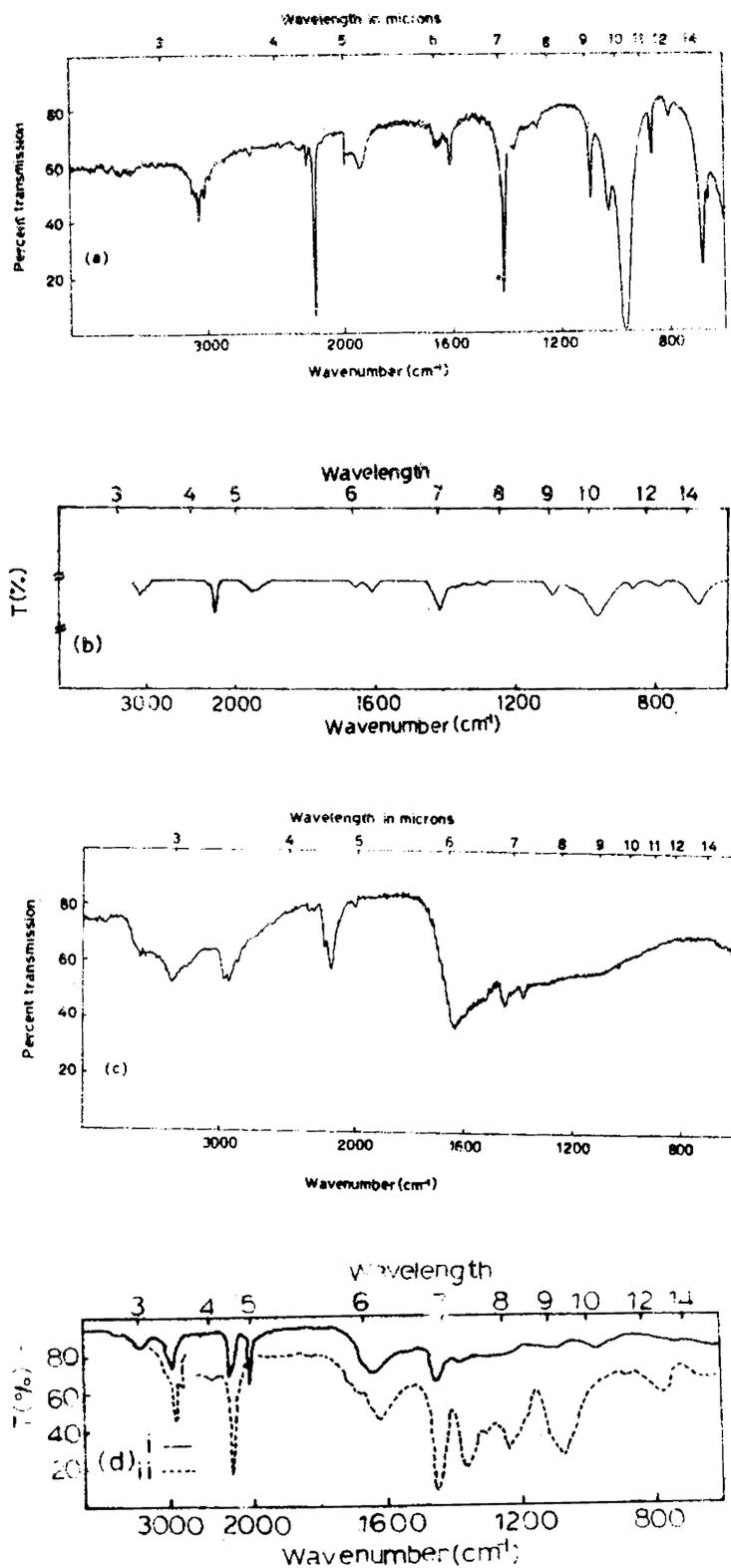


Fig.3.01 (a) Infrared spectra of the acrylonitrile
 (b) Standard spectra of the acrylonitrile [6]
 (c) Infrared spectra of the polyacrylonitrile
 (d) Standard spectra of the polyacrylonitrile:
 (i) sample prepared by silent discharge [9]
 and (ii) sample prepared by solution
 growth [10]

Table 3.01 Band assignment for acrylonitrile

Present investigation wave number cm ⁻¹	Reported earlier* wave number cm ⁻¹	Assignment
3100	3080	ν_a (CH ₂)
3060	3030	ν (CH)
3030	3010	ν_s (CH ₂)
2270		
2220	2220	ν (CN)
1610	1620	ν (C=C)
1410	1415	Def(CH ₂)
1290	1300	Rk(CH)
1090	1100	Rk(CH ₂)
980	980	Wag(CH and CH ₂)
870	870	ν (C-C)
690	680	H ⁺ H ⁺ C = C H ⁻

*Taken from references 6 and 8.

The infrared absorption spectrum of PAN is presented in Fig.3.01(c). In Fig.3.01(d), the infrared spectra of two samples (i) sample prepared by silent

discharge [9] and (ii) sample prepared by solution growth [10] reported earlier, are presented for comparison. The band assignments of the PAN are given in comparison with earlier studies [11] and presented in Table 3.02.

Table 3.02 Band assignments of PAN

Present investigation wave number cm^{-1}	Reported earlier* wave number cm^{-1}	Assignment
2960	2950	$\nu_a(\text{CH}_2)$
2920	2930	$\nu(\text{CH})$
2860	2870	$\nu_s(\text{CH}_2)$
2240	2237	$\nu(\text{CN})$
2200		
1650	--	$\nu(\text{CO})$
1450	1447	Def(CH_2)
1380	1362	Def(CH)
--	1355	Wag(CH_2) + $\nu_a(\text{C-C})$
--	1310	Wag(CH_2)-Def(CH)
--	1247	Wag(CH)+Wag(CH_2)- $\nu_a(\text{C-C})$
--	1115	$\nu_s(\text{C-C})$ -Def(CH)
--	1073	$\nu_s(\text{C-C})$ + $\nu_a(\text{C-C})$
--	1015	Wag(CH)
--	865	Rock(CH_2)
--	778	$\nu(\text{C-CN})$ +tor (CH_2)

*Taken from reference 11.

On comparing with the spectrum of the monomer it is found that in the present spectrum of PAN the stretching frequencies of CH_2 and CH have shifted to the lower energy side. The two CN stretching frequencies have also shifted by 20 cm^{-1} . A new strong band is observed at 1650 cm^{-1} . This band is usually found in plasma-polymerised materials and is reported to be associated with carbonyl group [12]. In the present spectrum no bands are observed in the region 1300 cm^{-1} to 600 cm^{-1} . A similar observation has been reported by Hirai and Nakada [9] for PAN prepared by silent discharge.

3.22 Structure of PAN

The structure of PAN has been studied in the past [13] and two possibilities have been clearly indicated viz., isotactic placement and syndiotactic placement as shown in Fig.3.02. If an equal probability exists for the isotactic and syndiotactic placements, the configuration is called atactic placement. Many investigators have carried out infrared, X-ray and Raman spectral studies for the steric structural configuration of PAN [14,15]. All these investigations are related to polymers having stereoregular arrangement. In all the cases a C-C stretching band is observed in the low frequency infrared spectrum. The plasma-polymerised PAN has not shown any band below

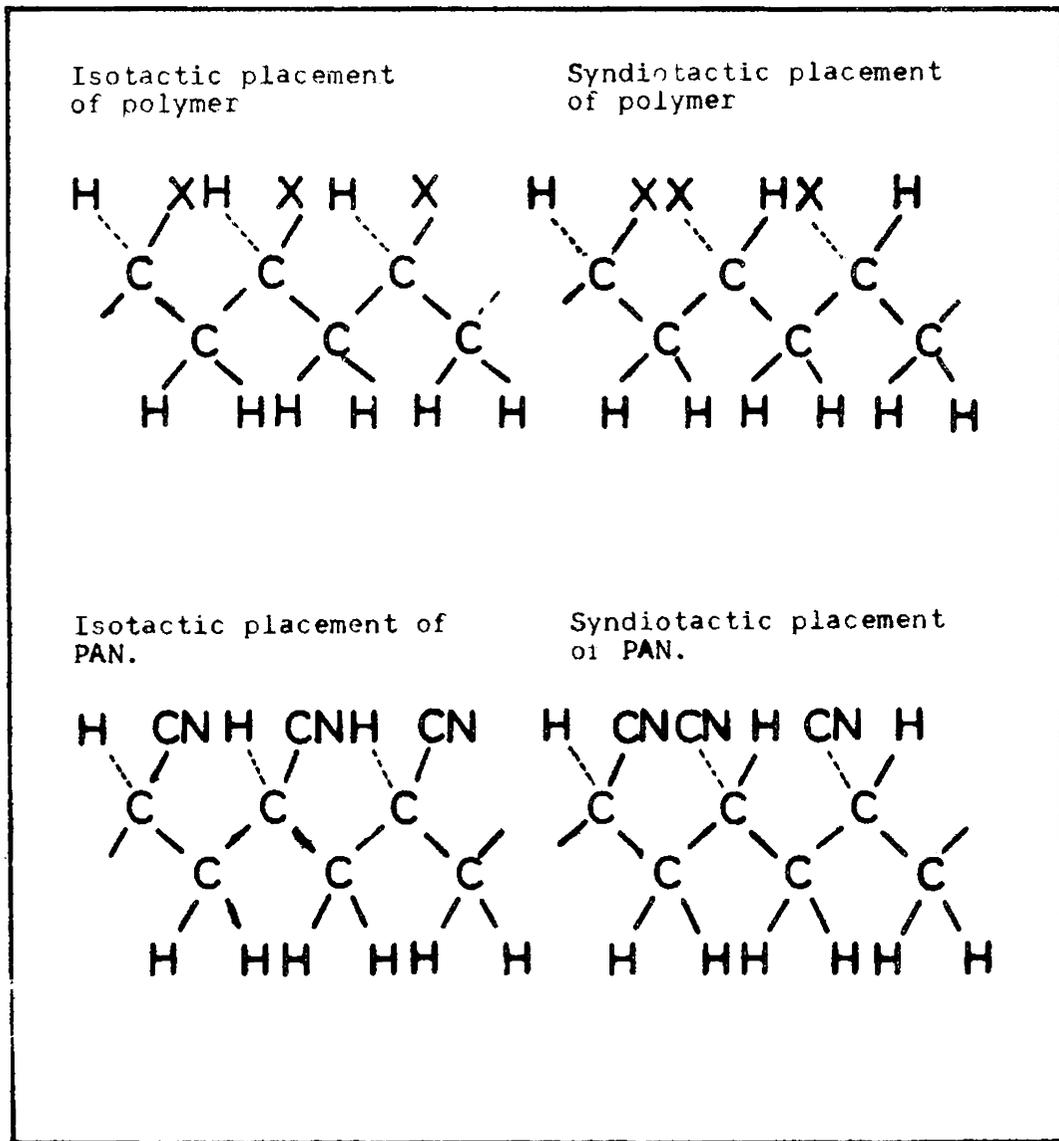


Fig.3.02. The probable structure of polyacrylonitrile.

1300 cm^{-1} . In all probability, the polymer formed due to the process is highly amorphous and the above structural configurations are not well oriented in the polymer. The absence of C-C backbone frequency can only be due to the lack of crystalline orientation. It may therefore be generally concluded that the forming process of plasma-polymerisation is not a controlled process as compared to solution growth resulting in the formation of amorphous polymer which cannot be strictly classified into any of the steric configurations. The splitting of the CN band observed by Hirai and Nakada [9] will indicate that there is interaction between CN groups with the same polymer unit. In the present case the interaction is comparatively weaker because it is between the side groups of the polymer units randomly oriented. The infrared spectrum reported for the silent discharge shows larger CN splitting possibly due to the combination of two CHCN groups together (Head to Head addition [13]). Hence, it is inferred that in the present polymerisation method, an amorphous PAN thin film is formed by the combination of CH_2 and CHCN radicals resulting in a long planar zig-zag chain molecule as shown in Fig.3.02 with random orientation. The additional band at 1650 cm^{-1} observed in this case and also reported by Koning and Brockes [16] for benzene polymer by plasma-discharge can be attributed to carbonyl impurity which is

perhaps the characteristic of the gas phase discharge method of polymerisation.

3.30 THE POLYMERISATION MECHANISM OF ACRYLONITRILE IN GLOW-DISCHARGE

3.31 Emission spectrum of the glow-discharge plasma

The emission spectrum of plasma is photographed using a high resolution three prism spectrograph (Carl zeiss, Jana, Germany). The experimental arrangement is schematically shown in Fig.3.03. The plasma-polymerisation chamber discussed in the section 2.22 is completely covered with black paper. A small window (1 inch dia.) is made on the side of the cover facing the spectrograph. At the opposite side, an aluminium foil reflector is placed to reflect more light towards the window. The emitted light is focussed on the slit of the spectrograph. The collimator and the drum of the spectrograph are adjusted to record the spectrum in the visible and ultraviolet regions on a photographic film.

When the monomer vapour is fed into the polymerisation chamber and discharged, the adsorbed gases get released. These gases are usually atmospheric gases. Hence, to get an idea of the residual gases, initially the spectrum of glow-discharged adsorbed gases is taken at a

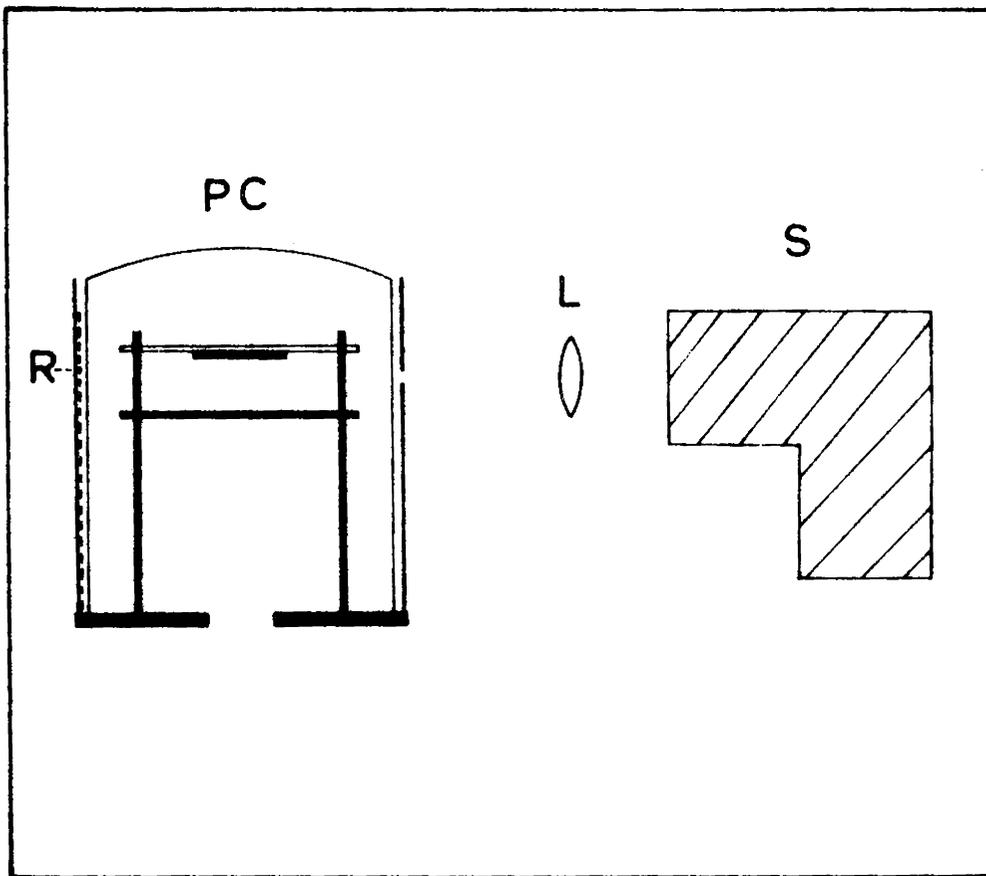


Fig.3.03. Schematic diagram of the experimental set-up for the spectral study of the glow-discharge plasma: S - spectrograph, PC - plasma-polymerisation chamber, L - lens and R - aluminium foil reflector.

pressure of 10^{-1} torr. For the available experimental conditions, an one hour exposure is required for obtaining a satisfactory spectrum.

Subsequently the spectrum of the glow-discharged monomer is taken at a pressure of 1 torr. The intensity of this plasma spectrum is much higher than the previous one and an exposure of \sim 30 minutes is sufficient to give a good spectrum. For the calibration of the obtained spectra, iron-arc spectrum is used as a standard. Thus the spectra of the residual gases, iron-arc and the monomer plasma are recorded on a single photographic film. One such spectrum is presented in Fig.3.04. The spectrum thus recorded is charted by using a photodensitometer (Carl zeiss, Jana, Germany) and is given in Fig.3.05. All the bands in the discharge spectrum are thus identified.

From Fig.3.04, the emission spectrum of acrylonitrile vapour is identified after eliminating the bands due to residual gases by comparing with the standard band spectra of the radicals [17]. Accordingly, the bands and the radicals associated with it are presented in Table 3.03.

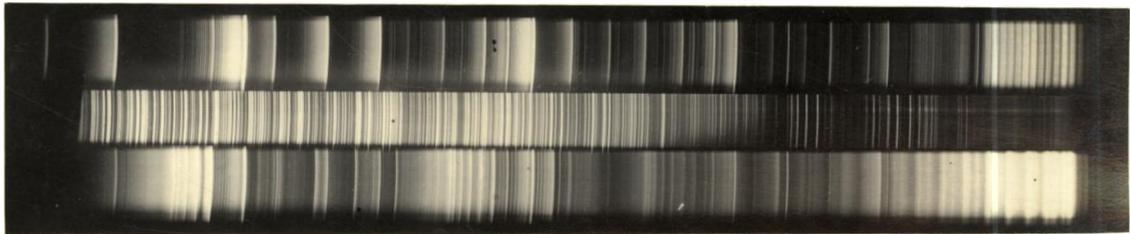


Fig.3.04 Spectra of glow-discharge plasma of (a) residual gas and (b) acrylonitrile

Table 3.03 Band assignment of plasma

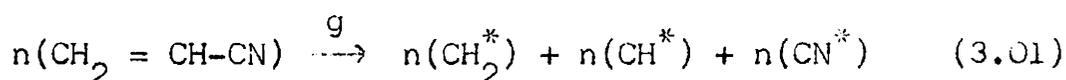
Wave length λ	Radical	Assignment $v', 2''$
3855	CN	3,3
3860	CN	2,2
3870	CN CH	1,1 CN main ₀ system 0,0 CH 3900 \AA system
3880	CN	CN-violet system, tail bands
3890		
3895		
3905		
3920		
3960	CH ⁺	1,0
4050	CH ₂	due to C ₃ ?
4150	CN	CN-violet
4158		
4170		
4180		
4200		
4215		
4310 to 4200	CH	Q-Head
4320	CH	2,2 Q-Head
4330 to 4400	CH	--
4500 to 4600	CN	5,7 to 0,2 Violet system
4830	CO	0,2
5190	CO	0,1
5260 to 6250	CN	Red system Active nitrogen

In the spectrum of plasma, there is no indication of the Swan band showing the absence of a carbon-carbon radical in the system. Even after prolonged discharge, there is no indication of the formation of carbon. Also there is no unusual increase in the pressure during discharge. These observations are sufficient to prove that the C-H bands are not broken during discharge. It has been reported [18] that a many line system in the region 9500 to 5500⁰ is the characteristic band of CH₂ radical. But these bands are unobserved possibly due to intense Red system of CN. Hence it is inferred that in the initial process of plasma-polymerisation, the monomer molecule is dissociated into CH₂, CH and CN radicals. Moreover in the polymerisation process, additional bands of CO radical is observed which is neither in the residual gas discharge nor in the monomer molecule.

3.32 Polymerisation mechanism

From the emission spectrum, the radicals CH₂, CH and CN are identified. These radicals will recombine in such a way that the resultant system will tend to possess minimum energy. For the formation of solid long chain polymer molecules, the favoured combination of CN radical is with CH radical because combination of CH₂ with CN is always a termination reaction. Hence CHCN is most probably

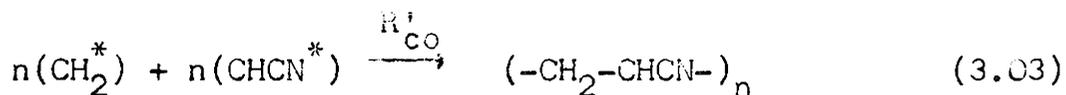
an intermediate radical. Subsequently CH_2 radicals and CHCN radicals will combine either themselves or alternately or randomly to form a linear polymer. But from the structure of the resulting polymer, it is concluded that CH_2 radical and CHCN radical will combine alternately as shown in Fig.3.02. Hence the radical polymerisation of acrylonitrile can be illustrated as follows:



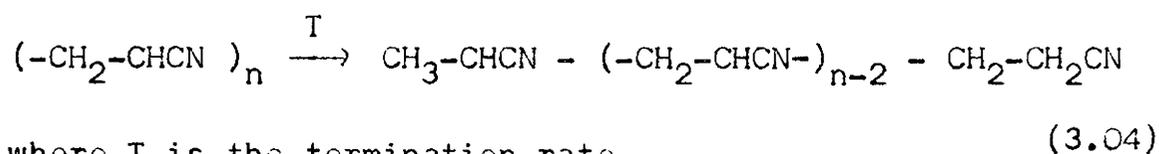
where g is the collision rate of gas ions with high energy.



where R_{CO} is the recombination rate of CH radicals with CN radicals.



where R'_{CO} is the recombination rate of CH_2^* and CHCN^* . The chain molecules produced by polymerisation may be long but are never infinite. Thus in the present case the growth of the chain is brought to an end by a termination process normally by any one of the three processes. In the first process, the residual hydrogen atom in the system can combine at the tail ends



where T is the termination rate.

In the other case trapped charges can combine with the tails of the polymer. Usually this will be an unstable system. On heating the specimen thermal degeneration is possible. In the third case CN radical can combine at the tail ends of the polymer. But since such a reaction indicates structural change in the infrared spectrum, the possibility of such a termination is deleted on comparing with standard spectrum. Hence in most cases, for a stable system, the termination process is associated with the addition of hydrogen atoms. But the possibility to terminate with trapped charges cannot be ruled out because there are evidences of thermally stimulated short-circuited current in Al-PAN-Al due to trapped charges and degradation of the polymer [19] on heating the specimen. The end group analysis is the most reliable method to understand termination reaction. With the present experimental set up it is very difficult to confirm this process.

In plasma-polymerisation process, since the initiator concentration is very large, the polymer formation is fast. Also, as discussed in chapter II, the relation of the growth-rate with the square of the current density is a supporting observation for radical polymerisation. This gives an evidence for direct transfer of energy to the monomer vapour for polymerisation. From all the available data, it can be concluded that the formation of the polymer product

through radical mechanism is the most appropriate mechanism for plasma-polymerisation. Moreover, it is noted that in the formation process CO radical is formed as an impurity (Table 3.03). Also from the infrared spectra of polymer (Fig.3.01c), it is found that this CO impurity is combined with the polymer to form an additional side group. This CO impurity may originate from the decomposition of the monomer molecule and is commonly found in plasma-polymerisation process [12].

3.40 ULTRAVIOLET AND VISIBLE SPECTRA OF POLYACRYLONITRILE

The coloured substances owe their colour to the presence of one or more unsaturated linkages. The linkages or groups conferring colour to a substance are called chromophors. Usually the effect of chromophors is studied by the absorption spectra of the material. A preliminary result of the absorption spectra of polyacrylonitrile in the range of 2000\AA to 9000\AA is presented in this section.

3.41 Experimental method

The polyacrylonitrile thin films are first prepared on glass slides and they are scraped out from the substrates. This polymer powder is then dissolved in ethyl alcohol and absorption spectrum of the solution is taken in a visible and ultraviolet spectrograph (Hitachi 220).

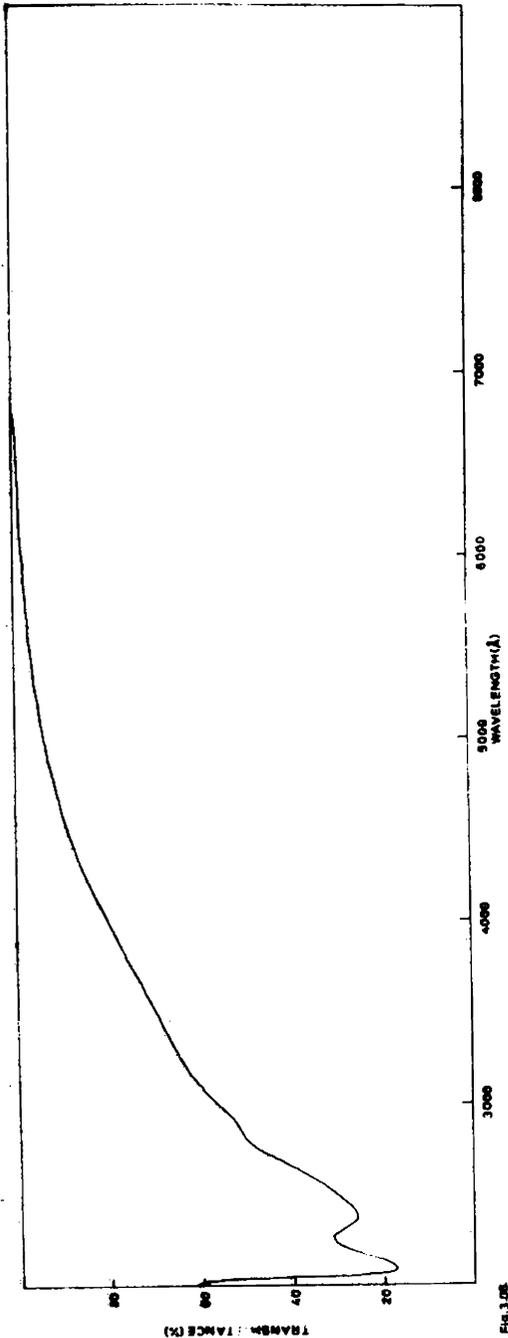


Fig. 3.06

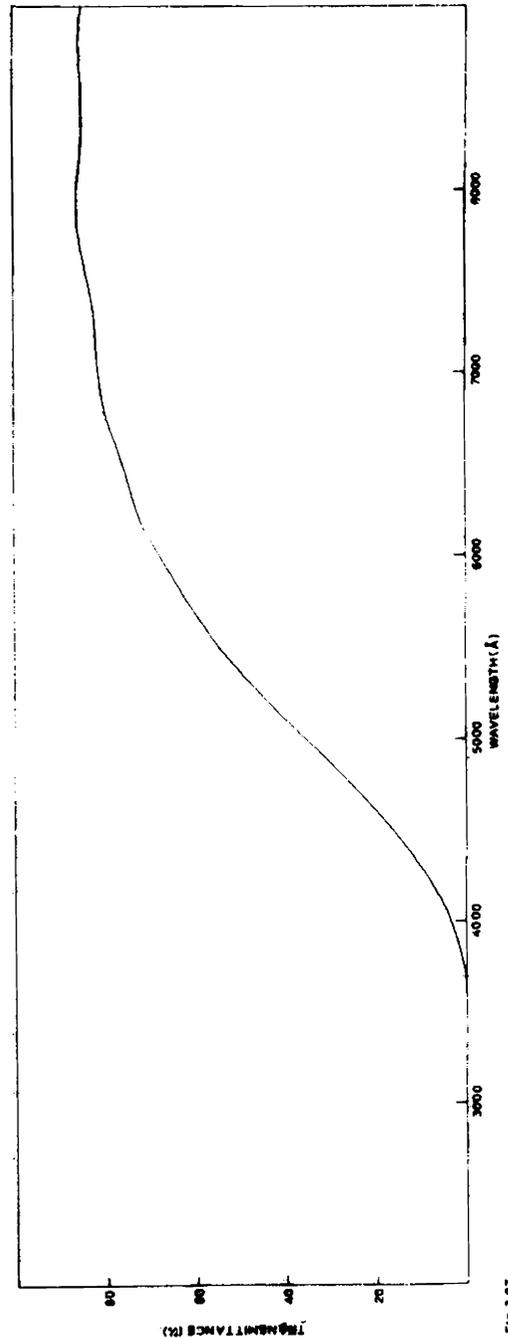


Fig. 3.07

Fig. 3.06 Visible and ultraviolet spectra of PAN dissolved in ethyl alcohol

Fig. 3.07 Visible and ultraviolet spectra of PAN thin film prepared on quartz substrate

Another identical cell with solvent ethyl alcohol is put as compensator. The absorption spectrum in the region 2000\AA to 9000\AA for PAN dissolved in ethyl alcohol is shown in Fig.3.06.

The experiment is also conducted with PAN films. PAN films are prepared on quartz substrates and visible and ultraviolet absorption spectra are taken with similar substrates as compensators. The thickness of the film is adjusted so that very little change in absorption is observed on further reducing the thickness. Such a spectrum is shown in Fig.3.07 (Thickness of PAN is $\sim 1000\text{\AA}$).

3.42 Visible and ultraviolet spectrum of PAN

In Fig.3.06, the visible and ultraviolet spectrum of a solution of PAN in ethyl alcohol is shown. In the spectrum two strong and broad peaks are observed, one with $\lambda_{\text{max}} = 2100\text{\AA}$ and the other with $\lambda_{\text{max}} = 2400\text{\AA}$. But in the case of polymer film, the absorption band is more broad. As shown in Fig.3.07, the absorption starts from the wavelength 7600\AA and all the wavelengths below 3700\AA is completely absorbed.

3.43 Absorption band in PAN

Usually solvent ethyl alcohol shows a sharp absorption peak at 2100\AA [20]. Since sufficient compensation is given to the system, and since the observed band is broad

the possibility of an absorption band at $2100\overset{\circ}{\text{Å}}$ due to the solvent can be deleted. From the earlier data [21], the absorption spectra of acrylonitrile dissolved in ethyl alcohol is at $\lambda_{\text{max}} = 2150\overset{\circ}{\text{Å}}$ and that of a carbonyl group is at $\lambda_{\text{max}} = 2140\overset{\circ}{\text{Å}}$. Due to the interaction of CN and the impurity CO a splitting of the absorption band may be possible. For macromolecules like polymers, the existence of highly localised excitons are possible which dominate the absorption threshold with the broad energy bands indicated by the periodic structure of the polymer [22]. Hence the broadening of absorption peak of acrylonitrile indicates that a long polymer chain is formed with a periodic structure of the monomer.

In the case of PAN thin films, all the molecules are closely packed and are amorphous in nature. Hence the broadening of the band is still larger. The formation of different types of exciton levels and trap levels develop a number of energy levels in the amorphous solid as illustrated by Pohl [22]. To get the complete information of the electronic transitions, further investigations are necessary. From the present results it is concluded that a polymer is formed in the process of glow-discharge with a broad absorption band. A carbonyl group also exists with the polymer chain which may be the cause for the band splitting.

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CHAPTER IV

THERMALLY STIMULATED SHORT-CIRCUITED CURRENT IN PLASMA-POLYMERISED POLYACRYLONITRILE

ABSTRACT

An appreciable amount of thermally stimulated short-circuited current is observed during heating and cooling between 30°C and 160°C from unpoled plasma-polymerised polyacrylonitrile thin film sandwiched by aluminium electrodes. The observed current is attributed to the space charges accumulated at the vicinity of the outer electrode producing a charge gradient towards the inner. The disorientation of dipoles also contributes to the thermally stimulated current. The temperature activation energy of 1.4 eV indicates that charges are formed from the covalent bond of the carbon atoms. An additional current is also developed which is associated with the electrodes of dissimilar thicknesses. Observations are made by the application of low fields and the relative magnitudes of the various components are discussed.

4.10 INTRODUCTION

Extensive studies have been reported on the short-circuited current of poled [1-4] and unpoled [1,5-7] polymers to obtain information about the behaviour of trapped charges and dipole relaxation process. Thermo-electric and electromechanical effects have also been studied in detail on a variety of polymers [6,7]. It is understood from the thermally stimulated depolarisation current (TSD) studies of polyvinylidene fluoride that the dipole orientation in this polymer is reversible [8-10]. The existence of large piezoelectric and pyroelectric coefficients have extended its application to transducers [11] and thermal sensors [12]. All the interesting properties of polyvinylidene fluoride originate from the preferential orientation of the dipoles.

Polyacrylonitrile (PAN), which has been investigated here in detail, also possesses a permanent dipole moment and is expected to show similar electrical properties [1]. The TSD current spectrum of PAN shows three different peaks, centered around 90°C, 145°C and 190°C and these peaks are characterised by various physical techniques. They are due to loss of preferred orientation of dipoles, the onset of chain segmental mobility ionic diffusion, and solvent diffusion [4,13]. Trapped space charges and residual

solvent molecules also contribute to a broad and strong peak [1] at the vicinity of 195°C . These peaks are suppressed on repeated heating cycles. Persistent polarisation due to stretching has also been reported [14]. The structural and dipolar properties of the polymers are known to depend on the history of the material, namely, method of polymerisation, stretching details and poling parameters. Considering the increased application of polymers as electrets in recent years, it is felt important to undertake an extensive investigation on the short-circuited current in plasma-polymerised PAN thin films. As a part of the studies, the short-circuited current for the temperature range 30°C to 160°C on PAN films of different thicknesses using aluminium electrodes, is reported here.

4.20 EXPERIMENTAL

All the measurements are made on plasma-polymerised PAN films sandwiched by vacuum deposited aluminium electrodes. Initially, known amount of aluminium is evaporated in a vacuum and is deposited on ultrasonically cleaned glass slides. PAN thin film is now deposited on the electrode coated glass slide by plasma-polymerisation method. This substrate is transferred to the high vacuum unit and the thermally evaporated outer free electrode is prepared. The details of

preparation of Al-PAN-Al sandwich specimen have been discussed in section 2.24. The sandwich structure thus prepared for short-circuited current studies are schematically shown in Fig.2.09 (a and b). For the thickness measurement of the polymer film separate samples are prepared simultaneously with the specimen and Fizeau fringes method is adopted. The details of Fizeau fringes method are discussed in section 2.32. From the mass of the aluminium taken for evaporation, the thickness of electrodes are estimated.

4.21 Thermally stimulated short-circuited current measurement

The Al-PAN-Al sandwich specimen is transferred to a specially designed chamber which is kept at a pressure of 10^{-3} torr. The description of conductivity chamber has been given in section 2.40. Proper electrical connections are made using copper block pressure contacts. For the present investigations, one of the electrodes is connected to the Electrometer amplifier (ECIL-EA-815) while the other is earthed. The electrical connections for the measurement of short-circuited current is shown in Fig.4.01. Before making the thermally stimulated short-circuited current measurements, the short-circuited specimen is heated in vacuum to 160°C at the rate of $1^{\circ}\text{C}/\text{min}$. maintained for one

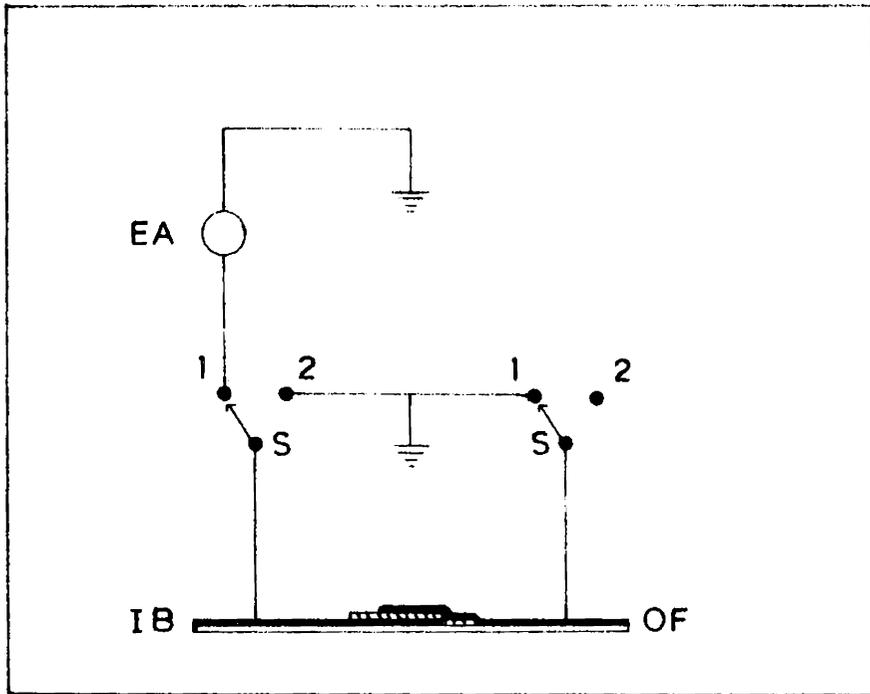


Fig.4.01. Electrical connections for short-circuited current measurements: IB - inner bound electrode, OF - outer free electrode, S - double pole double throw switch, EA - electrometer amplifier.

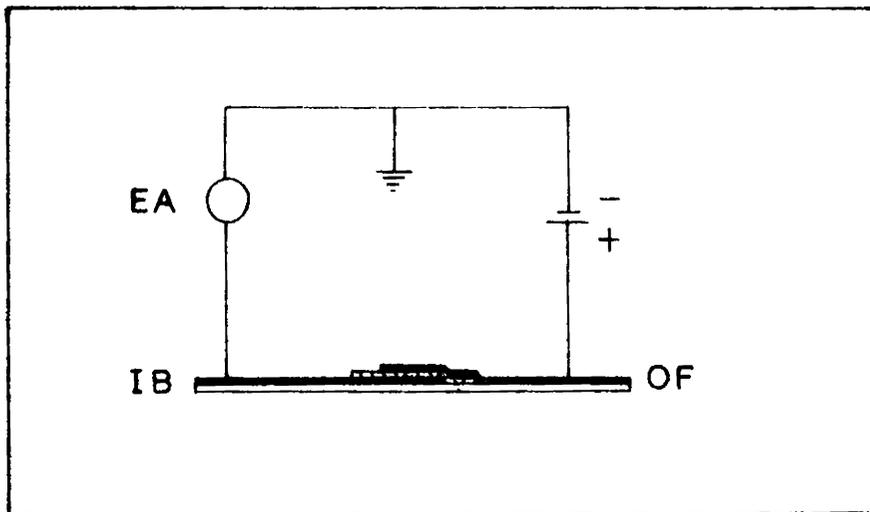


Fig.4.02. The electrical connection for ISC with applied voltage: IB - inner bound electrode, OF - outer free electrode, EA - electrometer amplifier.

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hour and then cooled to room temperature at the same rate for removing condensed monomer vapours and charges, if any. Each specimen is subjected to heating and cooling before final readings are recorded. In general, it is observed that logarithmic short-circuited current against temperature curve during the first heating and cooling operation is very much different from the subsequent curves. However these subsequent readings show only very small changes among themselves. The readings reported here are for the second heating and cooling operation. The short-circuited current for different specimens of Al-BAN-Al is recorded for the temperature range $30^{\circ}\text{C} - 160^{\circ}\text{C}$.

4.22 Parameters controlling the short-circuited current

From the preliminary investigations, it is found that the important parameters on which the short-circuited current depends are the film thickness of the inner bound and outer free electrodes and the range of temperature. From the observations on the direction of the short-circuited current, it is possible to classify the specimens into two groups (1) specimens for which the outer free electrode is thicker than the inner (2) specimen for which the inner bound electrode is thicker than the outer. The investigations presented here are mainly centered on the magnitude and direction of short-circuited current as a function of electrode

thickness and temperature. In the present study, effort is not given to correlate the thickness of PAN thin film with short-circuited current. Different specimens with thicknesses ranging from $700\overset{\circ}{\text{A}}$ to $1500\overset{\circ}{\text{A}}$ are presented to illustrate the validity of the observed effect. Special effort is not taken to study the specimens having thicknesses below $700\overset{\circ}{\text{A}}$ because most of them are short-circuited on heating.

4.23 Thermally stimulated current in low applied fields

In order to have a better insight into the mechanism of short-circuited current, particularly to illustrate the current reversibility when two exponentially varying currents are added vectorially, the potential of the outer free electrode is raised by an external voltage and the resulting thermally stimulated current is studied. For such studies, the positive terminal of the external voltage source is given to the outer free electrode and the negative terminal is earthed. The electrometer amplifier is connected to the inner bound electrode (Fig.4.02). The current flowing through the sandwich structure is recorded for heating and cooling processes. The investigations are also made by increasing the field across the specimen.

4.30 RESULTS OF THE EXPERIMENTS

From the initial results, it is clearly understood that two main mechanisms drive the process of short-circuited

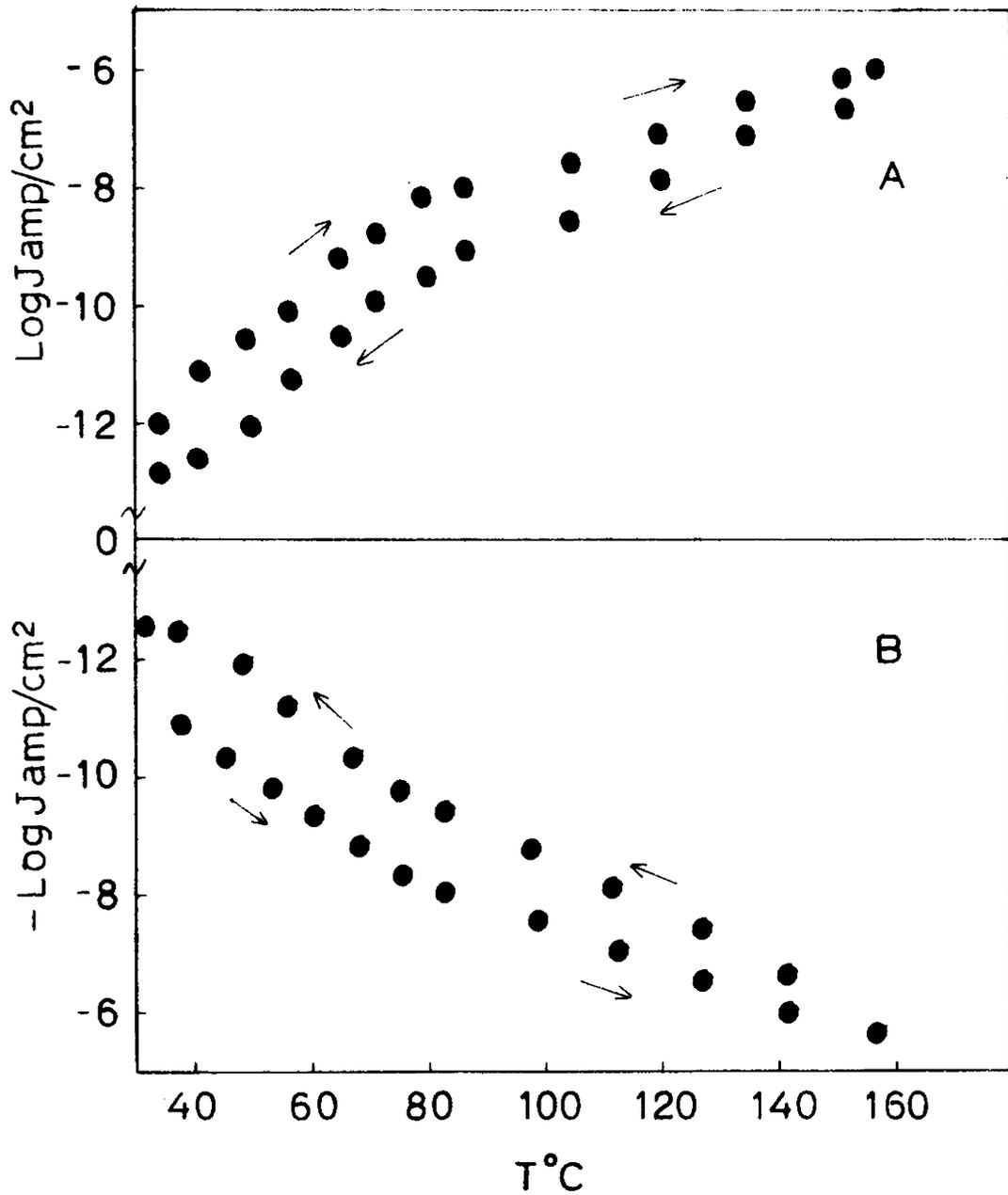


Fig.4.03. The logarithmic short-circuited current vs. temperature for Al-PAN-Al when the inner bound electrode is thicker. (A) is the current when electrometer is connected to the outer free electrode and (B) the current when electrometer is connected to the inner bound electrode.

The thicknesses of inner electrode is 2500\AA , the outer electrode is 650\AA and the PAN is 1200\AA . ($-\log J$ implies that the current flows from the outer electrode to the inner in the external circuit).

current. Depending on the relative thicknesses of the inner bound electrode or outer free electrode the direction of the current generated due to one mechanism is reversed while the direction of current due to other is maintained. These processes are classified and explained to understand the mechanism. For specimens with the inner bound electrode thicker than the outer free electrode, it is found that the current is flowing from the outer free electrode to the inner bound one in the external circuit when inner bound electrode is thicker. In Fig.4.03, the short-circuited current when the electrometer is connected to the outer electrode (A) and also when the electrometer is connected to inner electrode (B) is recorded against temperature. The current is recorded for every alternate intervals of temperature on heating and cooling processes. The symmetric curves A and B ensure that the short-circuited current is a bulk process of the material rather than a surface process. Unlike the reversible pyroelectric materials [8], on the cooling cycle, the direction of the current is not reversed, but $\log J$ vs. T curve retained the same form with a decrease of one to two orders of magnitude forming a closed loop as shown in Fig.4.03. In Fig.4.04, the short-circuited current against temperature for Al-PAN-Al films when the inner bound electrode is thicker, is presented for various

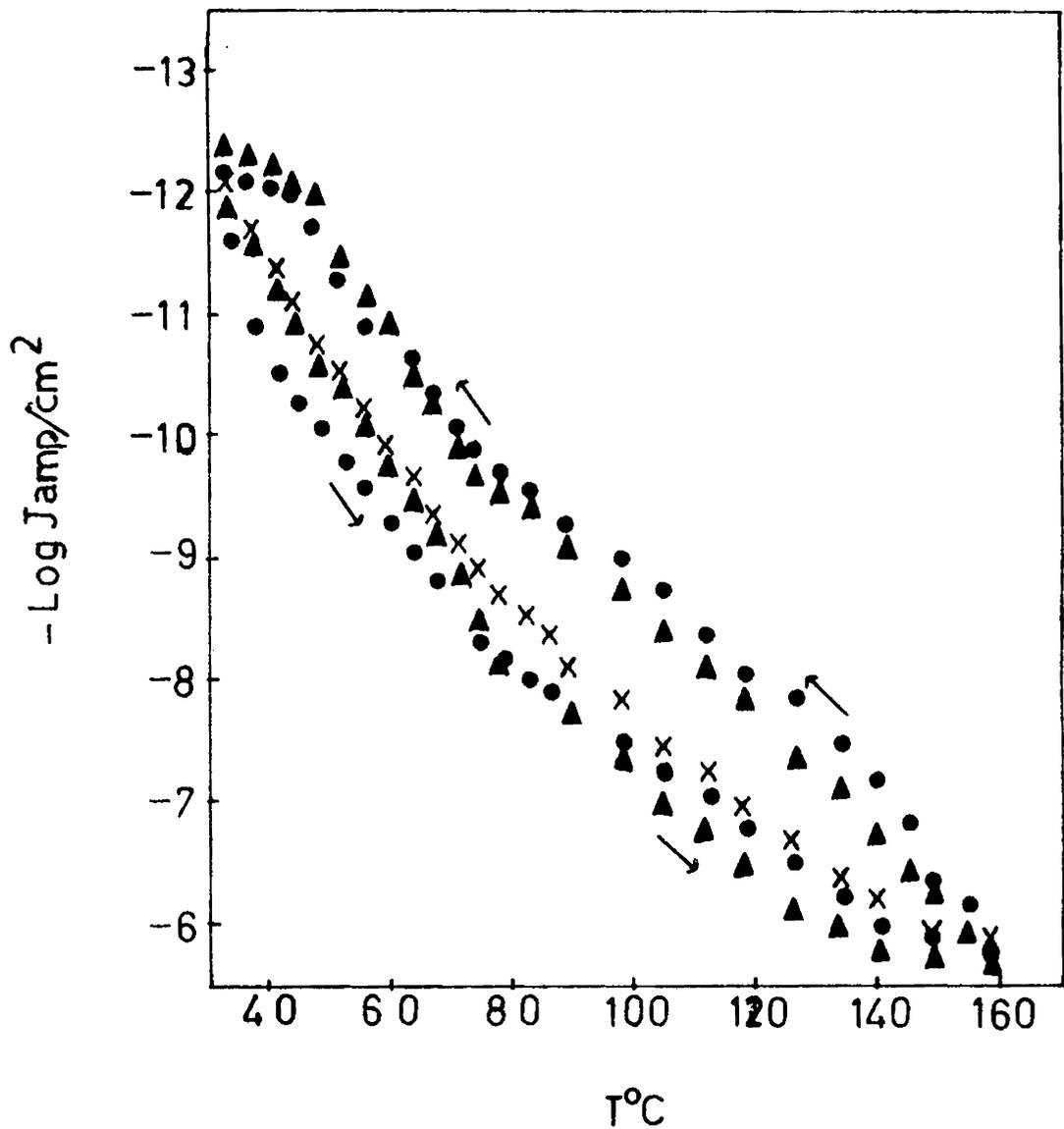


Fig.4.04. The short-circuited current Vs. temperature for Al-PAN-Al films when the inner electrodes are thicker. ● and ▲ are the curves on heating and cooling when the thickness of the inner electrode is 2950Å, the outer electrode 730Å and the PAN 1360Å and 1120Å respectively. X is the curve on heating when the thickness of the inner electrode is 730Å, the outer electrode 550Å and the PAN 700Å. (-log J implies that the current flows from the outer electrode to the inner in the external circuit. The arrow shows the direction of the closed loop).

thicknesses of PAN. The short-circuited current on heating and cooling forms a closed loop.

When the outer free electrode is thicker than the inner bound electrode, the current flows from the inner bound electrode to the outer free electrode at the initial temperatures and reverses at elevated temperature. In Fig.4.05, logarithmic short-circuited current is plotted against temperature for a specimen whose outer free electrode is thicker. In the heating cycle, the current is increased exponentially upto 60°C and then decreased sharply. The current is reversed at 75°C . In the figure, the short-circuited current is recorded against temperature when the electrometer is connected to outer free electrode (A) and also when the same is connected to the inner bound electrode (B). In the process of cooling the short-circuited current decreases exponentially with temperature. Unlike the heating cycle, in this case, the current reversal is observed only at the vicinity of the room temperature. The symmetric variation of curves A and B indicates that the observed short-circuited current is a bulk process of the material rather than the surface process. In Fig.4.06 the short-circuited current of Al-PAN-Al with the outer free electrode thicker for different thicknesses of PAN is plotted against temperature in the heating and the

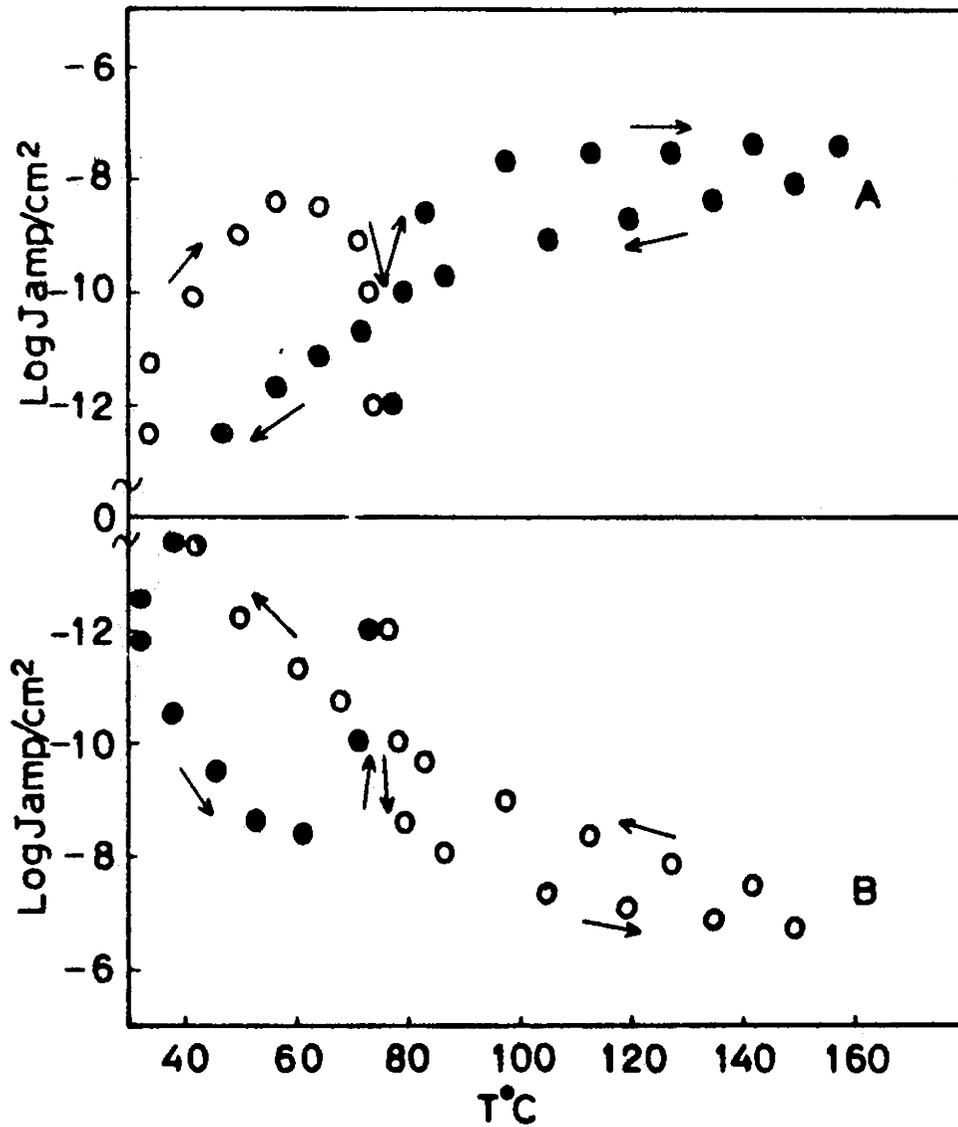


Fig.4.05. Short-circuited current Vs. temperature for Al-PAN-Al films when the outer free electrode is thicker. (A) is the current when the electrometer is connected to the outer electrode and (B) the current when the electrometer is connected to the inner bound electrode. The thickness of the inner bound electrode is 650Å the outer electrode is 2500 Å and the PAN is 1200Å. (-log J implies that the current flows from the outer electrode to the inner in the external circuit).

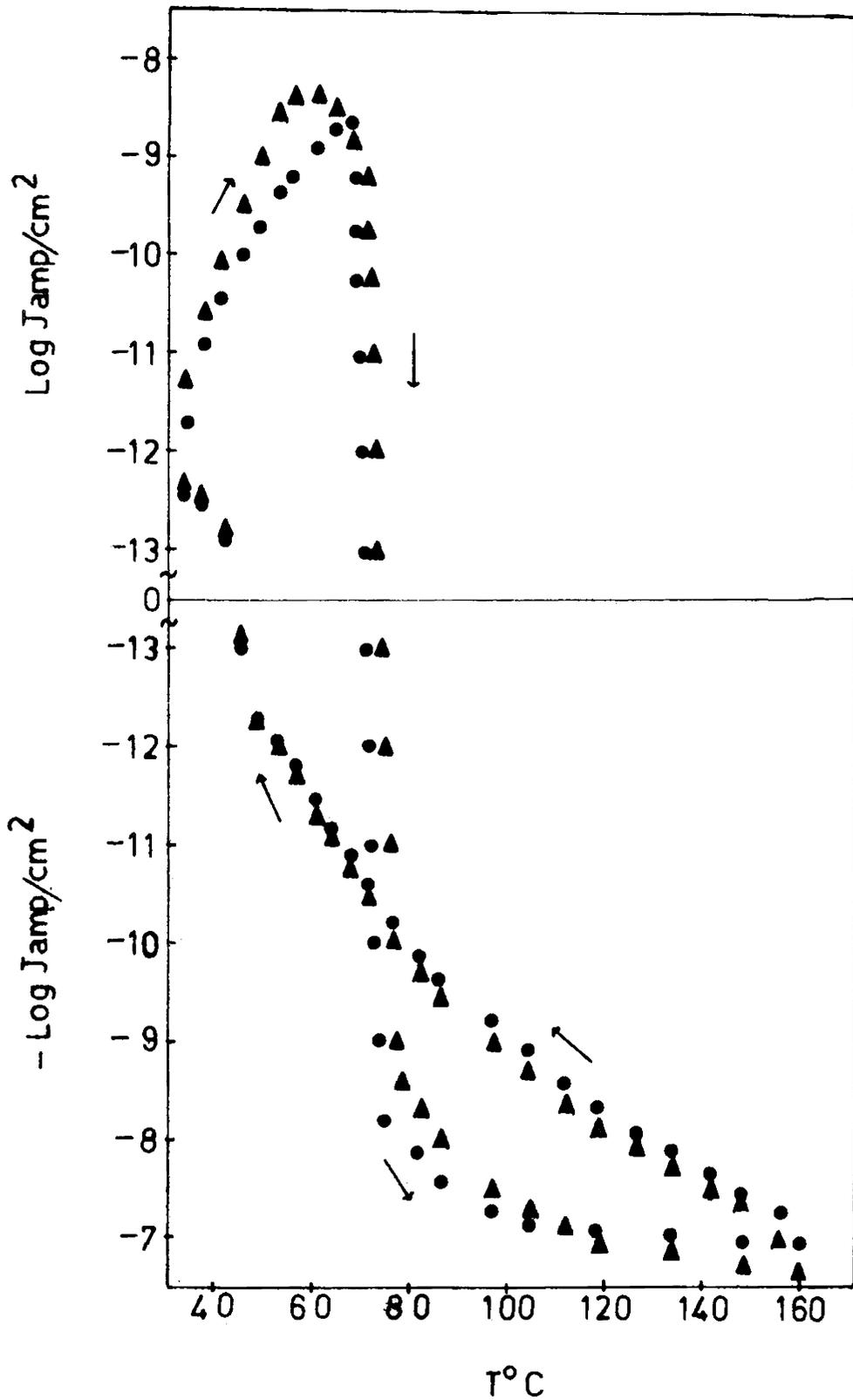


Fig.4.06. The short-circuited current Vs. temperature for Al-PAN-Al films when the outer electrodes are thicker. ● and ▲ are the curves on heating and cooling when the thickness of the inner electrode is 730 Å, the outer electrode 2950 Å and the PAN 1420 Å and 1120 Å respectively. (-log J implies that the current flows from the outer electrode to the inner in the external circuit. The arrow shows the direction of the closed loop).

cooling cycles. It can be seen from the figure that the current variation in the heating and cooling processes form a closed loop.

4.31 Effect of application of field across the specimen

In order to have a better insight into this anomalous process of current reversibility, the potential of the outer free electrode is raised in the positive direction for those samples which do not show a current reversibility on heating. In Fig.4.07, (\blacktriangle) the typical results when the potential of the outer electrode is raised to produce a mean field of 3×10^4 V/cm in the PAN is presented. On heating, the current initially increases and reaches a maximum at about 60°C . On further heating, the current drops sharply and at about 70°C the direction of short-circuited current reverses. In the cooling cycle, the current drops exponentially and at about 65°C , the direction of the current again reverses. It reaches a maximum at 60°C and decreases to room temperature current. One of the notable features is that the low temperature current peaks, one on heating and the other on cooling, show a difference in magnitude of two orders. For comparison, the short-circuited current of Al-PAN-Al specimen with thicker outer free electrode is also plotted in the figure

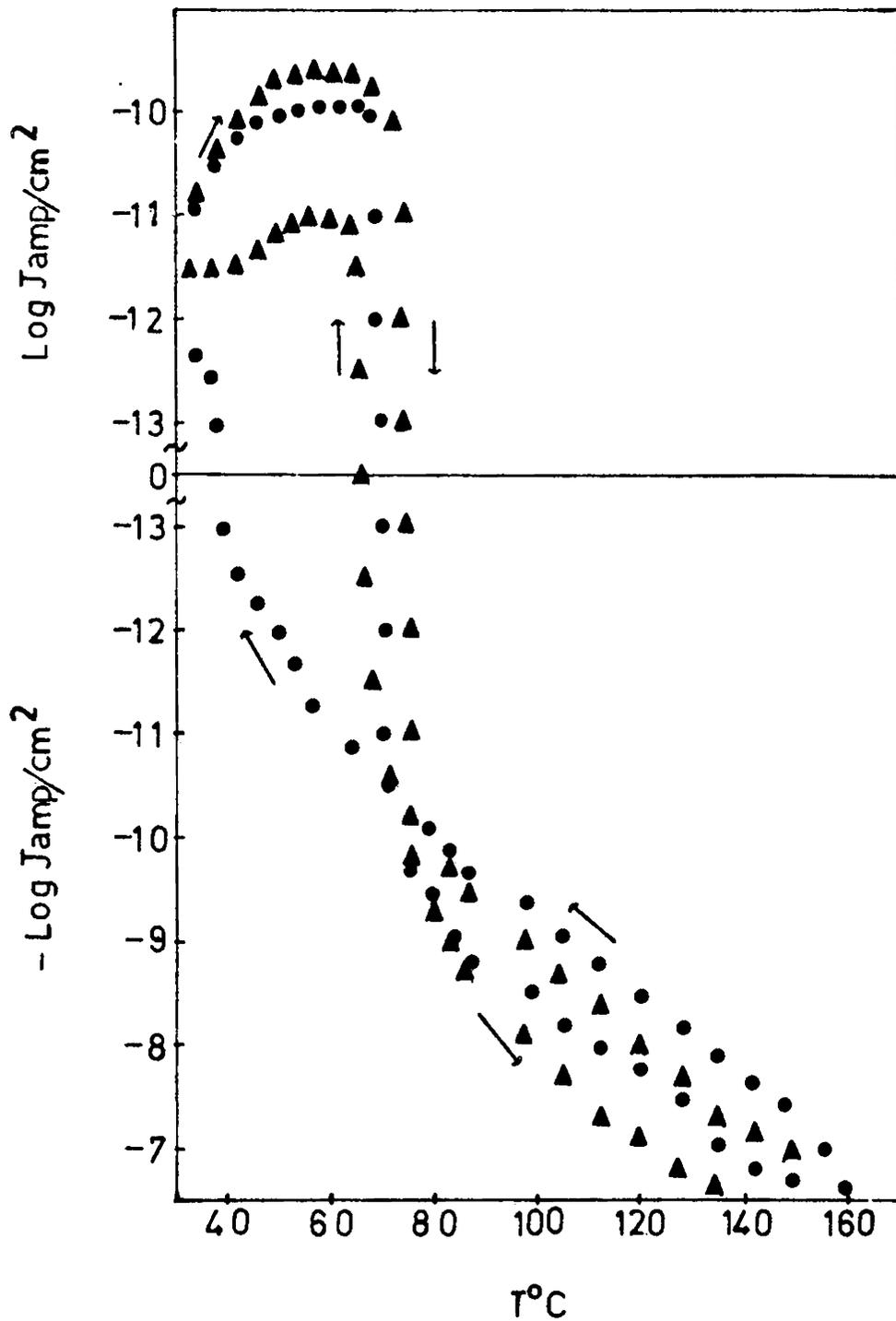


Fig.4.07. ● is the short-circuited current on heating and cooling for Al-PAN-Al film whose the inner electrode is 550Å, the outer electrode 730Å and the PAN 700Å. ▲ is the curve on heating and cooling when the potential of the outer electrode (730Å) is raised in the positive direction to produce a mean field 3×10^4 V/cm across the PAN film (1120 μA); the thickness of inner electrode is 550Å.

(Fig.4.07, ●). It can be observed that on heating, the two samples show identical curves while on cooling, the curves are different.

It is important to study the variation of current with temperature at higher fields. By applying higher fields, the current reversibility as shown in Fig.4.07 is not observed till 160°C , but on cooling the current abruptly goes to the negative side and decreases on cooling. A typical plot is shown in Fig.4.08 when the potential of the outer electrode is raised to produce a field of 5×10^4 V/cm across the PAN film. In the figure A-B shows the current variation on heating and B-C gives the current reversal on cooling. On further cooling the current decreases and at D it reverses as shown in figure. At room temperature, it again reaches A. But when the specimen is heated again from the point D, Fig.4.08, B-C-D form a closed cycle and the loop is repeated on heating and cooling from 75°C to 160°C .

4.40 DISCUSSION

The origin of short-circuited current in M-I-M structures is described elsewhere [6,7]. On the contact of the polymer with a metal, the electrons are usually transferred between the polymer and metal mainly because of

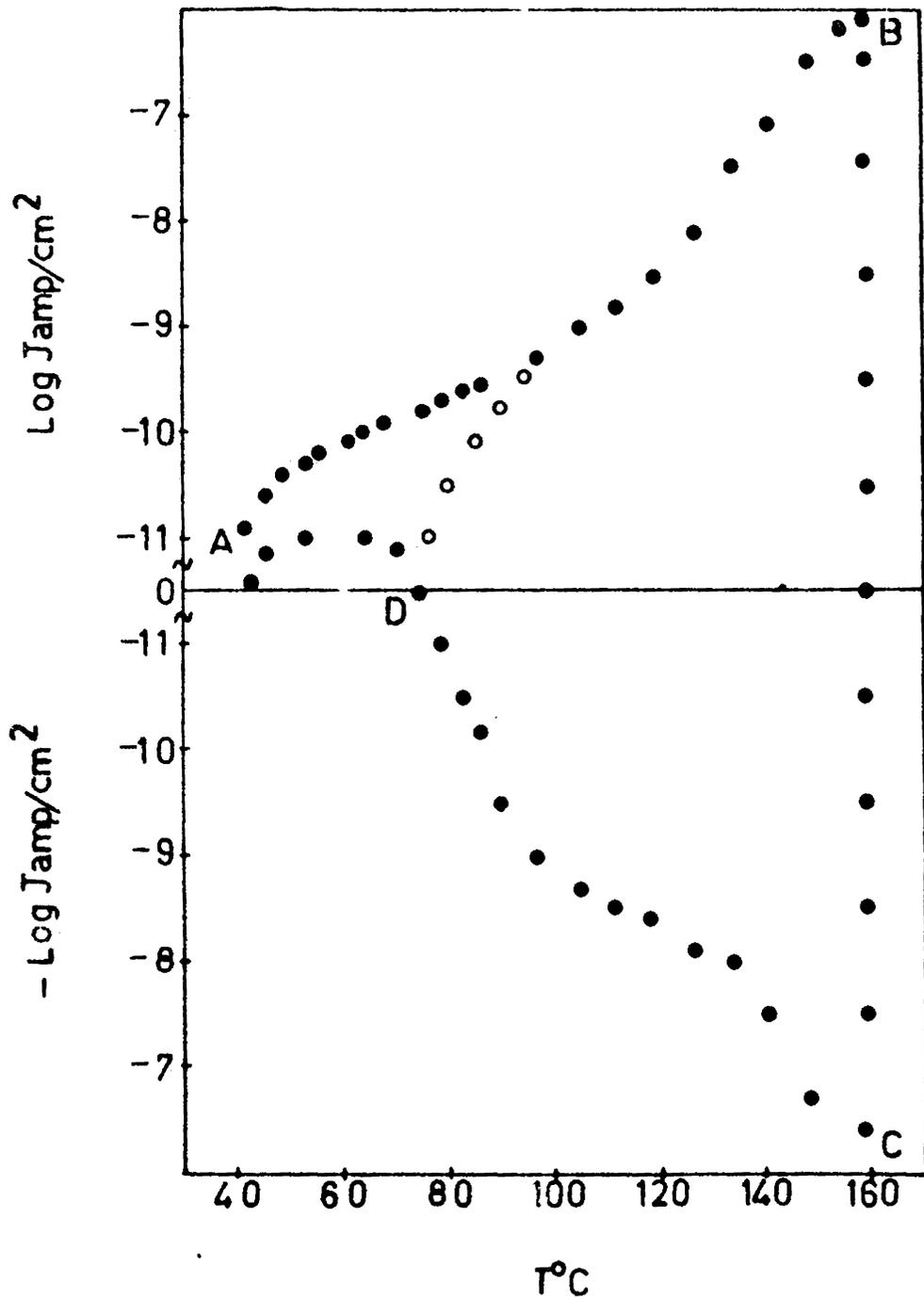


Fig. 4.0%. ● is the curve on heating and cooling when the potential of the outer electrode (730 A) is raised in the positive direction to produce a mean field of 5×10^4 V/cm across the PAN (1360A); the thickness of the inner electrode is 2950A. ○ is the curve when the specimen is reheated from 75°C.

the difference in the work functions, which will in turn bend the conduction band due to the space-charges. If the work functions are temperature dependent, a transient current flows in the external circuit which depends on the rate of change of temperature [6]. Also an electrochemical current will be produced [7] when the temperature of the system is increased. For all the above observations, the two electrodes are to be of different metals and hence they may not be applicable to Al-PAN-Al. However, even with electrodes of the same metal a thermo-electric current is possible, the magnitude of which in the present investigation will be very small as compared to the observed current and can also be neglected. It may be inferred from the behaviour of the thermally stimulated current, that the unreacted monomer vapours are not contributing to the observed current. The thermally stimulated depolarisation current peaks observed by Comstock et al [1] at 95°C and 185°C and attributed to the dipole orientations and residual charges might have been superposed on the large space-charge current generated from Al-PAN-Al specimen.

4.41 Thermally released space-charge induced current

Several processes contribute to the thermally stimulated current in insulators. Immobilised and

thermally released space-charges produce a thermally stimulated current in insulators. In polar insulators the disorder of the dipoles may also contribute a thermally stimulated current. The possible mechanism that explains the observed thermally stimulated current is outlined below by taking into consideration the magnitude and the direction of the short-circuited current. From the direction of the current shown in Figs.4.03 and 4.04, the observed short-circuited current is not due to the surface charges but associated with the bulk of the polymer film. Hence whatever changes found in the electrometer connected to one electrode is observed in the other one as a mirror image. Hence from the direction of the current, it may be inferred that the trapped negative charge density is maximum at the vicinity of the outer electrode. Due to the irradiation of electrons and ions having the energy of the order of 1 KeV, shallow trapped holes and electrons are possibly formed in the specimen enhancing the conductivity of the system. The space-charge field in the specimen may orient the polar side group-CN towards the outer electrode [1]. When the specimen is short-circuited, charge migration of the space-charges and the disorientation of dipoles develop a current in the external circuit. At room temperature, the relaxation time is very large and trap modulated mobility is very small. As charges move

in the system, they may either get trapped again or recombine with the opposite charges. The blocking of electrons by aluminium electrodes may prevent the motion of the charge carriers from the electrodes to the insulator so that recombination of charges is minimum. As mobility and relaxation time vary exponentially with temperature, the thermally stimulated short-circuited current increases exponentially as shown in Figs.4.03 and 4.04. The steady exponential increase of current with temperature indicates that the relaxation time of the permanent dipoles and space-charges are widely distributed in plasma-polymerised PAN as it has been reported for styrene [15]. The re-appearance of current on repeating the experiment shows that only a part of the charge is untrapped on heating. Thus it is plausible to assume that thermally stimulated short-circuited current mainly arises from the untrapping and conduction of real charges in plasma polymerised PAN. However, the contribution from the disorientation of the dipoles is not to be ignored. As the specimen is cooled, the decrease in current of one to two orders may be an indication to the reversible polarisation of the dipoles at a lower rate explaining the closed loop as shown in the figure.

4.42 The short-circuited current induced by electrodes of dissimilar thicknesses

From Figs.4.05 and 4.06 it is observed that the thickness of the inner bound electrode and outer free electrode develop an additional contribution to the observed short-circuited current. For thermally stimulated current studies the specimens are heated by specially designed copper blocks mounted symmetrically at the ends of the substrates. The heating is therefore due to the conduction along the length of the films. The thickness of aluminium electrodes and, to a lesser degree, the thickness of the PAN with much smaller thermal conductivity therefore control the quantity of heat conducted. Thus, the polymer film sandwiched by the two electrodes of dissimilar thicknesses when heated with copper blocks develop a temperature gradient across the film with thicker electrode always at a higher temperature. The average heating and cooling rate is maintained at $1^{\circ}\text{C}/\text{min}$. Since the cooling takes place by radiation, the temperature gradient across the film on cooling is relatively very small with inner electrode always at a higher temperature and the disorder exhibited by the specimen during thermally stimulated current studies is not significant. Hence in the sandwich system a temperature gradient will be developed during the process of heating and this temperature gradient may

develop an additional component to the short-circuited current [16]. The mobility and detrapping of the electrons are increased in the region of the thicker outer electrode which has a larger concentration of trapped electrons. But the temperature in the region of the inner bound electrode will be less. Hence, mobility of the charge carriers in that region will be small. The activated electrons at the vicinity of the outer free electrode move from the outer free electrode to the inner one giving a current in the external circuit. Also due to the large expansion coefficient of aluminium electrodes, the PAN surface which has a lower expansion coefficient might have been strained on heating. It is reported that as the PAN film is strained, the dipoles formed due to the nitrile side group may rotate inducing a charge on the surface [1]. Thus in Al-PAN-Al as the temperature is increased, the observed current of $C_E \left(\frac{dT}{dx} \right)$ can be considered to be a function of the temperature gradient and the surface strain. Within the specimen the charges migrate towards the inner bound electrode resulting in a current of magnitude C_D which is an exponential function of temperature. Hence the total electron current C is given by $C = C_D - C_E \left(\frac{dT}{dx} \right)$. At the initial temperatures, $C_E \left(\frac{dT}{dx} \right)$ will be predominant and hence the net electron current will be from the outer free electrode to the inner

one in the external circuit producing a peak current density of $5 \times 10^{-9} \text{ A/cm}^2$ at 65°C . As the temperature increases C_D predominates and the direction of current reverses as seen in the Figs. 4.05 and 4.06. On the contrary, when the inner bound electrode is thicker, the magnitude of $C_E \left(\frac{dT}{dx} \right)$ will be very small due to low concentration of trapped electrons at the vicinity of the inner electrode and the resulting current will be approximately C_D . Hence, as seen before, there is no current maximum at the initial temperatures. If the positive charges were trapped in the vicinity of the inner electrode, the direction of $C_E \left(\frac{dT}{dx} \right)$ would have been opposite to C_D and the current would have been reversed when the inner electrode is thicker. Hence in plasma-polymerised PAN, the negative charge gradient with a maximum at the outer electrode can explain the observed thermally stimulated current. It can also be inferred that there are no positive charges trapped at the inner bound electrode.

4.43 Vector addition of short-circuited currents due to trapped charges and due to electrodes of nonidentical thicknesses

To test the vector addition of C_D and $C_E \left(\frac{dT}{dx} \right)$ in the thermogram of the specimen whose outer free electrode is thicker, a current is generated using external sources

in place of $C_E \left(\frac{dT}{dx} \right)$ in a sample whose inner bound electrode is thicker. For that, a field of 3×10^4 V/cm is applied on the outer thinner electrode to oppose the current due to the trapped charges. When the potential of the M-I-M structure is raised, an ohmic current C_{Ω} and a polarisation current C_p due to the motion of space charges and orientation of dipoles will be developed in the direction of the field [17,18]. For a system with a thinner outer free electrode, $C_E \left(\frac{dT}{dx} \right)$ will be very small compared to C_D . Hence the total current C towards the direction of the field is given by $C = C_{\Omega} + C_p - C_D$. As the temperature increases, all the contributions to the observed current increases and at the temperature of 70°C , C_D dominates and the direction of the total current reverses as shown in the Fig.4.07. In the cooling cycle the polarisation current C_p will be zero because the specimen is poled with maximum amount of dipoles and space charges. Hence the total current will be $C = C_{\Omega} - C_D$. At about 65°C , C_{Ω} becomes relatively large and the direction of the current will be towards the field. Hence the low temperature peak in the cooling process is less by two orders than the one in the heating cycle which may be due to the absence of C_p . On comparing Fig. 4.07(\blacktriangle) and Fig.4.07(\bullet), it is clear that the current reversibility is due to the additional contribution of short-circuited current which is in the opposite

direction of C_D and in the case of Fig.4.07(●), it is explained on the basis of a temperature gradient due to the electrodes of dissimilar thicknesses and a trapped charge accumulation at the vicinity of outer electrode. In the cooling cycle of the specimen with thicker outer free electrode, only C_D will be predominant at higher temperatures while at the vicinity of room temperature the current due to reversible polarisation will be slightly higher. Hence Fig.4.07 (●) shows a current reversal at the vicinity of room temperature in the cooling cycle. The decrement of short-circuited current by one to two orders may be an indication of reversible polarisation which leads to a short-circuited current in the next heating cycle.

When higher potential is applied to the specimen whose outer free electrode is thinner, the thermogram obtained (Fig.4.08) is different from what is observed before. When the potential of outer thinner electrode is raised to produce a field of 5×10^4 V/cm, the current C will be towards the field (Fig.4.08, A-B). In this case $C_n + C_p$ is field dependent and hence very large. At higher temperatures, thermally stimulated charges are formed and move in the field resulting in a sharp increase in current above 120°C . The current due to the space-charges

as well as reorientation of dipoles is given by Goro Sawa et al [7] as

$$C_p = \frac{SV}{d} \beta \frac{d\epsilon}{dT} \quad \text{where } \beta = \frac{dT}{dt} \quad \text{and } \frac{d\epsilon}{dT} \text{ is the change}$$

in dielectric constant with temperature. For plasma polymerised PAN the quantities in the above expression are positive on heating while C_p becomes negative on cooling. Thus on cooling, the direction of the total current C reverses (Fig.4.08, B-C). On further cooling, at about 75°C , the ohmic current C_Ω dominates showing a current reversal as seen in Fig.4.08, (D). It is also found that the point D shifts on changing the applied field. When the applied field is of the order of 10^5V/cm , the C_Ω and C_p are appreciably large and hence the influence of C_D is unobserved. In such cases the current reversal is not observed. At low fields the cyclic nature of the current with temperature may be due to the to and fro motion of space charges and the reorientation of dipoles. Since this process is repeatable, it can be assumed that the recombination rate of space charges is very small.

4.44 Energy levels of trapped charges

The temperature activation energy is calculated to be ~ 1.4 eV from the expression $\log J = C - \frac{E}{kT}$ using

the low temperature tail of the short-circuited current [19] shown in Figs.4.03 and 4.04. It is in good agreement with the energy required to create charge carriers in a structure consisting principally of C-C bonds [20]. Hence it is clear that trap levels are situated at ≈ 1.4 eV below conduction band. From the absorption spectra of PAN discussed in chapter III, it is found that the absorption band starts from the frequency close to the above energy level (1.7 eV). Hence it is clear that the absorption takes place at the trap centers. It is concluded that negative charges are trapped at the covalent bonds of the carbon atoms most probably in the termination process and released on heating.

4.50 ORIGIN OF SHORT-CIRCUITED CURRENT

The short-circuited current generated from plasma-polymerised unpoled Al-PAN-Al sandwich system may originate from the accumulation of negative trapped charges in the vicinity of the outer electrode and the preferential orientation of dipoles due to the rotation of -CN side groups towards the outer electrode. The electrons are trapped at the tail ends of the polymer chain and they form a negatively charged molecule of charge $e^{(-)}$. From the behaviour of the current, it is inferred that the degree

of polymerisation will be maximum near the inner electrode and minimum near the outer. Hence the electrons may trap at different levels in the process of plasma polymerisation with a maximum of trapped charges at the outer electrode producing a gradient towards the inner. The space charge gradient might have been due to the decrease in the pressure in the vacuum chamber in the process of polymerisation. From the study of the additional current produced due to nonuniform heating as well as the surface strain of the specimen, it is inferred that no positive charges are trapped at the vicinity of inner electrode. Since the activation energy is ~ 1.4 eV, it is concluded that the charges are formed from the covalent bond of the carbon atoms and this supports the formation of electrons from the tail ends of the molecules. These types of sandwich systems can store a large amount of negative charges and can be used as electrets and thermal current sources.

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CHAPTER V

THERMALLY STIMULATED DEPOLARISATION CURRENT IN POLED PLASMA-POLYMERISED POLYACRYLONITRILE

ABSTRACT

Results on the depolarisation and thermally stimulated short-circuited currents obtained from plasma-polymerised polyacrylonitrile are presented in detail by varying the magnitude and direction of the polarisation field. A low temperature thermally stimulated current peak is observed and analysed on the basis of a uniform polarisation associated with the orientation of the dipoles, migration of charges and injection of electrons from the electrode to polymer. The depolarisation kinetic parameters are calculated by initial rise method. It is observed that there is no permanent polarisation below a field of 6.2×10^4 V/cm and at high field polarisation, some local structure is developed which limits the depolarisation current.

5.10 INTRODUCTION

Thermally stimulated depolarisation (TSD) current analyses give detailed information on the mechanism of charge storage in electrets. In poled polymer electrets, usually two peaks are observed, one below (β -transition) and the other above (α -transition) the glass transition temperature [1-3]. The α -transition is due to relaxation of trapped charges while β -transition is associated with movement of side groups around the equilibrium position where large scale conformational rearrangement on the main chain is frozen. In poled polyacrylonitrile (PAN), two peaks, one at 90°C and the other at 180°C, are reported for normal polarising voltages [1,4]. At higher polarising voltages the peak at 90°C is suppressed and additional peak appears at 150°C to 160°C [1]. The glass transition temperature, though not well defined for PAN, is proposed to be at 140°C [1]. A spurious emission of short-circuited current due to chemical degradation on heating has also been reported for PAN by Stupp and Carr [5]. Large thermally stimulated currents due to compositional inhomogeneity have been observed in PAN and discussed in the previous chapter. The high dipole moment and large thermally stimulated currents of PAN enhance its suitability as an electret. The studies reported so far on PAN are made using chemically processed material. Considering

the increased industrial applications of polar electrets in recent years, a detailed study on the charge storage mechanism of poled PAN formed by plasma-polymerisation is considered to be important and has been undertaken.

5.20 EXPERIMENTAL PROCEDURE

All the measurements are made on plasma-polymerised PAN thin films sandwiched by aluminium electrodes. The polymerisation of acrylonitrile (BDH, Laboratory reagent) is carried out in a glow-discharge chamber. Weighed amount of aluminium is pre- and post-evaporated for the purpose of electrical contacts. The details of preparation of Al-PAN-Al sandwich specimens are discussed in the section 2.24. The sandwich structures thus prepared for short-circuited current measurements are schematically shown in Fig.2.09(a and b). For the present investigations, importance is given to the TSD current of poled PAN and hence identical aluminium electrodes of thickness $1500\overset{\circ}{\text{A}}$ is prepared for all specimens. For the thickness measurement of the polymer films, identical films are prepared at the same time on separate substrates and the thickness is measured by Fizeau fringes.

5.21 Thermally stimulated depolarisation current measurements

Al-PAN-Al specimens thus prepared are transferred to the conductivity chamber and evacuated to 10^{-3} torr. Using copper pressure contacts, connection leads are taken out. As shown in Fig.5.01, the leads are short-circuited through an electrometer amplifier (ECIL, EA-815). The electrometer is normally connected to inner bound electrode while the other end of the electrometer is always earthed. The outer free electrode is connected to a switch (single pole double throw) such that in case (i) the electrode is directly earthed and in case (ii) it is connected to the negative voltage with the positive being earthed. Before making thermally stimulated current measurements, the short-circuited specimens are heated to 160°C at a rate of $1^{\circ}\text{C}/\text{min}$. The samples are maintained at that temperature for one hour and then cooled to room temperature at the same rate. This heating and cooling process is done in order to remove the condensed monomer and trapped charges. This is repeated for three or four times until a steady negative current is observed in the electrometer when connected to the inner bound electrode. The short-circuited current of the unpoled specimen is already discussed in the previous chapter. From those studies it is inferred that when the outer free electrode is thicker, an additional contribution of

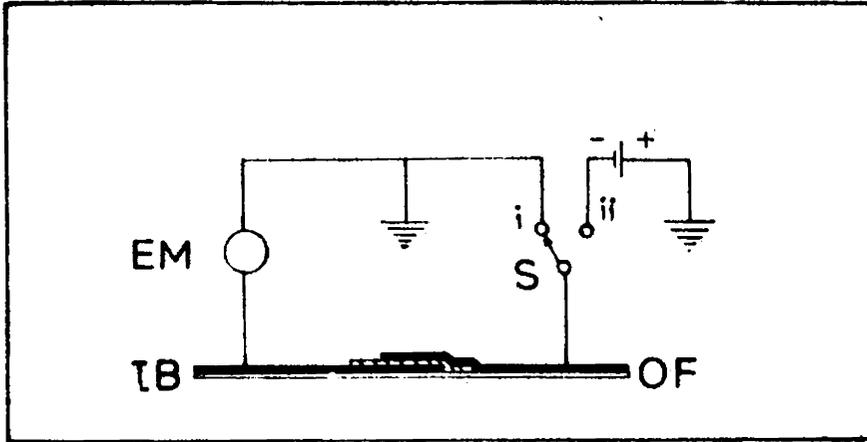


Fig.5.01.

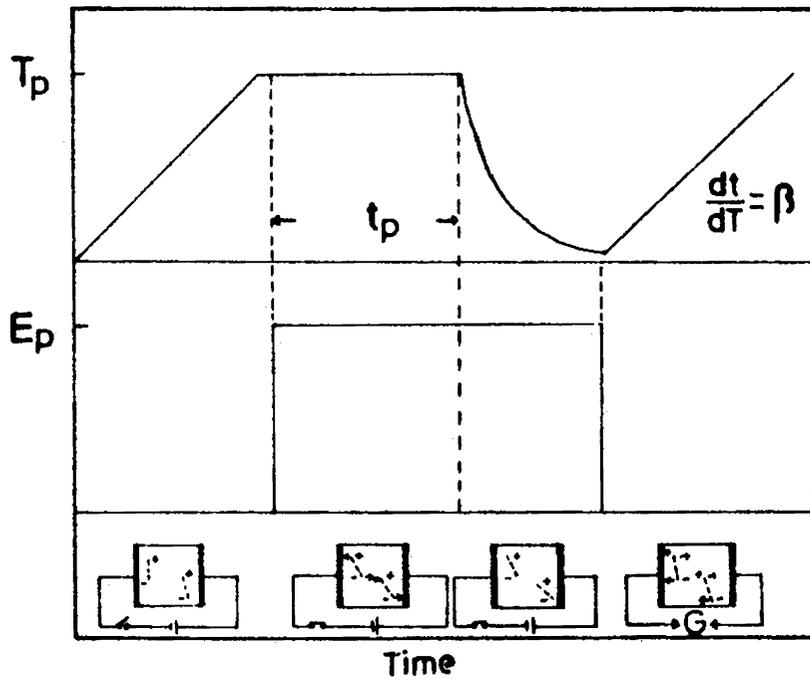


Fig.5.06.

Fig.5.01. The electrical connections for polarisation and short-circuited current measurements: IB - inner bound electrode, OF - outer free electrode, EM - electrometer amplifier and S - single pole double throw switch with (i) electrode is directly earthed and (ii) the electrode is connected to the voltage source.

Fig.5.02. Schematic representation of poling process.

short-circuited current is to be included. Hence to make the investigation easier, in the present investigations, both electrodes are prepared with the same thickness so that the contribution due to dissimilar electrode is eliminated.

5.22 Experimental method for poling the specimen

As discussed in the introduction, during the process of poling, the dipoles in the material attain a preferred orientation and the charges migrate to one side depending upon the poling voltage V_p . For this purpose, the specimen is heated to a higher temperature T_p , above the transition temperature and the poling voltage V_p is applied across the specimen. The relaxation time of dipoles are quite small at T_p and they turn towards the applied voltage. The charges also accumulate to one direction depending upon the sign of the charges. To assure a complete poling with voltage V_p , the specimen is maintained for one hour at T_p , and then cooled to room temperature at the rate of $1^\circ\text{C}/\text{min}$. When the specimen is cooled to room temperature, it is short-circuited through the electrometer amplifier. The connections used for the poling process and for the short-circuited studies are shown in Fig.5.01. In Fig.5.02, the variation of temperature with time and the application

of field in the poling process are diagrammatically represented. The scheme of the dipolar motion in the sample corresponding to the physical situation is also shown.

5.23 Parameters controlling the depolarisation current

From the initial experiments, it is observed that the depolarisation current mainly depends on the direction and magnitude of the poling voltage. For example, when the poling voltage is applied to the specimen with the positive terminal connected to the outer free electrode and after poling, the depolarisation current is measured with electrometer connected to the inner bound electrode, no well resolved depolarisation characteristics are observed. On the contrary, when the voltage source is connected to the specimen with positive bias given to inner bound electrode and electrometer connected to the same electrode, in the depolarisation current measurements, a well resolved peak is observed. It is also found that depending on the direction of the poling field, the magnitude of the depolarisation peak changes. It is strange to note that a minimum field is necessary for the occurrence of polarisation in the Al-PAN-Al specimen. Hence, a detailed study of the TSD current as a function of the poling voltage is reported here.

For poling the specimen, there are certain experimental limitations. The specimens are permanently destroyed for a temperature above 135°C on poling even with 10 volts. Hence T_p is chosen as 135°C for all polarisation voltages ($V_p < 10$ volts). To study the effect of T_p , a second temperature of 85°C is chosen as an intermediate temperature. In certain cases, depolarisation current is analysed by reversing the direction of the poling field.

5.30 THEORY OF THERMALLY STIMULATED DEPolarISATION CURRENT

Due to thermally stimulated depolarisation process, the dipoles that are aligned by the poling field will disorient randomly at a rate proportional to the number of dipoles aligned. The depolarisation will therefore decay according to the Debye rate equation

$$\frac{dP(t)}{dt} = -\alpha(T)P(t) \quad (5.01)$$

where $\alpha(T)$ is the relaxation frequency at a temperature T . As the polarisation P is a function of both temperature and time, the current density $J(T)$ and total polarisation P_0 can be related [6] by the equation

$$P_0 = \frac{1}{\beta} \int_{T_0}^{T_B} J(T) dT \quad (5.02)$$

where β is the rate of heating $\frac{dT}{dt}$ and T_B is the temperature at which $J(T_B) = 0$. T_0 is the temperature at which the depolarisation starts. From the above equation, a convenient expression [6-9] for TSD current density can be written as

$$J(T) = P_0 \alpha(T) \exp\left(-\frac{1}{\beta} \int_{T_0}^T \alpha(T) dT\right) \quad (5.03)$$

As the glass transition temperature T_g for PAN is proposed to be around 140°C [1], for temperature below this, the relaxation frequency $\alpha(T)$ can be represented by Arrhenius relaxation function [8].

$$\alpha(T) = \alpha_0 e^{-A/kT} \quad (5.04)$$

where A is the activation energy, k is the Boltzmann constant and α_0 is the relaxation frequency at absolute zero. Hence for the maximum current $J(T_{\max})$

$$\frac{dJ(T_{\max})}{dT} = 0$$

Equating the differential of eqn.(5.03) to zero we have

$$\frac{dJ(T)}{dT} = P_0 \alpha_0 \frac{d}{dT} \left[\exp\left(-\frac{A}{kT} - \frac{1}{\beta} \int_{T_0}^T \alpha_0 e^{-A/kT} dT\right) \right] = 0 \quad (5.05)$$

Hence

$$\frac{A}{k(T_{\max})^2} = \frac{1}{\beta} \alpha_0 \exp\left[\frac{-A}{k(T_{\max})}\right] \quad (5.06)$$

$$\text{Then } \alpha(T_{\max}) = \frac{\beta A}{k(T_{\max})^2} \quad (5.07)$$

where T_{\max} is the temperature at which $J(T)$ is a maximum.

At low temperature $\frac{1}{\beta} \int_{T_0}^T \alpha(T) dT$ being small, the exponential

can be considered as unity [6]. Differentiating eqn.(5.04) with respect to $1/T$, one obtains

$$\frac{d}{d(1/T)} [\ln J(T)] = \frac{-A}{k} \quad (5.08)$$

from which the activation energy can be calculated.

In order to obtain the information regarding the mechanism of polarisation in PAN, the orientational aspects of dipoles are to be considered. In PAN, the contribution to the dipole moment is mainly due to the nitrile side group and if one considers the nitrile side group as immersed in a nonpolar medium, the equilibrium polarisation at the

polarising temperature T_p is given by Langevin equation [9,10]

$$P_o = \frac{Np^2E'_p}{3kT_p} \quad (5.09)$$

where N is the number of dipoles per unit volume, p is the dipole moment and E'_p is the effective field across the specimen. But when the influence of the local field is also considered, an expression for P_o in a polarisable medium can be written as [11]

$$P_o = \frac{NgE'_p}{\left(1 - \frac{\gamma\alpha}{a^3}\right)} \left[\alpha + \frac{1}{\left(1 - \frac{\gamma\alpha}{a^3}\right)} \cdot \frac{p^2}{3kT_p} \right] \quad (5.10)$$

where $g = \frac{3\epsilon_0}{2\epsilon_0+1}$, $\gamma = \frac{2\epsilon_0-1}{(2\epsilon_0+1)\epsilon}$ and $\frac{\alpha}{\epsilon a^3} = \frac{n^2-1}{n^2+1} = \frac{4\pi N\alpha}{3\epsilon}$

ϵ_0 is the dielectric constant of the material, ϵ the permittivity of free space and α the polarisability. In the case of PAN, since the polarisation is due to the orientational motion by rotation of nitrile side group and because the atomic polarisability α can be taken to the zero in the system, the total polarisation is given by a more simple equation

$$P_o = \frac{Np^2}{3kT_p} \left(\frac{3\epsilon_0}{2\epsilon_0+1} \right) E'_p \quad (5.11)$$

Thus, if one knows the dipole moment of the material, the total polarisation P_0 can be determined from eqn.(5.11). The total polarisation can also be calculated from eqn.(5.02). In the present work, the theoretically predicted and experimentally observed values for polarisation are compared. Thus the possible mechanism for the charge storage in M-I-M structures can be explained with the additional help of other related studies.

5.40 RESULTS

5.41 Depolarisation currents in poled and unpoled Al-PAN-Al

Fig.5.03 shows the plots of logarithmic short-circuited current vs. temperature for unpoled and poled Al-PAN-Al specimen (thickness of PAN = $3350\overset{\circ}{\text{A}}$) with the electrometer connected to inner bound electrode. A short-circuited current is always found in unpoled PAN as shown in Fig.5.03(●). This temperature dependent short-circuited current is inherent in the material and it is designated as a spontaneous short-circuited current (I_{sp}).

When the specimen is poled with a negative inner electrode and positive outer electrode, no well resolved depolarisation peaks are observed in the short-circuited

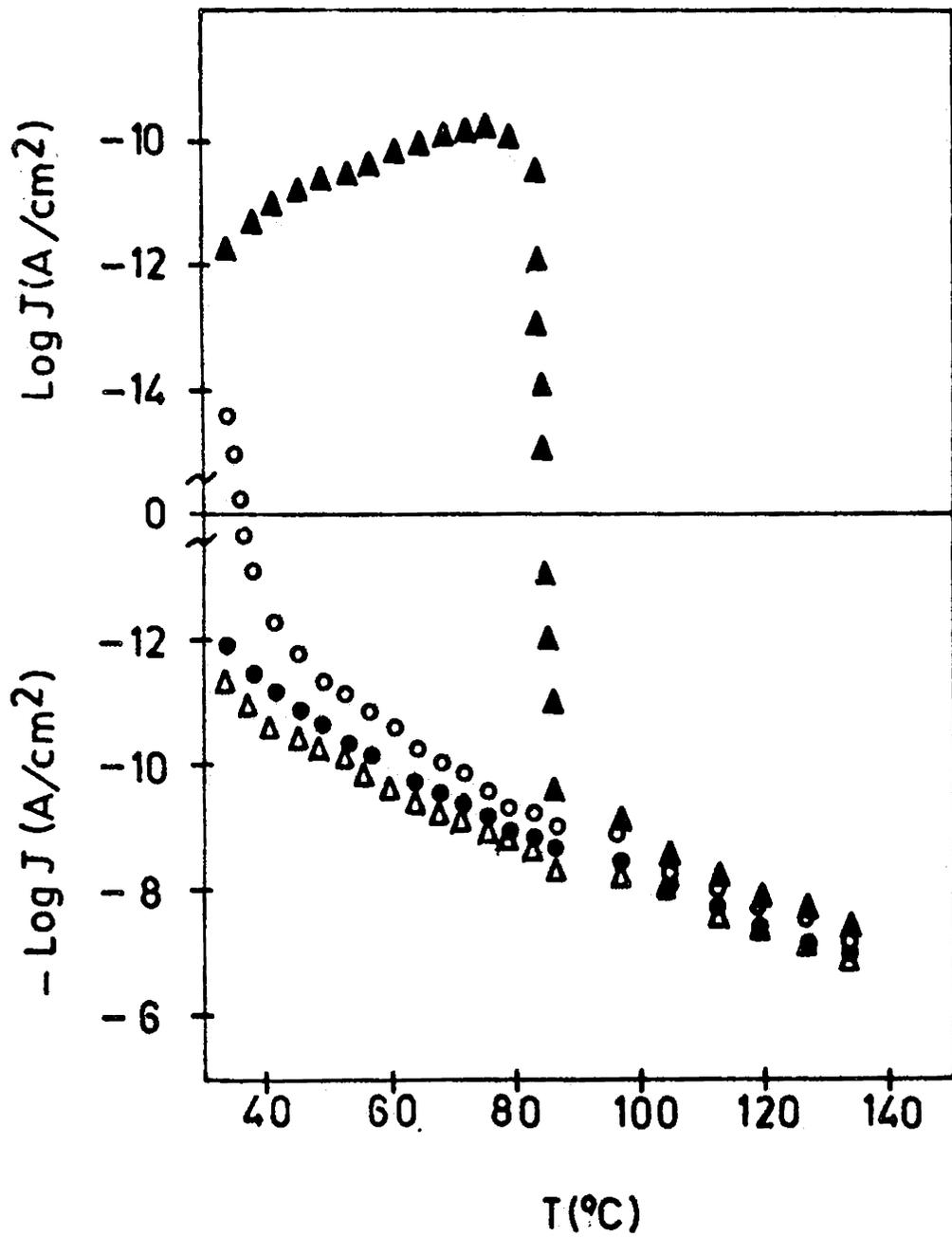


Fig.5.03. Thermally stimulated logarithmic current vs. temperature for Al-PAN-Al films (thickness of PAN = 3350Å), polarised at 135°C, are shown. ● is the curve for unpoled specimen and ▲ and ○ are for films polarised by 10V and 2V respectively with the inner electrode positive. △ is the curve for the specimen polarised by 10V with the outer electrode positive.

current as shown in Fig.5.03(Δ) ($V_p = 10V$ and $T_p = 135^\circ C$). In this case the spontaneous current (I_{sp}) and depolarisation current (I_{dp}) are in the same direction. On reversing the poling voltage, i.e., when the specimen is poled with positive inner electrode and negative outer electrode, a well resolved peak is observed in the short-circuited current (Fig.5.03, \blacktriangle) ($V_p = 10V$ and $T_p = 135^\circ C$). Since this current peak is a field induced one, the main contribution to this peak can only be from the depolarisation current. It is also found that when the poling voltage V_p is reduced, the height of the depolarisation peak is also decreased and finally at a poling voltage of 2V, the depolarisation current peak completely disappears. In Fig.5.03(O) the depolarisation current is shown when the poling voltage V_p is 2V and T_p is $135^\circ C$. Below this voltage, there is no indication of the existence of the depolarisation current.

5.42 Variation of depolarisation current peaks with polarisation voltages

The depolarisation current is analysed by plotting the discharge current as a function of temperature for different polarising voltages. Fig.5.04(a,b and c) shows the depolarisation current spectra for a polarising temperature $T_p = 135^\circ C$ and polarising voltages $V_p = 5V$,

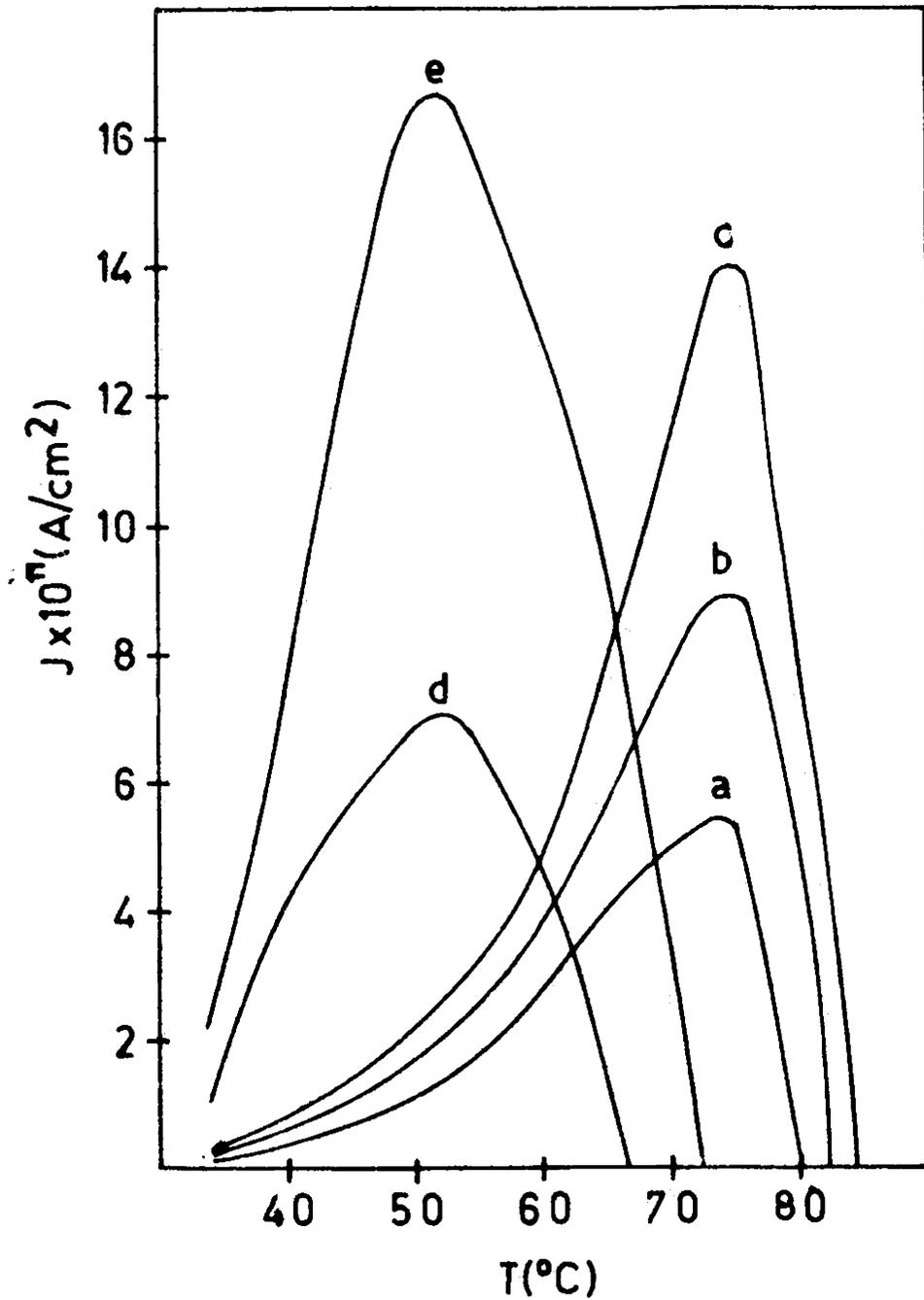


Fig.5.04 Thermally stimulated current vs. temperature for Al-PAN-Al (thickness of PAN = 3350Å) polarised by 5V, 7V and 10V applied with the inner electrode positive are shown as a, b and c respectively for a polarising temperature of 135°C. The ISC is shown as d and e for a polarising temperature of 85°C when specimen is polarised by 7V with the inner electrode and the outer electrode respectively positive.

7V and 10V respectively. The current maximum, J_{\max} , is observed at 75°C for all the three curves. The J_{\max} of each peak is $5.4 \times 10^{-11} \text{A/cm}^2$, $8.8 \times 10^{-11} \text{A/cm}^2$ and $1.4 \times 10^{-10} \text{A/cm}^2$ respectively.

5.43 Shift in the current peak with poling temperature

It is found that when the poling temperature T_p is decreased, the depolarisation current peak is shifted to lower temperature. It is also found (Fig.5.04,b) that the depolarisation current peak is observed at 75°C , when the poling temperature T_p is 135°C . But when T_p is decreased to 85°C , the TSD peak is shifted to 52°C . Fig.5.04(d) shows such a shift in depolarisation current. It can also be observed that J_{\max} is reduced to $6.8 \times 10^{-11} \text{A/cm}^2$.

5.44 Variation in current maximum with the direction of poling

Under the same conditions of poling temperature T_p , when the poling voltage is reversed, (ie., when the specimen is poled with positive inner bound electrode and negative outer free electrode and also with the electrometer connected to positive electrode) a well resolved peak is clearly observed. The magnitude of J_{\max} is appreciably increased while T_{\max} will be still at 52°C . The TSD peaks

in Fig.5.04(d and e) show the increase in the magnitude of J_{\max} when the poling voltage is reversed. The J_{\max} is found to be $6.8 \times 10^{-11} \text{ A/cm}^2$ for the peak in Fig.5.04(d) and increases to $1.65 \times 10^{-10} \text{ A/cm}^2$ for the other peak in Fig.5.04(e).

5.50 MECHANISM OF DEPolarISATION CURRENT

It has already been reported that the thermally stimulated short-circuited current generated from unpoled PAN is due to chemical degradation [5] and compositional inhomogeneity which has been already discussed in the previous chapter. However, for the poled specimen, the total depolarisation current will be the vector sum of the spontaneous current (I_{sp}) and depolarisation current (I_{dp}). When the specimen is poled with the negative inner electrode and positive outer electrode, no well resolved peak is observed for short-circuited current and may be due to the fact that the (I_{sp}) and (I_{dp}) are in the same direction. But when the V_p is reversed but with the electrometer connected to the inner electrode, a well resolved peak is observed in the depolarisation current. Since the short-circuited current peak is a field induced one, the main contribution to the peak can only be from the depolarisation current (I_{dp}). From Fig.5.03 (Δ and \blacktriangle), it can also be inferred that (I_{sp}) and (I_{dp}) are of the same order of magnitude but with (I_{dp})

larger than (I_{sp}) during the initial stages of heating. Hence, parametric variations in the poling process should show characteristic variation of the depolarisation peak in the Fig.5.03(▲) and this in turn can offer new information regarding the poling mechanism. The disappearance of TSD peak below the $V_p = 2V$ may be due to two reasons (i) the existence of an internal built in field within the specimen which opposes the applied field and (ii) the magnitude of (I_{dp}) is less than the (I_{sp}) at all temperatures resulting in a current in the direction of (I_{sp}). Stupp and Carr [5] have already reported that thermally generated charges will be formed in PAN due to chemical degradation of the material. On poling the sandwich structure, the charges will migrate over a microscopic distance. Also the accumulated charges will develop an internal built-in field within the specimen which in turn restricts the orientation of dipoles. Hence there should be a threshold field below which the polarisation due to the orientation of dipoles is not observed. Also for higher fields, the magnitude of the orientation is lesser than what is expected theoretically. In the case of depolarisation, the dipoles and the migrated charges will normally retrace to their original position. But some amount will be discharged in the direction of (I_{sp}). It may also reduce the effect of depolarisation current due to dipoles when the poling

voltage is below the threshold voltage. In such cases, the magnitude of (I_{sp}) is higher than the magnitude of (I_{dp}) at any temperature. Among the two mechanisms, it is very difficult to identify which is predominant. But it is hoped that from the analysis of depolarisation current, certain information about the mechanism of charge storage can be obtained.

5.51 Depolarisation current analysis

The TSD peaks can be analysed by calculating the total polarisation P_o , the relaxation frequency at T_{max} i.e., $\alpha(T_{max})$ and the activation energy A . As the depolarisation current satisfies the equation (5.03), the activation energy can be calculated by the initial rise method. In Fig.5.05, the logarithmic current of the initial portion of the peak is plotted against the reciprocal of the temperature in absolute unit for all the peaks and found to be a straight line. From the slope of the straight lines and using eqn.(5.08) the activation energy is calculated. From the activation energy, the relaxation frequency $\alpha(T_{max})$ can be calculated with the knowledge of other parameters in eqn.(5.07). The area bound by each peak is calculated from graphical measurements and by using proper unit conversions, $\int_{T_o}^{T_B} J(T)dT$ can be obtained. The total

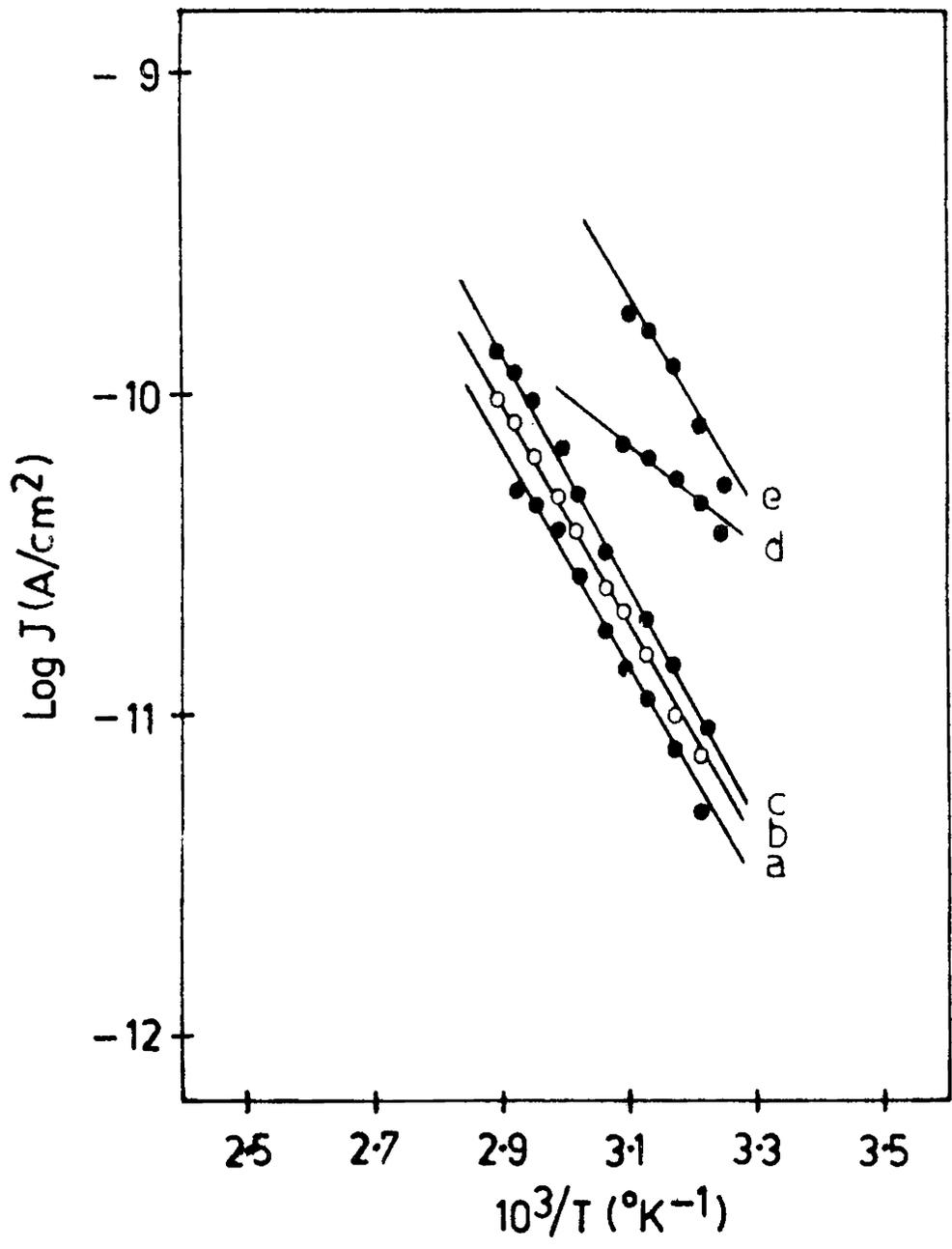


Fig.5.05. Plots of $\log J$ vs. $1/T$ obtained from the initial rise of TSD curve, a, b, c, d and e of the Fig.5.04.

polarisation P_0 is straightaway calculated from eqn.(5.03). The depolarisation kinetic data, namely the calculated values of activation energy A , the relaxation time $\tau_{\max} = \frac{1}{\alpha(T_{\max})}$ and total polarisation P_0 for all the curves Fig.5.04(a,b,c,d and e) are presented in Table 5.01. From the table, the general nature of the peak can be understood.

5.52 The variation of J_{\max} and P_0 with E_p

When J_{\max} and P_0 are plotted against V_p for the curves in Fig.5.04 (a,b and c), it is found that the variation is linear (Fig.5.06, I and II). The linearity of J_{\max} and P_0 with V_p (or E_p) satisfy the eqn.(5.03 and 5.11). This suggests that the polarisation is uniform and is due to the dipolar orientation or migration of charges over microscopic distances [9]. A TSD peak observed in PAN [1,4] at 90°C ($T = 130^\circ\text{C}$) has been interpreted as the β -transition and is due to the relaxation of the nitrile side group. The peak at 75°C has an average calculated activation energy of 0.81 eV. Usually TSD peaks formed due to the reorientation of dipoles in high molecular weight polymers have an activation energy of the order of 0.4 eV [12]. A high value of activation energy of 0.81 eV shows that the TSD peak is not only due to the

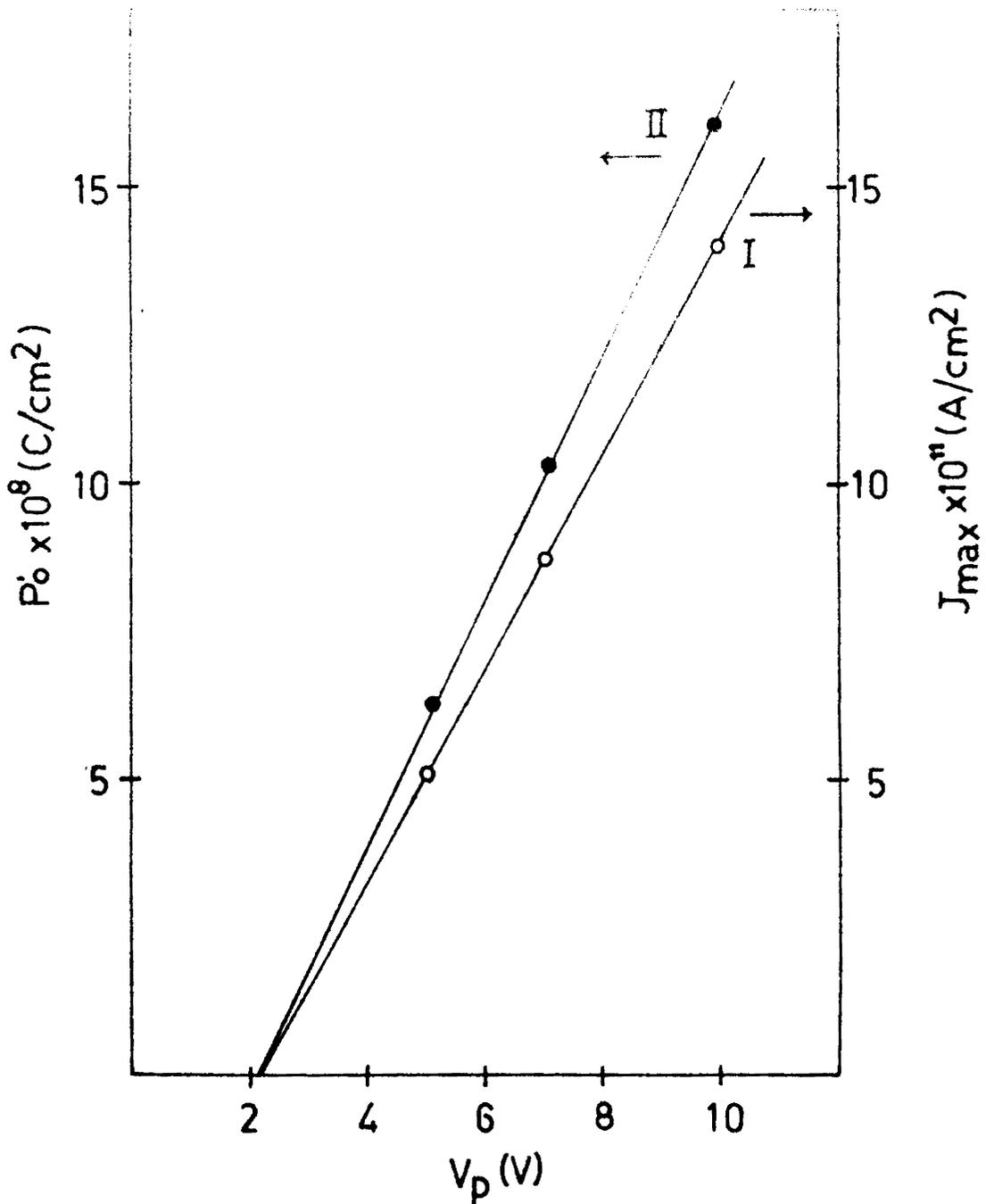


Fig.5.06. I - The variation of J_{max} and II - the variation of polarisation P_0 vs. The applied polarising voltage with the inner electrode positive are shown for Al-PAN-Al ($T_p = 135^\circ\text{C}$, thickness = 3350\AA).

Table 5.01 Depolarisation kinetics data calculated for TSD peak of PAN films of thickness 3350Å.

Curve	T_p (°K)	$E_p \times 10^{-5}$ (V cm ⁻¹)	$E'_p \times 10^{-5}$ * (V cm ⁻¹)	$J_{max} \times 10^{11}$ (A cm ⁻²)	T_{max} (°K)	A (eV)	$\tau_{max} \times 10^{-2}$	P_o ($\mu C \text{ cm}^{-2}$)
a	408	1.50	0.88	5.4	348	0.79	7.92	0.063
b	408	2.09	1.47	8.8	348	0.84	7.46	0.103
c	408	3.00	2.38	14.0	348	0.81	7.73	0.160
d	358	2.09	1.47	6.8	325	0.48	11.50	0.087
e	358	2.09	2.71	16.5	325	0.71	7.70	0.230

* $E'_p = E_p \pm 0.62 \times 10^{-5}$

reorientation of aligned dipoles but also due to some other mechanism. But since the maximum current corresponding to the peak and the charge associated with it increase linearly with E_p , it can be inferred that the additional contribution may be associated with the migration of charges through a microscopic distance. In PAN, the dipoles are due to -CN side group and the charges are formed due to thermal degradation and these two together develop a depolarisation current peak in Al-PAN-Al structures.

It is interesting to note that in Fig.5.06, (I and II) J_{\max} as well as P_0 coincide on the Y-axis when the poling voltage is $\sim 2.1V$. The absence of a well resolved peak in Fig.5.01, which is already discussed earlier, supports the above observation. These two observations indicate that a threshold voltage is necessary to pole the Al-PAN-Al specimen. Thus the existence of an internal built-in field and an additional contribution to (I_{sp}) create a reduction in the depolarisation current. Hence the effective polarisation field can be taken as $E'_p = E_p \pm 6.2 \times 10^4$ V/cm where 6.2×10^4 V/cm is the virtual drop in the poling field.

5.53 Effect of T_p on the discharge current

On comparing the TSD peaks Fig.5.04(b and d) it is found that T_{\max} is shifted to a lower temperature when T_p is reduced from $135^\circ C$ to $85^\circ C$. Such a shift in TSD peak

has been reported earlier [3]. As the T_p is lowered, the activation energy is also reduced to 0.48 eV. This indicates that the dipolar orientation and charge migration still occur even with reduced polarisation temperature. Hence, there exists dipoles or charges having relaxation frequencies which will fit with T_p and t_p and they can actively take part in the process of polarisation. As reported earlier [2] depending on the order of polymerisation, the relaxation time of the dipoles will vary widely. In the present case, since T_{max} is shifted with T_p , it can be concluded that in plasma-polymerised PAN, the order of polymerisation is widely distributed. In PAN, when the order of polymerisation is increased, the size of the molecule is also increased. Hence, in the poling process, the energy required for dipole alignment will be normally increased. When the order of polymerisation is low, the dipoles will reach a stable position with applied voltage even at lower temperatures. The reduction of T_{max} when T_p is reduced, indicates the occurrence of dipoles of lower relaxation time. The thermally generated charges formed in the system is generally of widely distributed relaxation time. Hence it can be inferred that the dipoles in the polymer as well as the thermally generated charges are of widely distributed relaxation time. If dipoles are of distributed relaxation time, the order of polymerisation in PAN is also widely distributed.

5.54 Effect of poling direction on polarisation

It is found that the total polarisation associated with the specimen poled at 85°C is $0.087 \mu\text{C cm}^{-2}$. But the total polarisation P_0 of the peak (Fig.5.04,e) is calculated to be $0.228 \mu\text{C cm}^{-2}$. This means that when the direction of poling field is reversed, the charge stored in the polymer is increased appreciably. This indicates that along with the orientation of dipoles, the injection of homo-charges through contact electrodes also contribute to the total polarisation. The amount of injected homo-charges are heavily controlled by the work-function of inner and outer surfaces of the polymer. When the work-function is different, the contact potential barrier will be different. Hence when the direction of the poling field is reversed, the contribution of the injected charges will vary which will in effect change the total polarisation.

It has been already discussed that in plasma-polymerised PAN, the order of polymerisation is different due to compositional inhomogeneity. In that case, the order of polymerisation is maximum at the vicinity of inner bound electrode. If the electrons are trapped at the tail ends of the polymer molecule, the density of electrons will be maximum at the vicinity of outer bound electrode. On poling with a negative outer free electrode,

further negative charges may not be injected from that electrode. The injection of positive charges at the inner bound electrode may be negligibly small. In such a case, the orientation of dipoles along the direction of the field and the microscopic displacement of the charges may form a major contribution to the total polarisation P_0 . Hence this will give a TSD peak Fig.5.04(d). On reversing the poling field, the negative charges may be injected through the inner bound electrode. In this case also, the possibility of injection of the positive charges will be feeble. The positive charges if injected at the outer electrode will be neutralised by the trapped charges. The possibility of positive charge injection cannot be completely ruled out. The observed characteristics can be explained by the above described model.

Thus the additional contribution of the injected charges may promote the resulting polarisation when the specimen is poled with the positive outer free electrode. The model with the trapped charges and hence a difference in contact potential at the two surfaces explains the observed increase in polarisation on reversing the poling field. The trapped charges may also develop an internal field in the specimen which is one of the supporting reasons for the observation in Fig.5.03, () and 5.06.

5.55 Origin of polarisation

From the linear variation of J_{\max} and P_0 with poling voltage (Fig.5.06), it is clear that upto a poling field of $2.38 \times 10^5 \text{ V cm}^{-1}$ (the maximum poling field applied in the present investigation), the polarisation is uniform. As reported by Pillai [9] uniform polarisation is due to dipolar orientation and/or charge migration over microscopic distances. If it is assumed that the polarisation is due to dipoles only, the upper bound to polarisation arising from the preferentially oriented nitrile side group in PAN [10] is $14.8 \mu\text{C cm}^{-2}$. Assuming the density of plasma-polymerised PAN to be 1.18 gm cm^{-3} , the number of monomer units per unit volume is calculated to be $1.34 \times 10^{22} \text{ cm}^{-3}$. The acrylonitrile molecule contains only one $-\text{CN}$ side group and this side group is responsible for the dipole moment in the polymer. Hence the number of dipoles contained in unit volume of the material is also $1.34 \times 10^{22} \text{ cm}^{-3}$. From the upper bound to polarisation, the dipole moment is calculated as $1.1 \times 10^{-27} \text{ C cm}$ (3.4D). From the peaks in Fig.5.04(a,b and c), if the corresponding values of E'_p and T_p are substituted in eqn.5.11, one may expect a total polarisation of $0.125 \mu\text{C cm}^{-2}$, $0.208 \mu\text{C cm}^{-2}$ and $0.337 \mu\text{C cm}^{-2}$ respectively ($\epsilon_0 = 1 + \chi = 33$ where χ is taken as 32 for PAN [4] at 130°C). But the

total polarisation P_0 calculated experimentally (Table 5.01) is lower than the theoretically predicted value. This suggests that the nitrile side-groups have only a slight preferred orientation in the direction of polarisation. The use of birefringence [4] and infrared dichroism studies [13] have confirmed this low level of nitrile side group orientation. It is well established that the amount of dipolar orientation developed is relatively small and therefore contributes a very small (less than 1%) portion to the total electrical polarisation [10]. Testing of the theoretical prediction in PAN reveals that some local structure must be developed by the polarised state. For strongly polarised specimens, the original structure will be regained only at very high temperatures [10]. Hence in the TSD studies of PAN, only a part of the polarisation is released at normal ranges of temperature. This causes a reduction of depolarisation current contributed by dipoles when compared with the theoretically predicted values. Also, since the thermally stimulated spontaneous current (I_{sp}) opposes the depolarisation current the peaks are suppressed uniformly and hence the characteristic nature of uniform depolarisation is shown. Due to these reasons, it is concluded that the depolarisation current originates from the dipole orientation and displacement of charges through microscopic distances. But it is also concluded

that the injected charges can also contribute additionally to the total polarisation if the interface potential barrier permits the charges to pass through.

5.60 DEPOLARISATION CURRENT IN PAN

In plasma-polymerised Al-PAN-Al, a low temperature current peak is observed on poling the specimen whereas such a peak is absent for the unpoled specimen. The linear increase in P_o and J_{max} with V_p shows that the polarisation is uniform upto a field of 2.38×10^5 V cm⁻¹ and this linearity is in agreement with the theory. It is concluded that the origin of polarisation is mainly due to the orientation of the dipoles associated with -CN side group and migration of charges through microscopic distances. An additional contribution due to the injection of charges may occur. From a comparison of the experimentally calculated total polarisation with that of the theoretically predicted, it is concluded that some local structure must be developed by the polarised state when high field polarisation occurred. It is revealed that the electrons are trapped at the vicinity of the outer electrode due to compositional inhomogeneity resulting in a field of $\sim 6.2 \times 10^4$ V/cm across the specimen. The polarisation current characteristics below this field has been discussed in the previous chapter and it is clear

that polarisation current on heating and cooling flow in different directions. Hence there is no permanent polarisation at low fields ($<6.2 \times 10^4$ V/cm). The activation energy, relaxation time at peak temperatures and total polarisation are calculated and presented.

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CHAPTER VI

DIELECTRIC PROPERTIES AND ELECTRICAL CONDUCTION OF PLASMA-POLYMERISED POLYACRYLONITRILE

ABSTRACT

Extensive studies have been made on the dielectric behaviour of plasma-polymerised PAN. From the variation of temperature coefficient of dielectric constant, it is concluded that the dipoles are associated with widely distributed relaxation times. The frequency response of dielectric constant and loss factor are studied in detail and the structure combination of Al-PAN-Al sandwich is analysed. From the conductivity studies, it is concluded that the mechanism of conduction process obeys Poole-Frenkel effect and the Poole-Frenkel coefficient is calculated to be $\sim 3.4 \times 10^{-15}$ esu.

6.10 INTRODUCTION

In the previous chapter it is reported that plasma-polymerised PAN forms highly polymeric electret with good charge storage capability. Since this polymer is a good polar insulator, it is necessary to study the dielectric properties. The dielectric properties of chemically processed bulk PAN [1] and PAN formed by silent electric discharge [2] have been already reported. It has been reported [3,4] that the dielectric properties of plasma-polymerised polymers are different from that of chemically processed ones. It is also reported [4] that the temperature coefficient of dielectric constant (ϵ) of a normal polystyrene film is negative, while it is positive for plasma-polymerised styrene. Hence in the present investigation importance is given to the variation of the temperature coefficient of ϵ of plasma-polymerised PAN with temperature which will give the nature of the relaxation of dipoles in the polymer unit. Also, the variation of ϵ and dielectric loss are studied at various frequencies. The structure combination of Al-Ferrocene-Al [5] is analysed with the help of standard characteristics of non-Debye capacitor [6]. Hence to understand the performance as a capacitor, the frequency response of dielectric constant and loss factor of Al-PAN-Al capacitor are studied and the structure combination is analysed.

For insulator films, it is well known that the current obeys Ohm's law at low fields. The high field conduction, for example, is due to space charge limited currents [7,8], Schottky emission [9], or Poole-Frenkel effect [10]. It has been reported that the high field conduction mechanism in plasma-polymerised styrene is due to Poole-Frenkel effect [10] whereas that in solution grown PAN thin film is Schottky emission [9]. A detailed study of the high field conduction mechanism of glow-discharged PAN has been made by Hirai and Nakada [2] and they concluded that the main mechanism is Poole-Frenkel conduction. Hence in the present work, only a few typical samples are studied and the results are compared with published work [2].

6.20 EXPERIMENTAL PROCEDURE

The PAN is prepared directly from acrylonitrile vapour. The Al-PAN-Al sandwich structures are made as discussed earlier. Thus parallel plate capacitors of effective area of 1 cm^2 are prepared (Fig.2.09 a and b). For all the samples the aluminium electrodes are of 1500\AA thickness.

6.21 Capacitance measurement of Al-PAN-Al

Al-PAN-Al samples thus prepared are transferred to the conductivity chamber and evacuated to 10^{-3} torr. Using copper pressure contacts, the connection leads are taken out.

First, the two electrodes are shorted externally and the specimen is heated to 150°C to remove moisture and stray charges. It is then cooled to room temperature and the capacitance is measured using a Universal bridge (Radart-5104). To measure the capacitance of the specimens at different temperatures, the built-in oscillator (1 KHz) of the universal bridge is used. In the present investigations all the specimens are heated only to $\sim 110^{\circ}\text{C}$ because from the initial experiments, it is found that no additional information is possible at higher temperatures.

The variation of capacitance and loss factor with frequency are studied using the same Universal bridge. An external audio frequency generator (Systronics-type 1011) is connected to the bridge and the measurements are made by varying the frequency. Since the working frequency range of the Universal bridge is 20Hz to 30KHz, all the experiments are limited to this frequency range.

6.22 Measurements of current-voltage characteristics

The pre-heated specimen in the evacuated conductivity chamber is connected to a DC power supply and the electrometer amplifier (ECIL-EA-815) as shown in Fig.6.01. The DC voltage is continuously varied using a potential divider system. The voltage across the specimen is measured

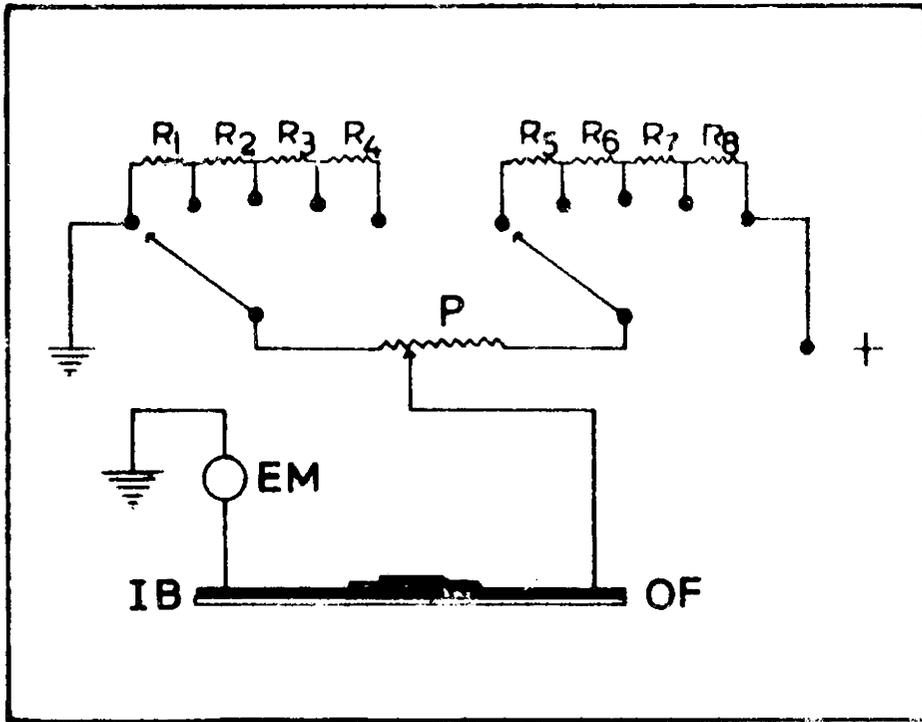


Fig.6.01. Connection for conductivity measurements:
 IB - inner bound electrode, OF - outer free
 electrode, EM - electrometer, P - potentiometer (1 Meg ohm) and R_x - resistances (1 Meg ohm).

using a VTVM (Unitec-VVO15). The current through the specimen is accurately measured in the electrometer. The maximum field given across the specimen is about 1.5×10^6 V/cm above which the specimen is damaged or shorted at room temperature.

6.30 RESULTS

6.31 Variation of capacitance with temperature

The capacitance of Al-PAN-Al sandwich specimen is increased when the temperature of the system is raised. The variation of the capacitance of different specimens on increasing the temperature is shown in Fig.6.02. The capacitance is recorded only upto 105°C above which no additional notable features are observed. From a large number of samples, only three samples of thicknesses $t = 3900\overset{\circ}{\text{A}}$, $3170\overset{\circ}{\text{A}}$ and $1820\overset{\circ}{\text{A}}$ are presented in the figure.

From the value of the capacitance the dielectric constant can be calculated from the expression

$$C = \frac{\epsilon A}{4\pi t} \text{ esu} \quad (6.01)$$

If capacitance C is in Farads, effective area A in cm^2 and

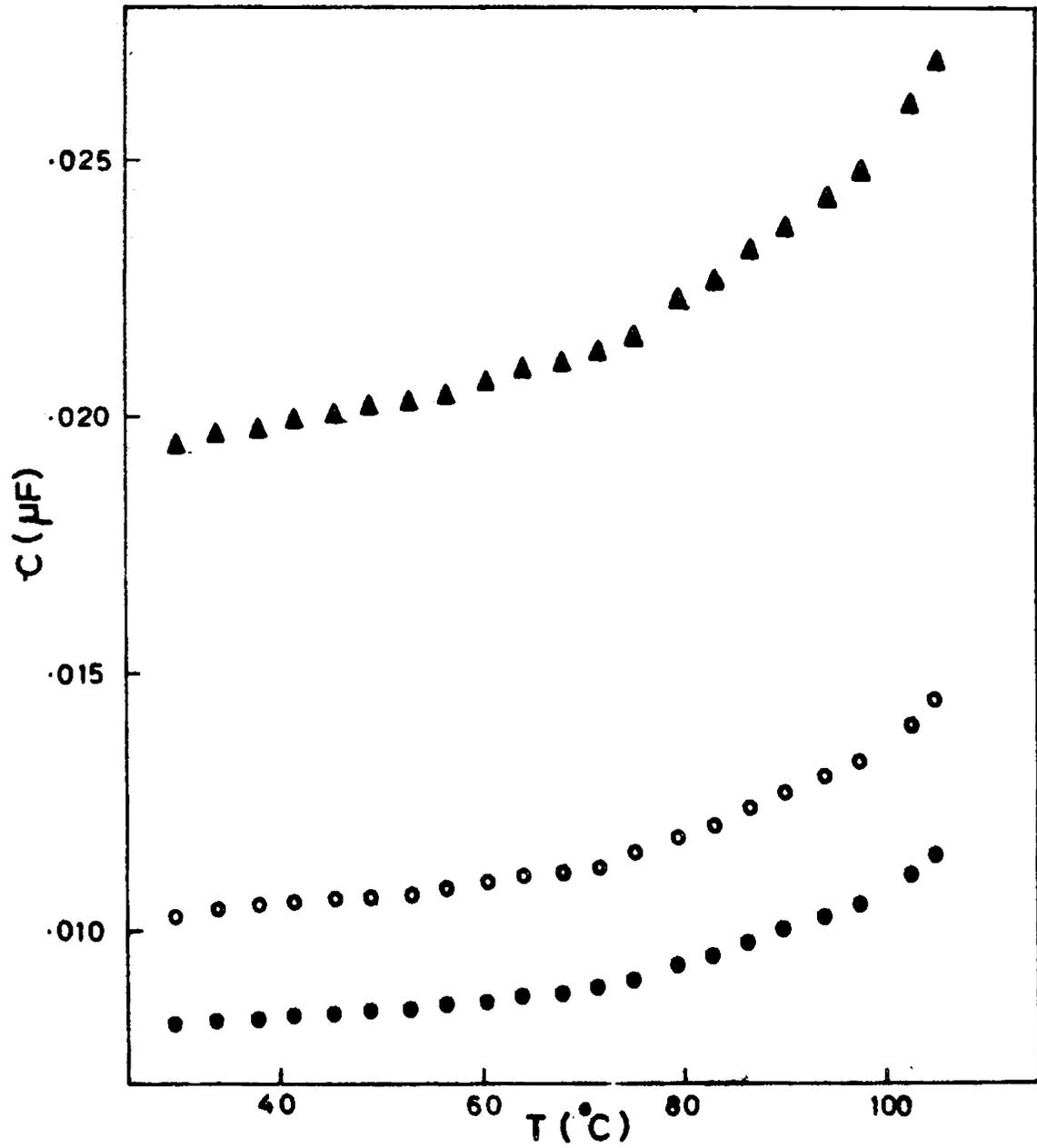


Fig.6.02. Capacitance (C) Vs. temperature (T) plot for Al-PAN-Al. Thicknesses of PAN: (▲) = 1820Å, (○) = 3170Å and (●) = 3900Å.

thickness t in cm, then

$$\begin{aligned}\epsilon &= \frac{4\pi Ct \times 9 \times 10^{11}}{8.85 \times 10^{-14}} \text{ esu} \\ &= \frac{Ct}{8.85 \times 10^{-14}} \text{ esu}\end{aligned}\tag{6.02}$$

In Fig.6.03, the dielectric constant of each specimen is plotted against temperature. In the figure it is found that for all samples, the dielectric constant increases linearly with temperature upto 70°C and afterwards sharply. Another important feature is that when the thickness of the specimen increases, the dielectric constant (ϵ) decreases whereas theoretically the ϵ remains constant for all the thicknesses of the dielectric. In Fig.6.04, the ϵ is plotted against the thickness of the PAN. The variation of ϵ with thickness is nonlinear. At lower thicknesses, the variation of ϵ with thickness is higher and when it goes to the higher thickness region, the trend is to attain a constant value for ϵ .

To explain the nature of the variation of ϵ with temperature, the temperature coefficient of ϵ is plotted against the temperature. Since the temperature coefficient of ϵ is proportional to $\frac{d\epsilon}{dT}$, the nature of variation of the

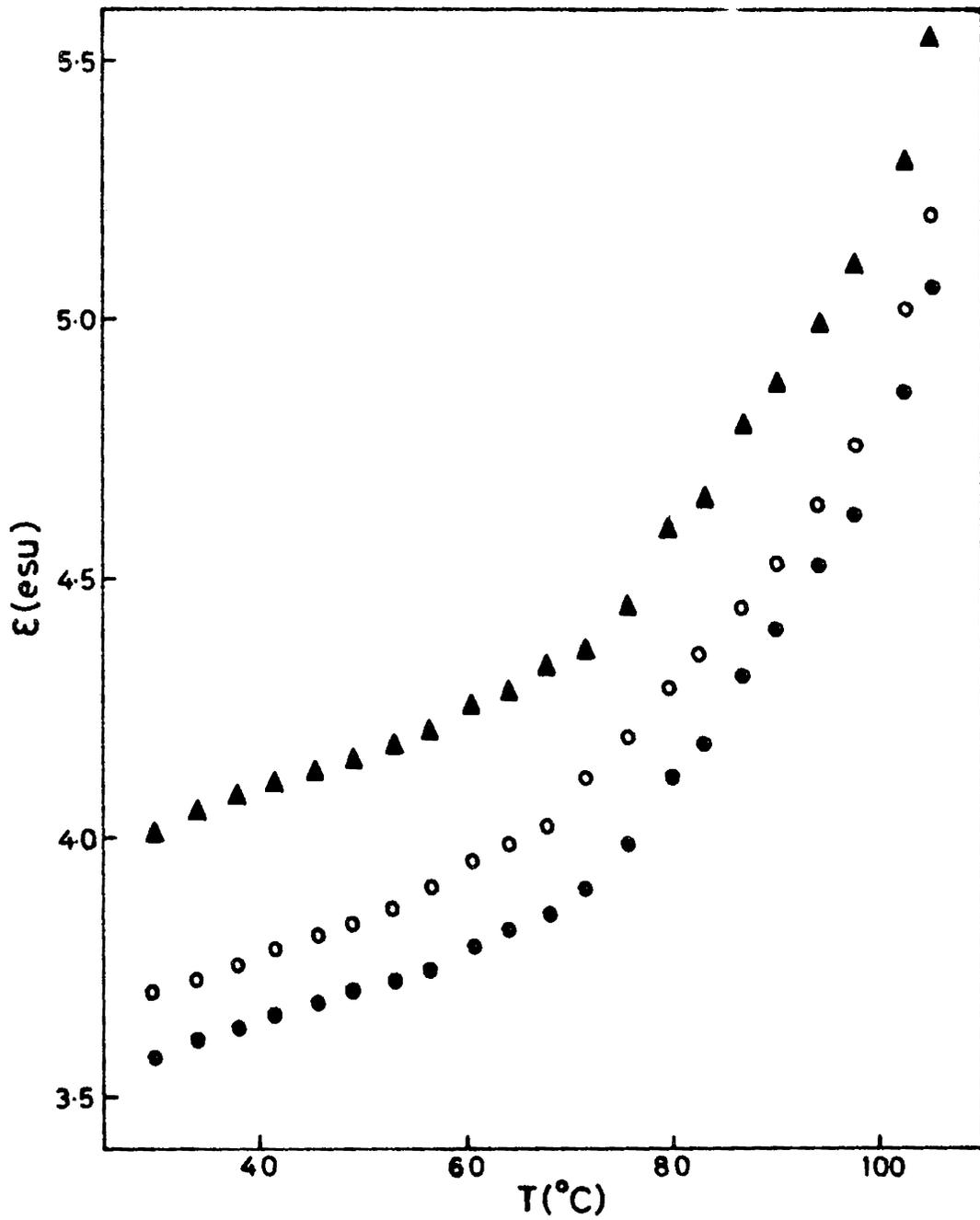


Fig.6.03. Dielectric constant (ϵ) Vs. temperature (T) plot for PAN. Thicknesses of PAN: (\blacktriangle) = 1820Å, (\circ) = 3170Å and (\bullet) = 3900Å.

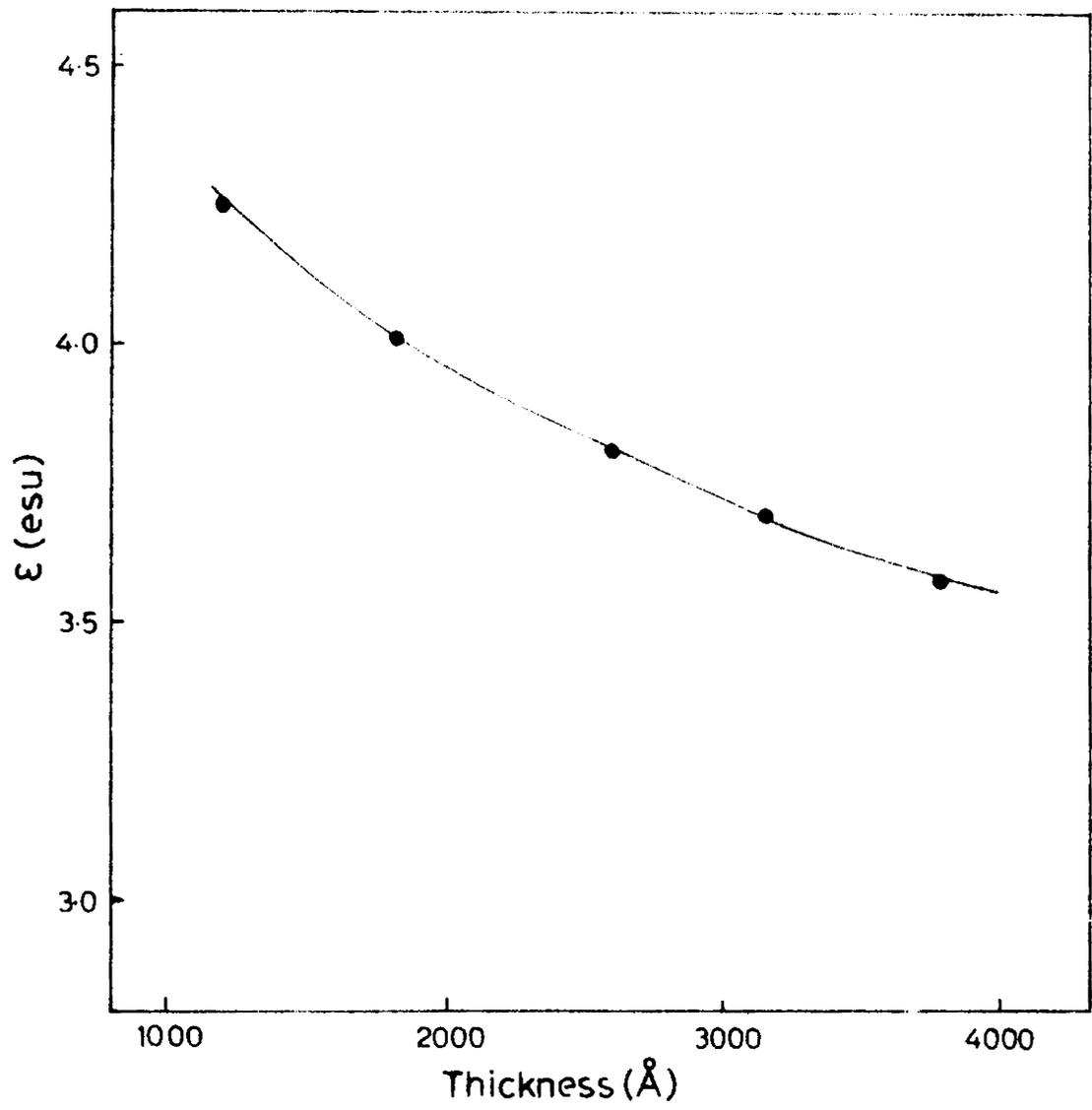


Fig. 6.04. Dielectric constant (ϵ) Vs. thickness for PAN.

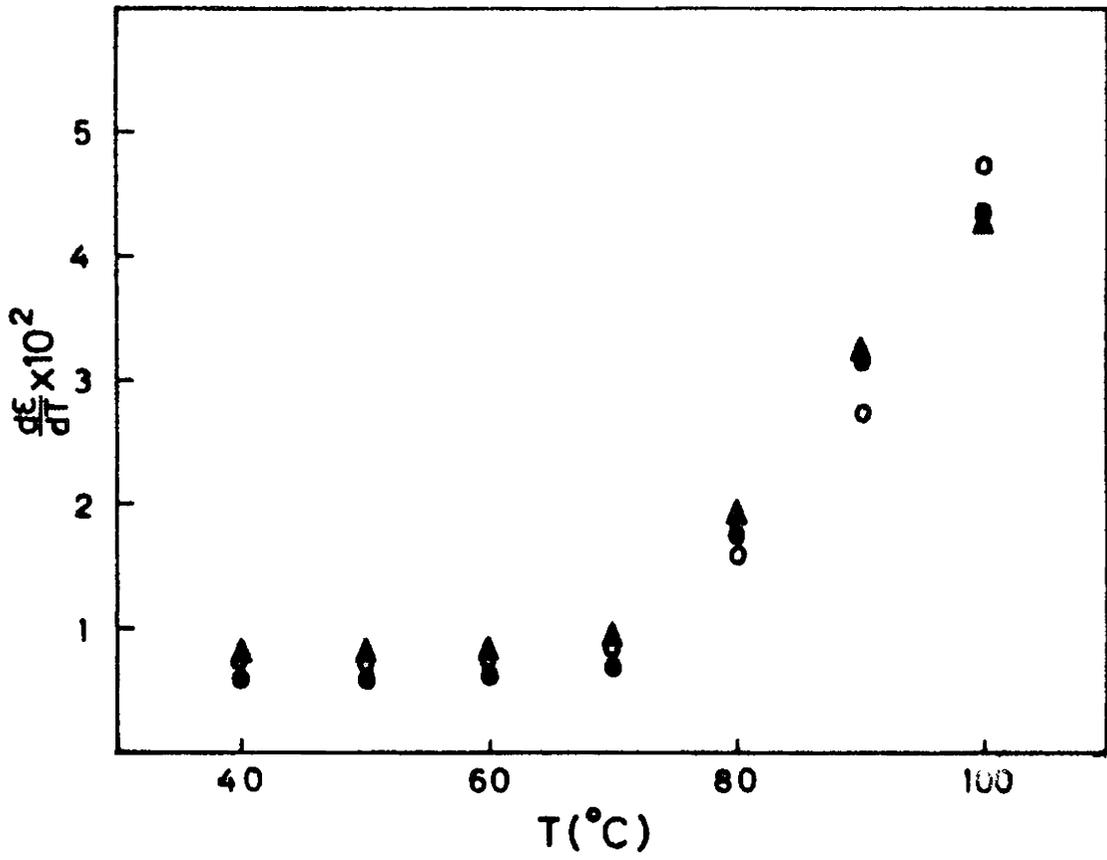


Fig.6.05 $\frac{dC}{dl}$ Vs. temperature plot for PAN. The thicknesses of PAN: (▲) = 1820Å, (○) = 3170Å and (●) = 3900Å.

temperature coefficient of ϵ with temperature can be studied from $\frac{d\epsilon}{dT}$ vs. T plot. The $\frac{d\epsilon}{dT}$ for different temperatures is calculated from Fig.6.03 by taking the slope at different temperatures and $\frac{d\epsilon}{dT}$ is plotted against the respective temperatures as shown in Fig.6.05. From the figure, it is clear that the temperature coefficient of ϵ is positive at all the temperatures. It is found that $\frac{d\epsilon}{dT}$ is invariant upto 70°C and then increases nonlinearly. There is only a negligible variation of the magnitude of $\frac{d\epsilon}{dT}$ with thickness of the sample but the variation of $\frac{d\epsilon}{dT}$ with temperature is identical for all the samples.

6.32 Variation of dielectric constant with frequency

The usefulness of a capacitor is always decided by the frequency response of the capacitor. Also from the frequency response of the capacitor, the equivalent circuit of the capacitor can be analysed. In Fig.6.06, the capacitance of Al-PAN-Al is plotted against logarithmic frequency for two samples ($t = 3170\overset{\circ}{\text{Å}}$ and $1820\overset{\circ}{\text{Å}}$) within a frequency range of 20Hz to 10KHz, showing very little variation of capacitance. But above 10KHz, the capacitance gradually decreases by 10 to 15 percent for all the samples. The variation is identical for all the specimens. In Fig.6.07, the ϵ of PAN is plotted against logarithmic frequency. As mentioned in the last part, the ϵ decreases

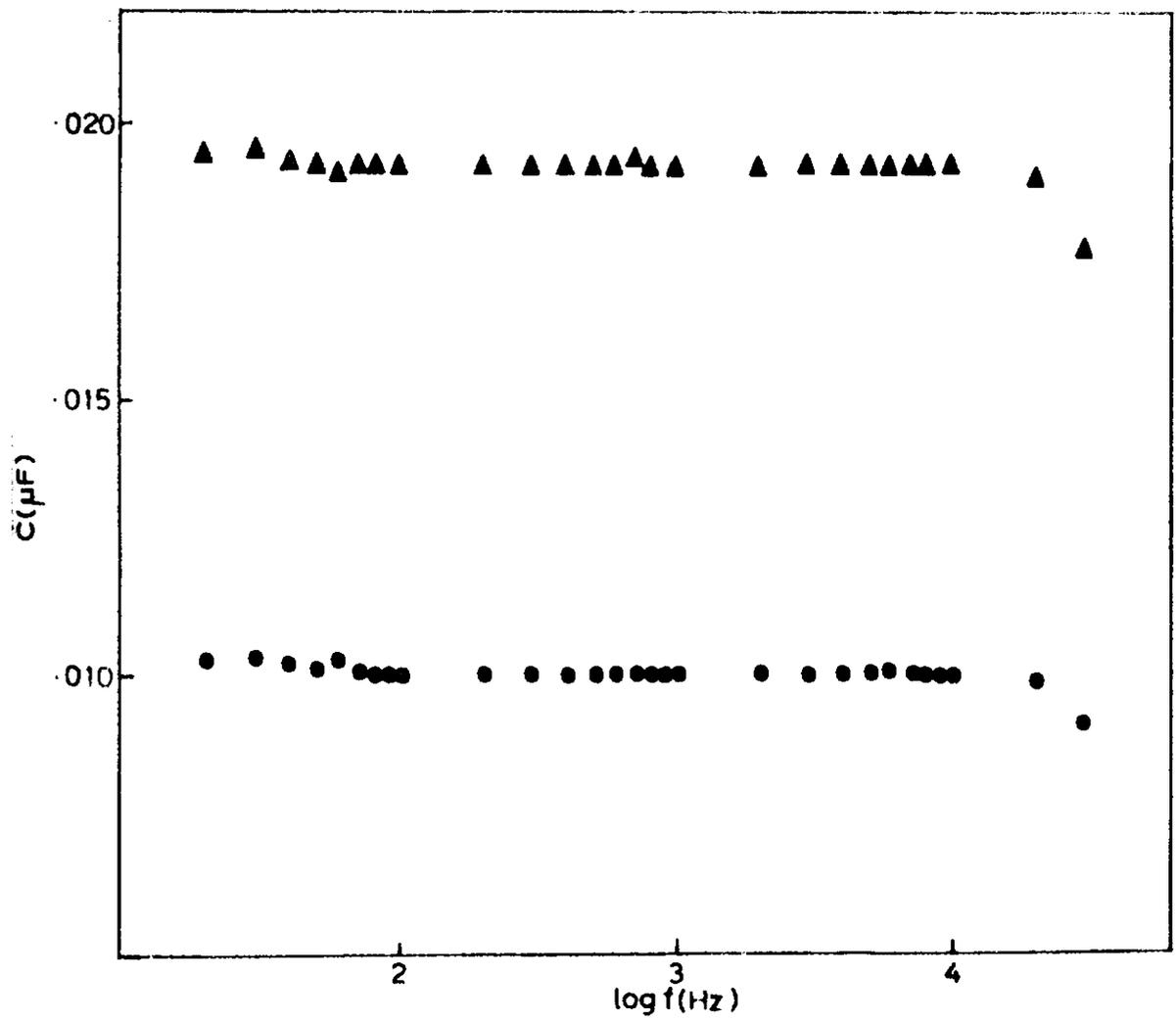


Fig.6.06. Capacitance (μF) Vs. logarithmic frequency ($\log f$) plot for PAM.
 Inlines: PAM (\blacktriangle) HCOA and (\bullet) 3/0A.

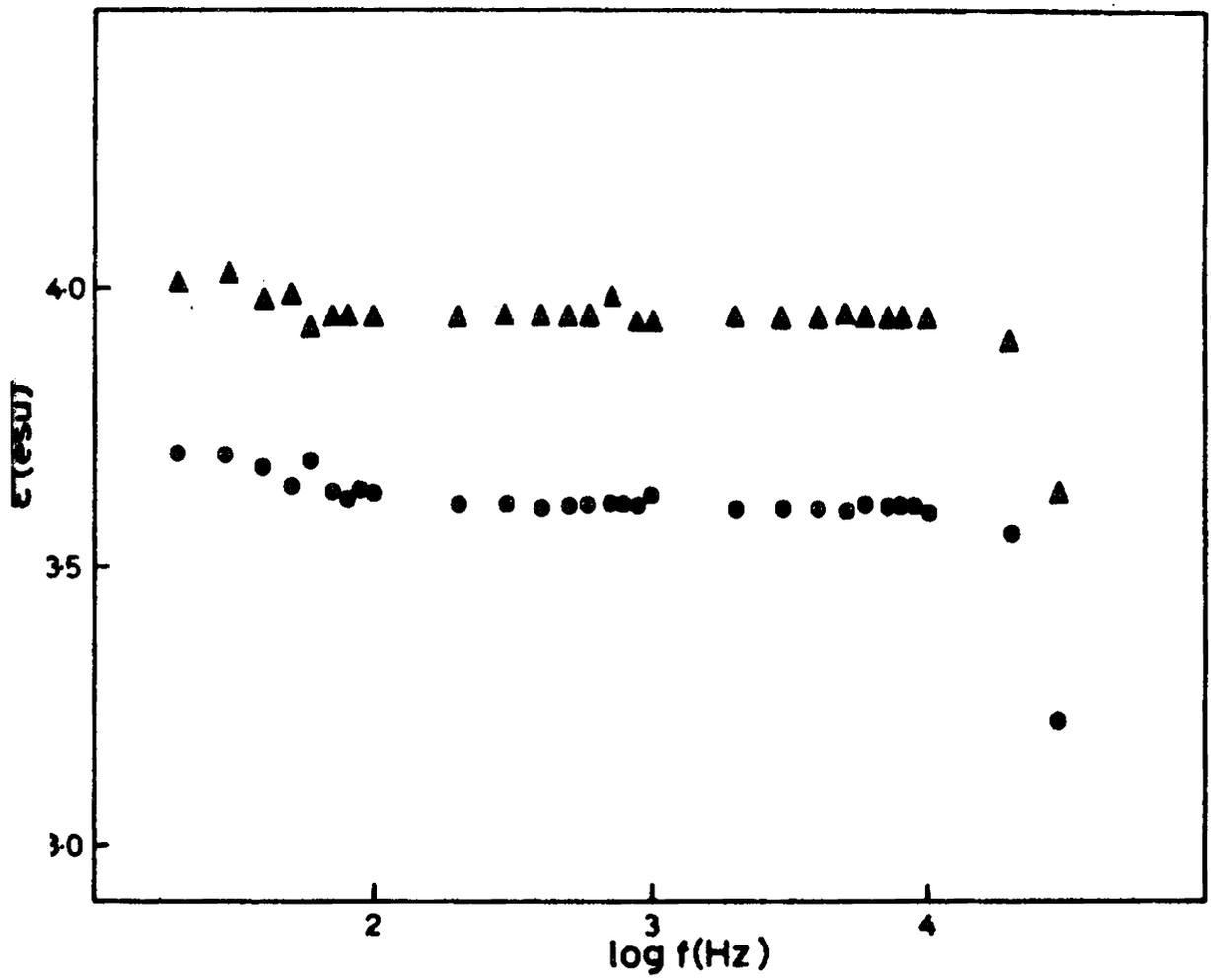


Fig.6.07. The dielectric constant (ϵ') Vs. logarithmic frequency ($\log f$) plot for PAN. Thicknesses of PAN: (\blacktriangle) = 1820 Å and (\bullet) = 3170 Å.

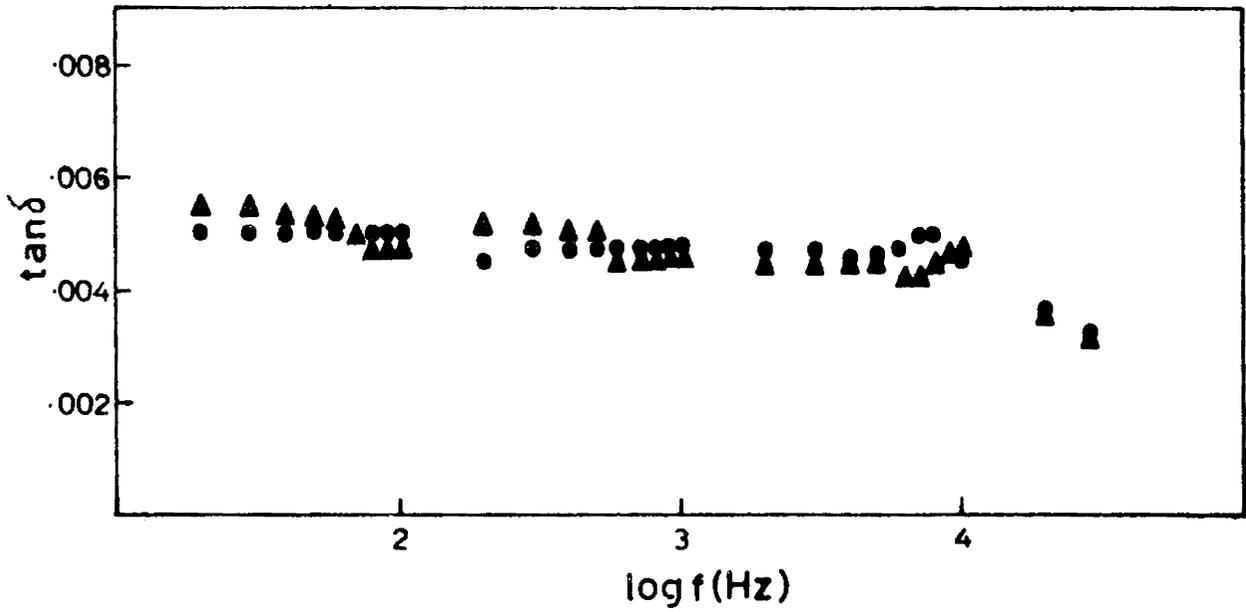


Fig.6.08. The loss factor $\tan \delta$ vs. logarithmic frequency plot for PAN. Thicknesses of PAN: (Δ) 1820A and (\bullet) 3170A.

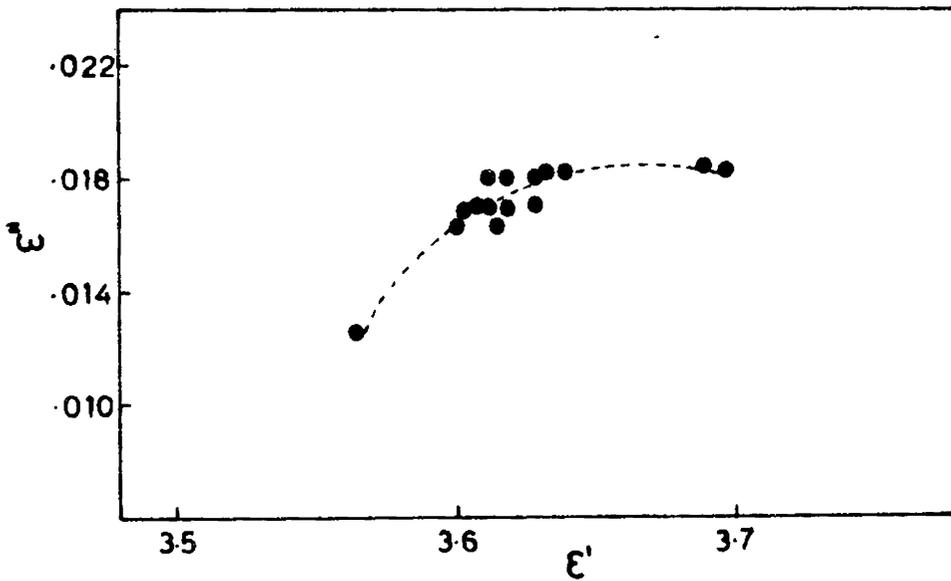


Fig.6.09. The imaginary part of dielectric constant (ϵ'') is plotted against the real part of dielectric constant (ϵ') for PAN of thickness 3170A.

when thickness of PAN increases. Upto 10KHz, there is no notable variation in ϵ with frequency, but above 10KHz, the ϵ drops appreciably for all the samples.

6.33 Variation of loss factor with frequency

To obtain information on the relaxation of dipoles, the study of variation of loss factor ($\tan \delta$) with frequency is essential. In Fig.6.08, $\tan \delta$ is plotted against the logarithmic frequency. For different specimens, $\tan \delta$ is nearly of the same magnitude. Eventhough different samples show small changes of $\tan \delta$ with frequency, these changes are appreciably small and are within experimental errors. All the changes of $\tan \delta$ are negligibly small upto 10KHz while a sharp drop is observed above 10KHz.

6.40 DISCUSSION

The glow-discharged polystyrene thin films have many different electrical and physical properties (ie. relative dielectric constant, dielectric loss, flexibility and solubility) in comparison with ordinary polystyrene [11]. The general dependence of dielectric constant with temperature and dependence of ϵ and $\tan \delta$ with frequency for the plasma-polymerised PAN is quite similar to other plasma-polymerised polymers [4,6] at first sight. But the special features of the dielectric properties of plasma-polymerised PAN need special attention.

6.41 Temperature coefficient of dielectric constant

It has been reported [3,4] that temperature coefficient of ϵ of normal polystyrene thin films are different from those prepared by glow-discharge polymerisation. In the former case, temperature coefficient of ϵ is negative. Differentiating the Clausius-Mossotti formula with respect to temperature, one obtains

$$\frac{d\epsilon}{dT} = \frac{(\epsilon-1)(\epsilon+2)}{3} \left(-\frac{1}{V} \frac{dV}{dT}\right) \quad (6.03)$$

Hence, the expansion of volume with increase in temperature leads to a negative temperature coefficient of ϵ . For the latter case, the widely distributed relaxation time is the main reason for the positive temperature coefficient.

It is well established that the dipoles in PAN are associated with the $-\text{CN}$ side-group. From the Fig.6.05, it is found that $\frac{d\epsilon}{dT}$ is positive for all temperatures studied. Hence the temperature coefficient of ϵ is also positive. In the case of plasma-polymerised PAN also the process is associated with dipoles of widely distributed relaxation time. When the temperature is raised while measuring ϵ , the dipole orientation occurs, because the relaxation time depends upon the temperature as $\tau = \tau_0 \exp A/kT$. Hence the number of dipoles which can

orient increases with temperature and the temperature coefficient of ϵ is positive. In the figure, upto 70°C $\frac{d\epsilon}{dT}$ is constant. This may indicate that the number of dipoles which can associate with ϵ increases linearly in the polymer. In chapter V, from TSD studies it is concluded that in plasma-polymerised PAN, the dipoles are widely distributed. The dielectric measurements also support the existence of dipoles with widely distributed relaxation time.

A nonlinear increase in $\frac{d\epsilon}{dT}$ above 70°C shows that some other mechanism is also associated with the value of ϵ . It is reported that the thermally generated charges will be formed on heating PAN due to chemical degradation [12]. These charges may also contribute to the observed ϵ . In chapter III, a nonlinear increase in short-circuited current is observed on heating Al-PAN-Al sandwich structures. These thermally generated charges increase sharply with temperature. Hence it can be concluded that above 70°C , the major contribution to ϵ is thermally generated charges while below 70°C the permanent dipoles in PAN is associated with the ϵ .

6.42 Variation of dielectric constant with thickness

From Fig.6.04, it is clearly found that the ϵ of PAN is decreased with increase in the thickness of the

polymer. This would clearly indicate that the effective dipole moment of PAN is decreased. The film thickness of the sample is increased with deposition time. Therefore, depending upon the thickness, the structure of the film is slightly altered during the deposition. In the process of plasma-polymerisation, the electrons/ions formed due to high voltage discharge, collide on the surface of the polymer film. Due to the bombardment of these charges, the structure of the polymer will be changed. There is a possibility of high degree of cross-linking in the polymer due to high energy bombardment of charges. The decrease in ϵ due to the increase in thickness is due to larger cross-linking. For very thick films ($>4000\overset{\circ}{\text{A}}$) a constant value of ϵ is obtained indicating that no further structural changes are taking place due to ion bombardment. At the initial stages of polymerisation, the rate of structural changes is very high and hence the decrease in ϵ is very sharp with thickness as shown in the figure.

6.43 The analysis of Al-PAN-Al capacitor

From the Figs.6.06, 6.07 and 6.08, it is clear that the frequency response of the Al-PAN-Al capacitor is flat upto 10KHz. But above 10KHz, ϵ and $\tan \delta$ decreases by 10 to 15 percent for all the specimens. Since same amount of decrease is found in all the specimens at all conditions,

it is suspected that this drop is due to the inaccuracy of the instrument at the extreme frequency limit. Hence it is not meaningful to explain the drop of ϵ and $\tan \delta$ in the range 10KHz to 30KHz before understanding the variation at higher frequencies.

To analyse the capacitor, usually Cole-Cole plot is initially made. In Cole-Cole plot, the real part of the dielectric constant ϵ' is plotted along X-axis while imaginary part ϵ'' along Y-axis. For that, the ϵ' and ϵ'' are calculated from the observed dielectric constant $|\epsilon^*|$.

$$\epsilon^* = \epsilon' - i\epsilon'' \quad (6.04)$$

$$|\epsilon^*|^2 = \epsilon'^2 + \epsilon''^2 \quad (6.05)$$

$$\text{we have } \frac{\epsilon''}{\epsilon'} = \tan \delta \quad (6.06)$$

$$\text{Hence } |\epsilon^*|^2 = \epsilon'^2 (1 + \tan^2 \delta) \quad (6.07)$$

Since $\tan \delta$ is found to be $\ll 1$ then $|\epsilon^*| \approx \epsilon'$, ϵ'' can be calculated from Eqn.(6.06).

In Fig.6.09, the imaginary part of dielectric constant ϵ'' is plotted against the real part of the dielectric constant ϵ' for the full range of frequency

(Cole-Cole plot). It can be seen from the figure that the Cole-Cole plot does not lead to any semicircular arc or even a segment of an arc over the frequency range. One of the possible reasons is that the dielectric material may not be similar to that assumed in the Debye analysis. This means that the capacitor Al-PAN-Al do not follow Debye assumptions. Another possibility is that the frequency range may not be sufficient to form the arc.

Recently Jonscher [13] has reported a different method of analysing the dielectric data and the above said method is applicable to a wide range of solid dielectric materials like inorganic, organic, polymeric, ionic and electronic which show deviations and irregularities in the commonly expected Cole-Cole plot. For explaining this, Jonscher had assumed a non-Debye material i.e., a material which shows a frequency independent ratio of energy stored to energy lost per cycle and necessarily show the frequency dependence as ω^{n-1} for both the real and imaginary parts of the dielectric constant, ϵ^* .

It is customary, however, to represent the dielectric data as a plot of ϵ' and ϵ'' in complex-conjugate ϵ^* plane (Cole-Cole plot). Jonscher has proposed that when the experimentally available data do not agree with Cole-Cole plot, the representation of the data in terms of complex

admittance (Y) and complex impedance (Z), may help in interpreting the obtained data. The quantities Y and Z are defined as

$$Y(\omega) = K i \omega \epsilon'(\omega) = G_p(\omega) + i \omega C_p(\omega) \quad (6.08)$$

$$Z(\omega) = \frac{1}{Y(\omega)} = R_s(\omega) - \frac{i}{\omega C_s(\omega)} \quad (6.09)$$

By equation 6.04, $\epsilon^*(\omega) = \epsilon'(\omega) - j \epsilon''(\omega)$.

Here Y = Complex admittance

Z = Complex impedance

ϵ^* = Complex permittivity

ω = Angular frequency = $2\pi f$

G_p = Effective parallel conductance

C_p = Effective parallel capacitance

R_s = Effective series resistance

C_s = Effective series capacitance

K = Geometric constant = $\frac{\text{Area}}{\text{Thickness}}$ of parallel plate capacitor under consideration.

In the case of the inhomogeneous material, considered as consisting of a relatively conducting bulk layer in series with a relatively insulating barrier layer, Z^* representation favours while for the inhomogeneous

material assumed to have a parallel leakage conductance with a perfect capacitance together with a negligible series resistance, Y representation may be a more suitable one. With the above consideration Jonscher has given a possible nature of plots in each plane for different combinations of ideal resistance R with non-Debye capacitance.

To compare our experimental results with the predicted results of Jonscher [6], the data obtained for PAN is to be expressed in terms of G_p , C_p , R_s and C_s . The values are calculated with the help of the following expressions.

$$R_s = \frac{\epsilon''}{K\omega(\epsilon'^2 + \epsilon''^2)} \quad (6.10)$$

$$\frac{1}{\omega C_s} = \frac{\epsilon'}{K\omega(\epsilon'^2 + \epsilon''^2)} \quad (6.11)$$

$$G_p = K\omega\epsilon'' \quad (6.12)$$

$$\omega C_p = K\omega\epsilon' \quad (6.13)$$

The dielectric data obtained from the experiment are now presented in terms of Y - plot (ωC_p against G_p) in Fig.6.10 and Z^* - plot ($\frac{1}{\omega C_s}$ against R_s) in Fig.6.11. Since all the specimens give identical results, only one typical

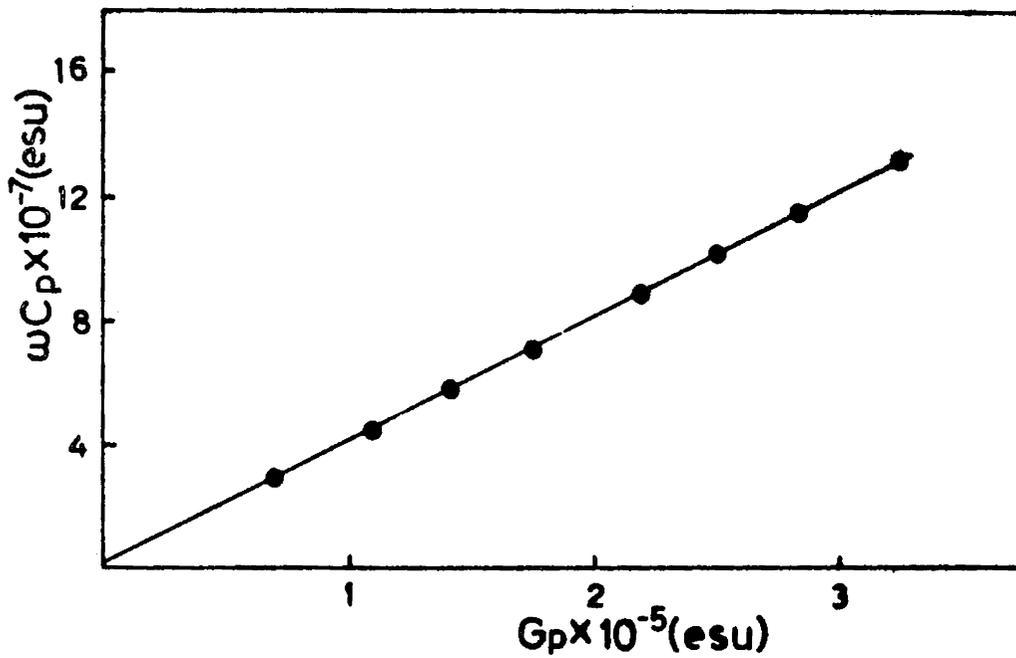


Fig.6.10. The ω_{C_p} is plotted against G_p for PAN of thickness 3170\AA .

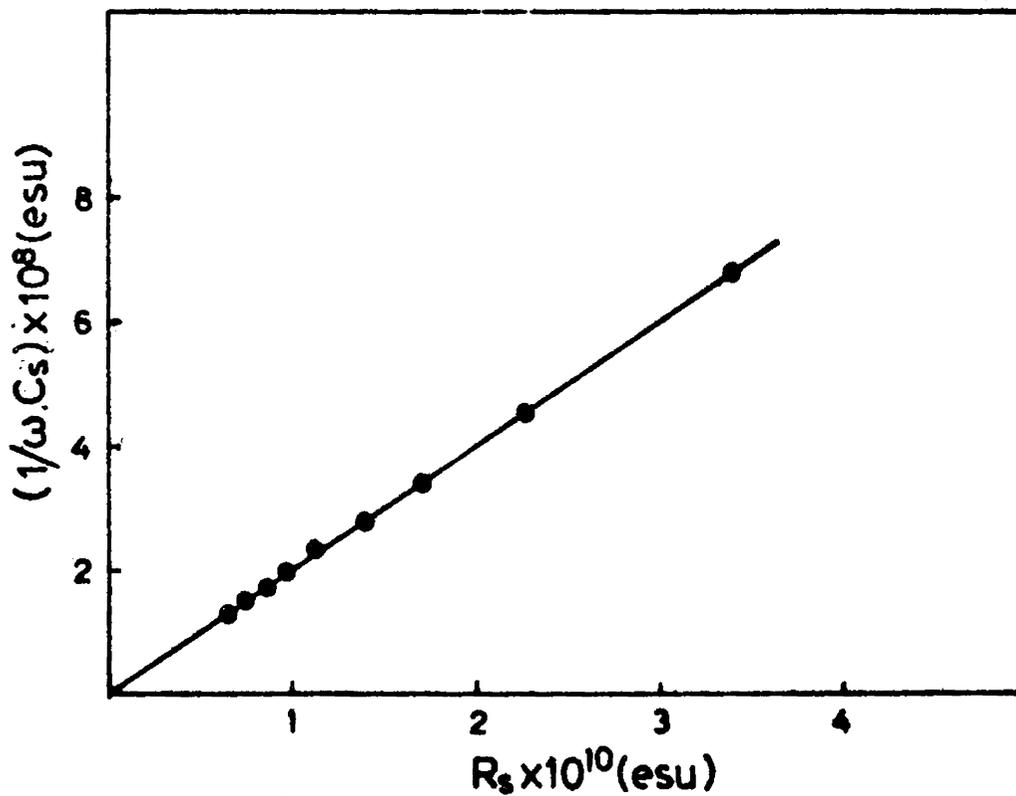


Fig.6.11. $\frac{1}{\omega.C_s}$ is plotted against R_s for PAN of thickness 3170\AA .

plot is presented. From Fig.6.10 and Fig.6.11, it can be seen that for all specimens a linear relationship is established as expected. In comparison with the relationship observed earlier [6], it can be shown that Y and Z* curves are similar to those expected for a Jonscher capacitor without any parallel or series combination of ideal resistance in the circuit.

Further, the frequency dependence of $|Y|$ and $|Z|$ in the empirical relation is given by

$$\omega C_p = aG_p = A\omega^n \quad (6.14)$$

$$\frac{1}{\omega C_s} = aR_s = \frac{a}{A(a^2 + 1)} \omega^{-n} \quad (6.15)$$

where a and A are constants.

The plots of ωC_p , G_p , $\frac{1}{\omega C_s}$ and R_s against ω on log-log scale enable one to determine the value of n . Typical plots of $\log \omega C_p$ vs. $\log f$, $\log G_p$ vs. $\log f$, $\log \frac{1}{\omega C_s}$ vs. $\log f$ and $\log R_s$ vs. $\log f$ are shown in Fig.6.12. From the figure, it is found that all the plots give a linear relationship with the frequency. The slope of all the straight lines are calculated and found to be unity with proper signs. This indicates that there will not exist

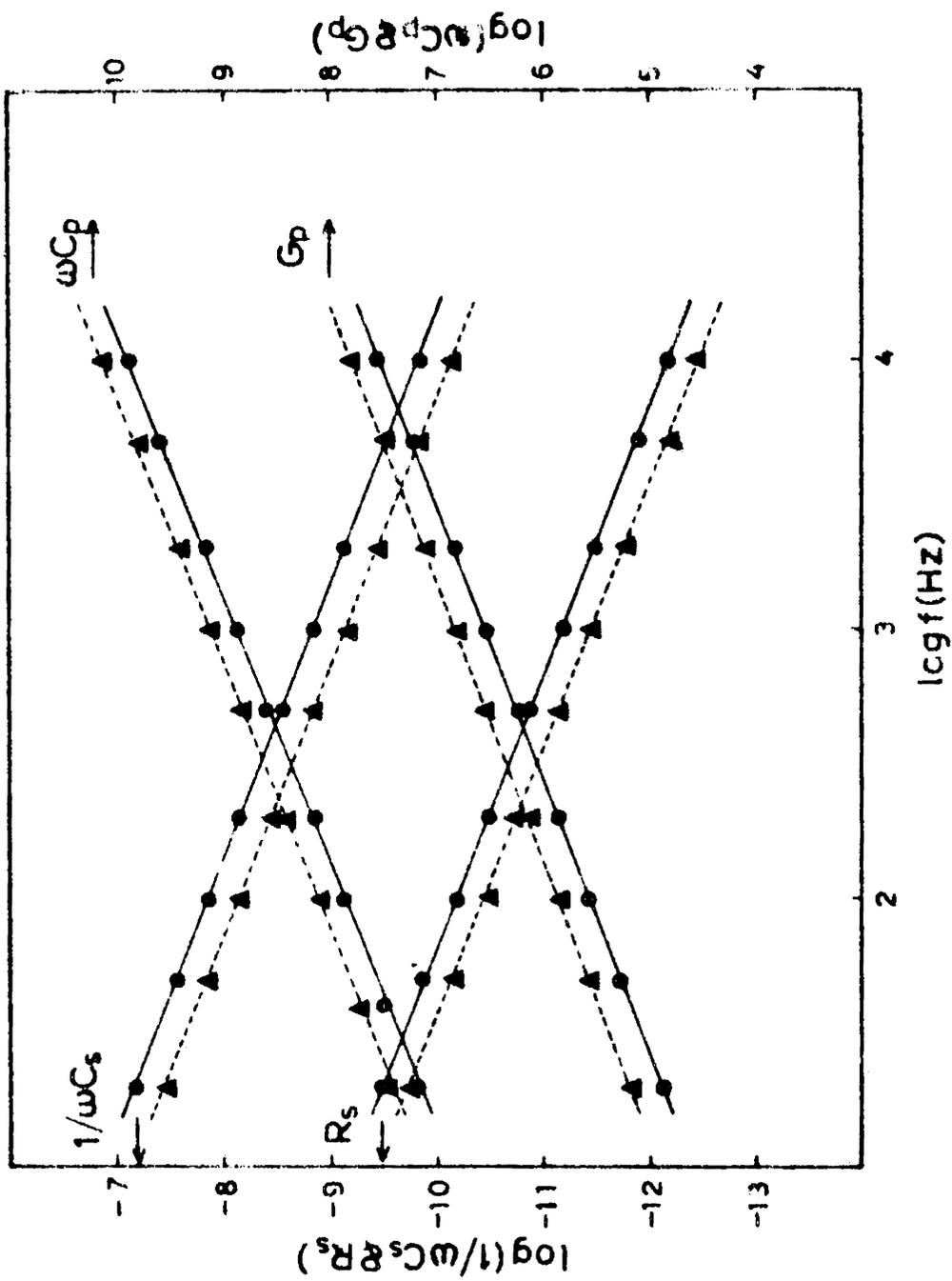


Fig.6.12. $\log \omega C_p$, $\log G_p$, $\log \frac{1}{\omega C_s}$ and $\log R_s$ are plotted against $\log f$ for PAN.
 Thicknesses of PAN: (\blacktriangle) = 1620Å and (\bullet) = 3.00Å.

any additional frequency dependent circuit element except the test capacitor. From the information gathered from Fig.6.10, Fig.6.11 and Fig.6.12, it can be concluded that, for the audio frequency range, the Al-PAN-Al exists as a non-Debye capacitor (Jonscher capacitor) without any parallel or series resonance components virtually connected to it.

6.50 DIELECTRIC BEHAVIOUR OF Al-PAN-Al

Eventhough the present data are not sufficient to provide any clear information regarding the resonance circuit components of Al-PAN-Al and dipole relaxation of the material, the existing information have given certain conclusions. It is clear that the frequency range of 20Hz to 30KHz is not sufficient to analyse the relaxation mechanism of polymer films. From the temperature dependence of the dielectric constant, it is clear that the temperature coefficient of ϵ is positive and is due to widely distributed relaxation time. Above 70°C, the contribution of thermally generated charges is predominant and hence a non-linear increase in ϵ with temperature is observed. The dielectric constant ϵ decreases with increase in thickness of the film and concluded to be due to structural change in the polymer (particularly an increase in degree of cross-linking).

For the audio frequency range (ie., 20Hz to 30KHz) the variation of dielectric constant is negligibly small. Also for this range the loss tangent is very small and remains the same for all the frequency ranges. From the analysis it is found that no additional resonance circuit components has to be included with Al-PAN-Al capacitor.

6.60 CONDUCTION IN Al-PAN-Al

In Fig.6.13, the relation between the conductivity and the applied voltage is presented. The conductivity of Al-PAN-Al is approximately a constant upto a voltage of 18.5V (5.5×10^5 V/cm) and then increases nonlinearly. At this region, only $\log \sigma$ vs. $V^{1/2}$ plot gives a linear relation. In the present experiment, the maximum voltage given across the specimen is ~ 36 V. Above this, the specimen shows erratic observation and is even completely destroyed.

From the literature [2], it is found that for plasma-polymerised PAN, the high field conduction is due to Poole-Frenkel effect. This means that the charge carriers may be released by ionised impurity centres in the dielectric. In the present case also, from current voltage characteristic, it can be inferred that the high field conduction is associated with Poole-Frenkel effect. Hence, Fig.6.13

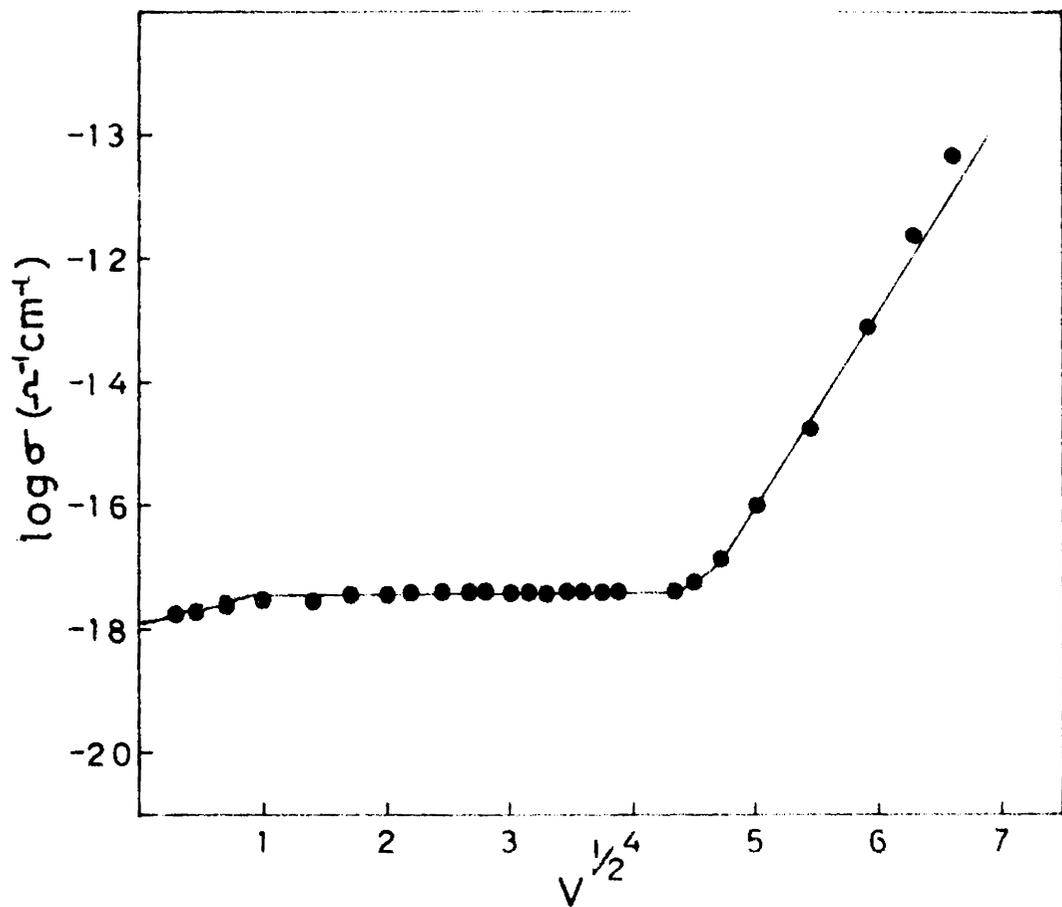


Fig. 6.14. The conductivity σ (in $\Omega^{-1}\text{cm}^{-1}$) versus the square root of the applied voltage ($V^{1/2}$) for Al-PAN-Al specimen with thickness of PAN = 3350Å.

obeys the following expression

$$\sigma = \sigma_0 \exp[-(\phi - \beta_{PF} E^2)/kT] \quad (6.16)$$

where σ_0 is the constant conductivity at high fields and ϕ is the impurity energy level. The Poole-Frenkel coefficient β_{PF} is given by

$$\beta_{PF} = \left[\frac{e^3}{\epsilon} \right]^{\frac{1}{2}} \quad (6.17)$$

From the slope of the straight line part of Fig.6.13, β_{PF} is calculated to be 3.44×10^{-15} esu. According to Eqn.(6.17), the value of β_{PF} is 5.5×10^{-15} esu by assuming the dielectric constant, $\epsilon = 3.6$ (from Fig.6.4) and the electron charge $e \simeq 4.8 \times 10^{-10}$ esu. The reasonable agreement of the observed non-ohmic characteristics with calculated values proves that the mechanism of conduction in plasma-polymerised Al-PAN-Al is Poole-Frenkel. The ohmic conductivity σ_0 is obtained from the figure as 8.2×10^{-18} mho/cm.

Extensive studies are made on the mechanism of conductivity in plasma-polymerised PAN [2] prepared by silent discharge and arrived at the same model for conduction mechanism. The experimentally derived β_{PF} is

9.1×10^{-15} esu which is also of the same order of magnitude as the calculated values. It may, therefore, be safely concluded that Poole-Frenkel conduction is an appropriate mechanism for conduction through plasma-polymerised PAN.

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CHAPTER VII

SUMMARY AND CONCLUSIONS

ABSTRACT

All the conclusions relating to the various studies on PAN such as short-circuited current of poled and unpoled specimens, dielectric properties and mechanism of conduction are summarised in this chapter.

7.10 SUMMARY AND CONCLUSIONS

It has been established that plasma-polymerisation process is a useful method for preparing insulating and dielectric polymers from monomer vapours. The process is relatively economical particularly because of the efficiency of polymerisation and the optimisation of the rate of flow of the monomer. From the growth rate of polyacrylonitrile thin films prepared by plasma-polymerisation method it has been found that the rate of growth is proportional to the square of the current in the plasma tube. It is also concluded that the accelerated ions collide with monomer molecules and due to the energy transfer the monomer radicals are generated. In the polymerisation process, these radicals combine together to form the polymer chain. A special chamber has been designed to produce good, pin-hole-free and uniform polymer thin films. Using this set up, a brownish yellow coloured polymer is prepared while the chemically processed PAN is transparent. From different parametric studies at elevated temperatures, it is established that the observed properties are associated with PAN. The polymer dissolves in ethyl alcohol, acetone and dimethyl formamide showing a low order of polymerisation and low degree of cross-linking.

From the infrared studies, it is concluded that PAN is a long planar zig-zag chain molecule. It is further concluded that the forming process of plasma-polymerisation is not a controlled process resulting in the formation of amorphous polymer and it was not possible to identify the steric configuration. From the analysis of the emission spectrum of the monomer plasma and also from the structure of the resulting polymer, it is concluded that radical polymerisation is the most suitable mechanism for the formation of PAN by this method. For the termination reaction, it was not possible to confirm the mechanism from the present experimental data, but hydrogen addition and charge trapping have been put forward as the most probable processes. The additional bands of CO in the plasma spectrum and also in the infrared spectrum show the existence of the CO group as an impurity which is perhaps the characteristic of the gas phase discharge method of polymerisation. The visible ultraviolet absorption band of the polymer film shows band broadening suggesting the formation of long chain macromolecules.

A short-circuited current is generated from plasma-polymerised unpoled Al-PAN-Al thin films on heating. The current originates from the accumulation of negative trapped charges in the vicinity of the outer electrode. The preferential orientation of the dipoles due to rotation of -CN side group towards the outer electrode also contribute to the

short-circuited current. It is concluded that the charge trapping is associated with the compositional inhomogeneity in PAN films during the polymerisation process. The electrodes of dissimilar thicknesses also produce an additional contribution to the short-circuited current due to the nonuniform heating and surface strain. Since the activation energy is ~ 1.4 eV, it is concluded that the charges are formed from the covalent bonds of the carbon atoms and thus in turn suggests the trapping of charges at the tail end of the molecules. From the initial part of the optical absorption band of the polymer films, it is found that a trap level exists at ~ 1.7 eV below the conduction band and is in agreement with the temperature activation energy. From the thermally stimulated current data, it is concluded that a preferred orientation of the dipoles is not possible at low fields ($\sim 5 \times 10^4$ V/cm).

In poled Al-PAN-Al, a low temperature peak is observed in depolarisation current studies. It is concluded that the origin of the polarisation is mainly due to the orientation of dipoles associated with -CN side groups and migration of charges through microscopic distances. A partial contribution has also to be considered due to the injection of charges. The theoretically predicted total polarisation is found to be greater than the experimentally predicted one. This shows that the preferred orientation of

dipoles are restricted in PAN. Some local structure develops in PAN on high field polarisation preventing the dipoles from returning to their original position on depolarisation. From the polarisation studies, it is concluded that the electrons are trapped at the vicinity of the outer electrode due to compositional inhomogeneity. As a result an internal field of $\sim 6.2 \times 10^4$ V/cm is developed across the specimen.

For sufficiently large thicknesses ($>4000\overset{\circ}{\text{A}}$), the dielectric constant of plasma-polymerised PAN is found to be ~ 3.4 esu. But at lower thicknesses the dielectric constant is larger. The decrease in the value of the dielectric constant with the increase in the thickness of the film may be attributed to the structural changes due to ion bombardment during the formation of the films. From the dielectric studies it is concluded that the dipolar relaxation is widely distributed. The thermally generated trapped charges also contribute to the dielectric constant at high temperatures. From the frequency response of Al-PAN-Al capacitors in the range 20Hz to 30KHz, it is found that there are no changes in dielectric constant and loss factor. From the Jonscher analysis it is concluded that in the audio frequency range, Al-PAN-Al behaves as an ideal capacitor without any resonance components associated with it.

From the conductivity studies it is found that upto a field of 5×10^5 V/cm, plasma-polymerised PAN obeys Ohms law and shows a conductivity of $\approx 3 \times 10^{-18} \text{ ohm}^{-1} \text{ cm}^{-1}$ at room temperature. Above this field the conduction mechanism is due to Poole-Frenkel effect. The Poole-Frenkel coefficient β_{PF} is calculated to be 3.4×10^{-15} esu and is in good agreement with the theoretical values.

It is well known that PAN is a very good polar electret. Above experimental data also shows that PAN can store large amount of charges. The dipole orientation is constrained by the formation of local structure on high field polarisation. Hence stored energy will not be completely released on depolarisation process. But thermally released charges contribute a large depolarisation current. One of the notable features of PAN is that it has a very large dipole moment (3.4D) associated with the -CN side group and as such piezoelectric and pyroelectric effects are expected. Hence further work has to be done in this direction especially on stretched polymer so as to get information regarding these properties.